



EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF NATIONAL DRUG CONTROL POLICY
Washington, D.C. 20503

ONDCP Circular: Budget Formulation

January 18, 2013

TO: THE HEADS OF EXECUTIVE DEPARTMENTS AND ESTABLISHMENTS

SUBJECT: Budget Formulation

1. **Purpose.** This circular provides instructions for use by National Drug Control Program agencies when preparing drug control budgets to be submitted to the Office of National Drug Control Policy (ONDCP) for review, certification, and inclusion in the consolidated National Drug Control Budget.
2. **Rescission.** This circular rescinds and replaces the ONDCP Circular, *Budget Formulation*, dated May 1, 2007.
3. **Authority.** *The Office of National Drug Control Policy Reauthorization Act of 1998* (P.L. 105-277, dated October 21, 1998), as amended by Public Law 109-469 (December 29, 2006), provides the authority for review and certification of budget requests of National Drug Control Program agencies and for preparation and submission of an annual consolidated National Drug Control Budget. In relevant part, this law has been codified at 21 U.S.C. § 1701, *et seq.*
4. **Definitions.** As used in this circular –
 - a. **National Drug Control Program** means, pursuant to 21 U.S.C. § 1701(6), "... programs, policies, and activities undertaken by National Drug Control Program agencies pursuant to the responsibilities of such agencies under the National Drug Control Strategy, including any activities involving supply reduction, demand reduction, or State, local, and tribal affairs."
 - b. **National Drug Control Program agency** (hereinafter also referred to as agency) means, consistent with 21 U.S.C. § 1701(7), any agency, defined at the Department or Independent Agency level, that is responsible for implementing any integral aspect of the National Drug Control Strategy, including any agency that receives federal funds to implement any such aspect of the *Strategy*, but does not include any agency that receives funds for drug control activities solely under the National Intelligence Program, the Military Intelligence Program or (for the purposes of 21 U.S.C. § 704(d)) an agency that is described in 28 U.S.C. § 530C(a), unless such agency has been designated by the

President or jointly by the Director of National Drug Control Policy and the head of the agency. Attachment A lists the National Drug Control Program agencies.

- c. **Bureau** means any component of an agency.
 - d. **Drug Methodology** means the process by which the level of drug-related financial statistics identified for certain agencies or bureaus are calculated. Attachment B lists the National Drug Control Program multi-mission bureaus which utilize a drug methodology for calculating the portion of their appropriations to be included as part of the National Drug Control Budget. These agencies utilize a drug methodology for presenting their National Drug Control Budget by drug control function. An acceptable methodology must provide a reasonable basis for consistent estimation, and the funding levels that are derived through the application of this methodology must reasonably and fairly quantify the agency's financial commitment in drug control activities. Reporting entities may use any of a variety of reasonably described methods that are based solely on empirical data (such as workload data, grants data, or other statistical data) to estimate the drug-related portion of their programs. Once initially established, any material modification to a drug methodology must be submitted to ONDCP for review and approval before it can be implemented.
 - e. **Drug Control Functions** are the ten specific program areas that encompass the drug control activities. A complete list of drug control functions, as well as the associated definitions of these functions, is presented at Attachment E.
 - f. **Budget Decision Units** correspond to discrete program categories that are identifiable components of budget accounts enumerated in the Budget of the President.
- 5. **Coverage.** The provisions of this circular apply to all National Drug Control Program agencies and their bureaus.
 - 6. **Structure of the National Drug Control Budget.** A program is included in the National Drug Control Budget if it meets one of the following criteria: the program has a drug nexus, as defined in the drug control functions; the program is mentioned in the current *Strategy*; the program inclusion is mandated by ONDCP or other authorization or appropriation acts; the program is similar in scope to another program included in the current fiscal year's *Budget Summary*; or the program advances ONDCP's policies, goals, objectives, and priorities of the *Strategy*. Additionally the agency program must have an acceptable methodology based on empirical data and be material to the drug budget.
 - a. **Account Structure:** The drug budget is defined by the account structure presented in Attachment C. Agencies and bureaus with responsibilities under the National Drug Control Strategy are listed in Columns A and B. For each bureau, Column C displays the budget accounts in the Budget of the President that are associated with drug control activities. Column D identifies the specific budget decision units for accounts that are included in the drug budget. Unless otherwise noted, 100 percent of the funding for

each budget decision unit listed is part of the National Drug Control Budget. Budgets for the following multi-mission bureaus identified in Attachment B are an exception to this general principle. For each of these bureaus, the drug control budget is defined by an established drug methodology.

- b. **Amendments to Account Structure:** The National Drug Control Budget defined in Attachments B and C are consistent with the account structure presented in the Budget of the President. If subsequent appropriations substantively modify this account structure by adding or eliminating programs with a drug control nexus, then the Associate Director for Performance and Budget, ONDCP, shall notify the senior budget officials of affected agencies and bureaus of the changes by decision unit that would define the revised National Drug Control Budget.
7. **Funding and Performance Monitoring Guidance.** In formulating drug control budgets, each agency and bureau should consider resource needs to support the *Strategy's* funding priorities. Each agency and bureau should also identify how it will assess its contributions to achieving the Strategy's Goals and Objectives through identified drug related outcome oriented performance measures. Guidance will be issued each year prior to July 1, pursuant to 21 U.S.C. § 1703(b)(8). Until updated guidance is issued, prior guidance issued under 21 U.S.C. § 1703(b)(8) remains in effect for agency and bureau budget formulation and performance monitoring purposes.
8. **Summer and Fall Drug Budget and Performance Submission Elements.** Summer and fall Drug Budget and Performance submissions shall include the following components:
- a. **Transmittal Letter:** A cover letter shall be included to transmit each drug control budget submission, addressed from the responsible budget official to the Associate Director for Performance and Budget, ONDCP. The cover letter shall summarize the budget request, including major changes to base funding, key funding initiatives, as well as identify any modifications to performance measures.
 - b. **Resource Summary Table:** Attachment D provides an example of a resource summary table highlighting budget authority (net of offsetting collections) by budget decision unit and drug control function. Transfers and reimbursements from the Organized Crime Drug Enforcement Task Forces Program and the High Intensity Drug Trafficking Areas Program shall not be included in the agency's or bureau's total budget authority but shall be shown as separate line entries. Agencies and bureaus shall report decision units as those reflected in their Congressional budget submissions.
 - c. **Copy of Budget Submission:** In the summer, the budget submission to ONDCP shall include a copy of the relevant sections of the bureau head's budget proposal that was transmitted to the agency head. In the fall, the budget submission to ONDCP shall include a copy of the relevant sections of the agency head's budget proposal that has been prepared for OMB. Relevant sections of the budget are those components that

justify spending for budget decision units related to drug control and are identified in Attachment C of this circular. As an alternative to extracting this information from these budget documents, agencies and bureaus may provide ONDCP with a copy of their entire bureau-level submission to the agency or agency-level submission to OMB.

- d. **Ranking of Priorities:** Budget submissions to ONDCP shall include an exhibit that disaggregates both base funding and funding for new initiatives into discrete program elements. These program elements for base funding and proposed new funding then shall be ranked to identify high priorities and low priorities. In preparing this exhibit, all programs need not be ranked – only the several high and low priorities, as defined by the agency or bureau.
 - e. **Performance Reporting:** In the summer, the performance report to ONDCP shall include a copy of the relevant sections of the bureau head's performance information and proposed targets transmitted to the agency head. Agencies should also identify any changes in documented or reported performance measures, targets, and data since their previous budget submission to OMB. In the fall, the performance report to ONDCP shall include a copy of the relevant sections of the agency head's performance proposed targets and past performance for the prior year that has been prepared for OMB. Agencies should also send ONDCP final versions of their Performance Reports or Performance and Accountability Reports and any evaluations completed/reported in the last completed fiscal year. Budget submissions should also include the following:
 - a. **Strategic Plan.** A current Strategic Plan with the portions that relate to drug control.
 - b. **Performance Plan.** The Annual Performance Plan submitted to OMB pursuant to the Government Performance and Results (GPRA) Modernization Act of 2010. Agencies should send ONDCP their updated GPRA Performance Plan to reflect the OMB decisions. For a bureau-level submission to ONDCP, this information should include the bureau's input to the agency for these key GPRA documents as well as changes in measures, targets, and data.
9. **Drug Budget Review and Certification Process.** Pursuant to 21 U.S.C. § 1703(c)(1), agencies are required to submit National Drug Control Budget requests to the Director for review and certification. This is a two-tier process, consisting of a summer review of bureau submissions and a fall review/certification of agency submissions. This requirement applies to the list of bureaus and agencies in Attachment A.
- a. **Review of Summer Drug and Performance Budget Submissions.** The Director of National Drug Control Policy will review bureau summer drug control budget and performance submissions to determine if they are adequate to implement the *Strategy*. Requests will be evaluated on the basis of how closely proposed funding corresponds to priorities identified by ONDCP pursuant to 21 U.S.C. §1703(b)(8). (See Section 7 of this

circular.) In accordance with 21 U.S.C. §1703(c)(1)(A), bureau heads will transmit their drug control budget proposals and related performance information to ONDCP at the same time their budget requests are sent to their respective agency heads. This review and evaluation will result in one of the following actions:

- (1) **Adequate Requests** – The Director will issue a written notice to the agency head, with a copy to the bureau head, confirming the adequacy of the bureau’s budget request to implement the *Strategy* (21 U.S.C. §1703(c)(3)(B)(ii)); or
- (2) **Inadequate Requests** – The Director will issue a written notice to the Agency head with a copy to the bureau head, that the bureau’s budget request is not adequate to implement the *Strategy*. This correspondence will outline funding levels and specific initiatives that would make the request adequate (21 U.S.C. §1703(c)(3)(B)(i)). The Director’s certification under Section 9b(1) will depend on the inclusion of these changes in the agency’s fall budget.

- b. **Review and Certification of Fall Budget Submissions.** In the fall, the agencies submit their drug budget and performance submissions to ONDCP, consisting of the individual budget proposals of each agency bureau and accompanying pas performance and expected targets. These agency submissions will be transmitted to ONDCP before they are sent to the Office of Management and Budget (OMB). As with the summer process, the Director will review agency fall drug control budgets and performance submissions to determine if they are adequate to implement the *Strategy*. Requests will be evaluated based on how closely proposed funding corresponds to the guidance identified by ONDCP (see Section 7) and whether requests address enhancements identified in Section 9a(2) of this circular. This fall review and evaluation will result in one of the following actions:

- (1) **Adequate Requests: Certification** – The Director will issue a written notice to the agency head certifying as to the adequacy of their fall budget submission to implement the *Strategy* (21 U.S.C. § 1703(c)(3)(E); or
- (2) **Inadequate Requests: Decertification** – The Director will issue a written notice to the agency head that their fall budget submission is not adequate to implement the *Strategy* and is decertified (21 U.S.C. § 1703(c)(3)(E)(ii)(II)). As a consequence of the Director’s decertification, the following additional actions shall be taken by the agency and ONDCP:
 - a) **Amended OMB Budget Request** – The Director shall submit to the agency head a written description of the funding levels and specific initiatives that would make the fall budget request adequate to implement the *Strategy*, and the agency head shall include the funding levels and initiatives described by the Director in the budget submission for that agency to OMB (21 U.S.C. § 1703(c)(3)(D)(i)).

- b) **Impact Statement** – The head of an agency that has altered a budget submission under Section 9b(2)(a) shall include as an appendix to the OMB budget submission an impact statement that summarizes the changes made to the budget and the impact of those changes on the ability of that agency to perform its other responsibilities, including any impact on specific missions or programs of the agency (21 U.S.C. § 1703(c)(3)(D)(ii)). Further, the agency head shall submit a copy of any impact statement prepared under this section to the Senate and the House of Representatives at the same time the budget for the agency is submitted to Congress under section 1105(a) of title 31, United States Code (21 U.S.C. § 1703(c)(3)(D)(iii)).
- c) **ONDCP Congressional Notification** – In addition to the agency Congressional notification required under Section 9b(2)(b), the Director shall transmit to the Senate and the House of Representatives a copy of the decertification and new funding requirements identified under Section 9b(2)(a), along with a copy of the ONDCP funding priorities issued pursuant to 21 U.S.C. § 1703(b)(8) (see Section 7 of this circular) that support the Director’s requirement for additional funding (21 U.S.C. § 1703(c)(3)(E)(ii)).

- 10. **Coordination with Office of Management and Budget.** To the maximum extent possible, ONDCP will closely coordinate with OMB. Consistent with the requirements of 21 U.S.C. § 1703(c)(2) to prepare a consolidated budget proposal to implement the *Strategy*, ONDCP shall provide OMB with specific drug funding recommendations by agency, bureau, and account, prior to preliminary decisions on the President’s Budget in November/December.
- 11. **Congressional Budget Justification Documents.** All National Drug Control Program agencies shall provide ONDCP with the sections of their Congressional budget justification documents that relate to drug control for review and approval prior to transmitting them to Congress.
- 12. **Preparation of the annual *Budget and Performance Summary*.** In the fall, ONDCP will issue separate guidance for the preparation of the *National Drug Control Strategy: Budget and Performance Summary (Summary)*. The *Summary* guidance will specify format requirements for this document, which, at a minimum, will include detail by function and budget decision unit at the same level of detail as presented in Attachment D. The resource summary data displayed in the *Summary* shall include final budget authority enacted in the prior year, current year enacted budget authority, requested budget authority for the budget year under formulation, and performance information for the last completed year as specified in the guidance.

13. **ONDCP Point of Contact.** Agencies and bureaus shall provide their drug control budget submissions to the following address:

Associate Director for Performance and Budget
Office of National Drug Control Policy
750 17th Street, N.W.
Washington, DC 20503

A handwritten signature in black ink, reading "R. Gil Kerlikowske". The signature is written in a cursive, flowing style.

R. Gil Kerlikowske
Director

ATTACHMENT A: NATIONAL DRUG CONTROL PROGRAM AGENCIES

<u>(A) Drug Control Program Agency</u> (fall budget submissions)	<u>(B) Bureau(s) Submitting Budgets</u> (summer budget submissions)
Department of Agriculture	U.S. Forest Service
Court Services and Offender Supervision Agency for the District of Colombia	Bureau Level Submission Not Applicable
Department of Defense	Office of the Assistant Secretary of Defense for Health Affairs Office of the Deputy Assistant Secretary of Defense for Counternarcotics and Global Threats
Department of Education	Office of Safe & Drug-Free Schools
Federal Judiciary	Bureau Level Submission Not Applicable
Department of Health and Human Services	Administration for Children and Families Centers for Medicare & Medicaid Services Health Resources and Services Administration Indian Health Service National Institutes of Health – National Institute on Drug Abuse National Institutes of Health - National Institute on Alcohol Abuse and Alcoholism Substance Abuse and Mental Health Services Administration

<u>(A) Drug Control Program Agency</u> (fall budget submissions)	<u>(B) Bureau(s) Submitting Budgets</u> (summer budget submissions)
Department of Homeland Security	Customs and Border Protection Federal Emergency Management Agency Federal Law Enforcement Training Center Immigration and Customs Enforcement United States Coast Guard
Department of Housing and Urban Development	Community Planning And Development
Department of the Interior	Bureau of Indian Affairs Bureau of Land Management National Park Service
Department of Justice	Assets Forfeiture Fund Bureau of Prisons Criminal Division Drug Enforcement Administration Interagency Crime and Drug Enforcement Office of Justice Programs Executive Office for U.S. Attorneys U.S. Marshals Service
Department of Labor	Employment and Training Administration
Executive Office of the President	Office of National Drug Control Policy

<u>(A) Drug Control Program Agency</u> (fall budget submissions)	<u>(B) Bureau(s) Submitting Budgets</u> (summer budget submissions)
Department of State	Bureau of International Narcotics and Law Enforcement Affairs United States Agency for International Development (USAID)
Department of Transportation	Federal Aviation Administration National Highway Safety Administration
Department of the Treasury	Internal Revenue Service
Department of Veterans Affairs	Veterans Health Administration
Small Business Administration	Bureau Level Submission Not Applicable

ATTACHMENT B: NATIONAL DRUG CONTROL PROGRAM MULTI-MISSION BUREAUS

<u>(A) Drug Control Program Agency</u>	<u>(B) Multi-Mission Bureau(s)</u>
Department of Agriculture	U.S. Forest Service
Court Services and Offender Supervision Agency for the District of Colombia	Court Services and Offender Supervision Agency for the District of Colombia
Department of Defense	Office of the Assistant Secretary of Defense for Health Affairs
Department of Health and Human Services	Administration for Children and Families Centers for Medicare & Medicaid Services Health Resources and Services Administration Indian Health Service
Department of Homeland Security	Customs and Border Protection Federal Emergency Management Agency Federal Law Enforcement Training Center Immigration and Customs Enforcement United States Coast Guard
Department of Housing and Urban Development	Community Planning And Development
Department of the Interior	Bureau of Indian Affairs Bureau of Land Management National Park Service

<u>(A) Drug Control Program Agency</u>	<u>(B) Multi-Mission Bureau(s)</u>
Department of Justice	Assets Forfeiture Fund Bureau of Prisons Criminal Division Executive Office for U.S. Attorneys U.S. Marshals Service
Department of Labor	Employment and Training Administration
Department of Transportation	Federal Aviation Administration
Department of Veterans Affairs	Veterans Health Administration
Small Business Administration	Small Business Administration

ATTACHMENT C: ACCOUNT STRUCTURE OF THE NATIONAL DRUG CONTROL BUDGET

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Agriculture	U.S. Forest Service	<i>Law Enforcement Operations</i>	Law Enforcement and Investigations (Portions scored as drug-related are defined by an approved drug methodology.)
Court Services and Offender Supervision Agency for the District of Colombia	N/A	<i>Court Services and Offender Supervision Agency for the District of Colombia</i>	Community Supervision Program Pretrial Services Agency
Department of Defense	Office of the Assistant Secretary of Defense for Defense Health	<i>Defense Health</i>	Substance Abuse Treatment (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Defense	Office of the Deputy Assistant Secretary of Defense for Counternarcotics and Global Threats	<i>Drug Interdiction and Counterdrug Activities</i> <i>Counternarcotics Operations Tempo (OPTEMPO)</i>	All Portions scored as drug-related are defined by an approved drug methodology.
Department of Education	Office of Elementary and Secondary Education	<i>Safe Schools & Citizenship Education National Programs</i> <i>Successful Safe and Healthy Students</i> <i>Alcohol Abuse Reduction</i>	Safe Schools & Citizenship Education National Programs Successful Safe and Healthy Students Alcohol Abuse Reduction

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Federal Judiciary	N/A	<i>Salaries and Expenses</i>	Administrative Office of the U.S. Courts Court Security Federal Judicial Center Fees of Jurors and Commissioners Public Defender Services Salaries and Expenses U.S. Sentencing Commission (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Health and Human Services	Administration for Children and Families	<i>Promoting Safe and Stable Families – Regional Partnership Grants</i>	Promoting Safe and Stable Families – Regional Partnership Grants
Department of Health and Human Services	Centers for Medicare & Medicaid Services	<i>Grants to States for Medicaid</i> <i>Medicare</i>	<i>Grants to States for Medicaid</i> <i>Medicare</i> (Portions scored as drug-related are defined by an approved drug methodology.)

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Health and Human Services	Health Resources and Services Administration	<i>Primary Health Care</i> * <i>Health Centers</i> * <i>Patient Protection and Affordable Care Act Community Health Center Fund</i>	<i>Primary Health Care</i> * <i>Health Centers</i> * <i>Patient Protection and Affordable Care Act Community Health Center Fund</i> (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Health and Human Services	Indian Health Service	<i>Alcohol & Substance Abuse</i> <i>Urban Health</i>	<i>Alcohol & Substance Abuse</i> <i>Urban Health</i> (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Health and Human Services	National Institutes of Health – National Institute on Alcohol Abuse and Alcoholism	<i>National Institute on Alcohol Abuse and Alcoholism</i>	Underage Drinking Research (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Health and Human Services	National Institutes of Health – National Institute on Drug Abuse	<i>National Institute on Drug Abuse</i>	All

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Health and Human Services	Substance Abuse and Mental Health Services Administration	<i>Substance Abuse and Mental Health Services</i>	<p>State Prevention Grants</p> <p>Substance Abuse Block Grant</p> <p>Substance Abuse Treatment - Programs of Regional and National Significance</p> <p>Substance Abuse Prevention - Programs of Regional and National Significance</p> <p>National All Schedules Prescription Electronic Reporting</p> <p>Health Surveillance and Program Support</p> <ul style="list-style-type: none"> • Behavioral Health Tribal Prevention Grants • Public Awareness and Support • Performance and Quality Information Systems • Tribal Prevention Grants
Department of Homeland Security	Customs and Border Protection	<p><i>Salaries and Expenses</i></p> <p><i>Air and Marine Interdiction, Operations, Maintenance, and Procurement</i></p> <p><i>Border Security Fencing, Infrastructure, and Technology</i></p>	For each CBP account, portions scored as drug-related are defined by an approved drug methodology.

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Homeland Security	Federal Emergency Management Agency	<i>State and Local Programs</i>	Operation Stonegarden (portions scored as drug-related are defined by an approved drug methodology.)
Department of Homeland Security	Federal Law Enforcement Training Center	<i>Salaries and Expenses</i>	For each FLETC account, portions scored as drug-related are defined by an approved drug methodology.
Department of Homeland Security	Immigration and Customs Enforcement	<i>Salaries and Expenses</i>	For each ICE account, portions scored as drug-related are defined by an approved drug methodology.
Department of Homeland Security	U. S. Coast Guard	<i>Operating Expenses</i> <i>Acquisition, Construction, and Improvements</i> <i>Research, Development, Test, and Education</i> <i>Reserve Training</i>	For each Coast Guard account, portions scored as drug-related are defined by an approved drug methodology.
Department of Housing and Urban Development	Community Planning And Development	<i>Homeless Assistance Grants: Continuum of Care</i>	<i>Homeless Assistance Grants: Continuum of Care</i> (portions scored as drug-related are defined by an approved drug methodology.)
Department of the Interior	Bureau of Indian Affairs	<i>Law Enforcement Special Initiative</i>	For each BIA account, portions scored as drug-related are defined by an approved drug methodology.

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of the Interior	Bureau of Land Management	<i>Resource Protection and Maintenance</i>	For each BLM account, portions scored as drug-related are defined by an approved drug methodology.
Department of the Interior	National Park Service	<i>Law Enforcement and Protection</i>	For each NPS account, portions scored as drug-related are defined by an approved drug methodology.
Department of Justice	Assets Forfeiture Fund	<i>Legal Activities</i>	Assets Forfeiture Fund Program (portions scored as drug-related are defined by an approved drug methodology.)
Department of Justice	Bureau of Prisons	<i>Federal Prison System</i>	Contract Confinement Inmate Care and Programs Institution Security and Administration Management and Administration Modernization and Repair New Construction (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Justice	Criminal Division	<i>Legal Activities</i>	Narcotic and Dangerous Drug Section (portions scored as drug-related are defined by an approved drug methodology.)

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Justice	Drug Enforcement Administration	<i>Salaries and Expenses</i>	All
		<i>Diversion Control Fee Account</i>	All
Department of Justice	Organized Crime Drug Enforcement Taskforce	<i>Interagency Crime and Drug Enforcement</i>	All
Department of Justice	Office of Justice Programs	<i>Justice Assistance</i>	Regional Information Sharing System
		<i>State and Local Law Enforcement Assistance</i>	Drug Courts
			Residential Substance Abuse Treatment
			Prescription Drug Monitoring
			Byrne Criminal Justice Innovation Program
			Byrne Justice Assistance Grant
			Second Chance Act
			Southwest Border Prosecutors
			Tribal Grants
		<i>Juvenile Justice Programs</i>	Incentive Grants to Prevent Juvenile Crime – Enforcing Underage Drinking Laws
		<i>Community Oriented Policy Services</i>	Methamphetamine Enforcement and Lab Cleanup

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of Justice	Executive Office for U.S. Attorneys	<i>Salaries and Expenses</i>	Criminal Decision Unit (portions scored as drug-related are defined by an approved drug methodology.)
Department of Justice	U.S. Marshals Service	<i>Salaries and Expenses</i>	Fugitive Apprehension Judicial and Courthouse Security Prisoner Security and Transportation (Portions scored as drug-related are defined by an approved drug methodology.)
Department of Labor	Employment and Training Administration	<i>Job Corps</i>	Job Corps
Executive Office of the President	Office of National Drug Control Policy (ONDCP)	<i>Operations</i> <i>High Intensity Drug Trafficking Area Program</i> <i>Other Federal Drug Control Programs</i>	ONDCP Salaries and Expenses All All
Department of State	Bureau of International Narcotics and Law Enforcement Affairs	<i>International Narcotics and Law Enforcement</i>	International Narcotics Crime and Law Enforcement (INCLE) – All but international crime decision units

<u>(A) National Drug Control Program Agency</u>	<u>(B) Bureau Submitting Budgets</u>	<u>(C) Account / Appropriation Name President's Budget</u>	<u>(D) Applicable Budget Decision Units</u>
Department of State	United States Agency for International Development (USAID)	<i>Economic Support Fund</i> <i>Development Assistance</i>	Alternative Livelihoods Drug-related Only
Department of Transportation	Federal Aviation Administration	Security & Hazardous Materials Air Traffic Organization (ATO), Aerospace Medicine	For each FAA account, the portions scored as drug-related are defined by an approved drug methodology.
Department of Transportation	National Highway Safety Administration	<i>Operations and Research (Highway Trust Funds)</i>	Drug Impaired Driving Program
Department of the Treasury	Internal Revenue Service	<i>Internal Revenue Service</i>	Criminal Investigations (portions scored as drug-related are defined by an approved drug methodology.)
Department of Veterans Affairs	Veterans Health Administration	<i>Medical Care</i> <i>Medical and Prosthetic Research</i>	For each VHA account, portions scored as drug-related are defined by an approved drug methodology.
Small Business Administration	N/A	<i>Salaries and Expenses</i>	For each SBA account, portions scored as drug-related are defined by an approved drug methodology.

ATTACHMENT D: DRUG CONTROL BUDGET RESOURCE SUMMARY TABLE FORMAT

	<u>PY BA</u>	<u>CY BA</u>	<u>BY BA</u>
Drug Resources by Budget Decision Unit and Function:			
Decision Unit #1 Name			
Corrections	\$x.xxx	\$x.xxx	\$x.xxx
Intelligence: Domestic Law Enforcement	\$x.xxx	\$x.xxx	\$x.xxx
Intelligence: Interdiction	\$x.xxx	\$x.xxx	\$x.xxx
Intelligence: International	\$x.xxx	\$x.xxx	\$x.xxx
Interdiction	\$x.xxx	\$x.xxx	\$x.xxx
International	\$x.xxx	\$x.xxx	\$x.xxx
Investigations	\$x.xxx	\$x.xxx	\$x.xxx
Prevention	\$x.xxx	\$x.xxx	\$x.xxx
Prosecution	\$x.xxx	\$x.xxx	\$x.xxx
Research and Development: Domestic Law Enforcement	\$x.xxx	\$x.xxx	\$x.xxx
Research and Development: Interdiction	\$x.xxx	\$x.xxx	\$x.xxx
Research and Development: International	\$x.xxx	\$x.xxx	\$x.xxx
Research and Development: Prevention	\$x.xxx	\$x.xxx	\$x.xxx
Research and Development: Treatment	\$x.xxx	\$x.xxx	\$x.xxx
State, Local and Tribal Law Enforcement Assistance	\$x.xxx	\$x.xxx	\$x.xxx
Treatment	\$x.xxx	\$x.xxx	\$x.xxx
Total, Decision Unit #1	\$x.xxx	\$x.xxx	\$x.xxx
Decision Unit #2 Name (Additional Decision Units as necessary)			
<i>(List all functions)</i>	\$x.xxx	\$x.xxx	\$x.xxx
Total, Decision Unit #2	\$x.xxx	\$x.xxx	\$x.xxx
Total Funding	\$x.xxx	\$x.xxx	\$x.xxx
HIDTA Transfer ¹	\$x.xxx	\$x.xxx	\$x.xxx
ICDE Resources ¹	\$x.xxx	\$x.xxx	\$x.xxx
Drug Resource Personnel Summary			
Total FTEs (direct only)	x	x	x
Drug Resources as a Percent of the Budget			
Total Agency Budget (in billions)	\$x.x	\$x.x	\$x.x
Drug Resources Percentage	x.x%	x.x%	x.x%

Notes:

Dollars should be in millions

BA = Budget Authority

CY = Current fiscal year

PY = Previous fiscal year

BY = Budget fiscal year under formulation

¹Funding for the Interagency Crime and Drug Enforcement Accounts (ICDE) and HIDTA should be included in this display only on the lines shown. This funding should not be displayed by function or decision unit, and this funding should not be included as part of agency totals in these categories.

ATTACHMENT E: DEFINITIONS OF DRUG CONTROL BUDGET FUNCTIONS

FUNCTION	DESCRIPTION
Corrections	<p>Activities conducted by a National Drug Control Program Agency that are associated with the incarceration and/or monitoring of drug-related offenders, including federal assistance for community corrections, such as those related to probation and parole.</p> <p>Funding for efforts under this function is aggregated under Supply Reduction in support of Domestic Law Enforcement.</p>
Intelligence	<p>Activities that cross-cut several functions, conducted by a National Drug Control Program Agency to provide strategic drug-related intelligence support, including:</p> <ul style="list-style-type: none"> • collection, analysis, and dissemination of drug-related information regarding structure, membership, finances, communications, and activities of drug trafficking organizations; • identification of drug-related threats; • facilitating and enhancing the sharing of domestic and foreign intelligence information among National Drug Control Program Agencies, relating to the production and trafficking of drugs in the United States and foreign countries; • analysis of the willingness and ability of partner nation governments to carry out effective drug control programs; • efforts among Federal, State, local and tribal law enforcement initiatives to gather, analyze, and disseminate information and law enforcement intelligence among domestic law enforcement agencies; and • all other activities that provide intelligence and other information for use by national policy makers, strategic planners, and local law enforcement. <p>Funding for efforts under the Intelligence function is aggregated under Supply Reduction. Amounts allocated for interdiction, international, and domestic law enforcement should be separately identified.</p>

FUNCTION	DESCRIPTION
Interdiction	<p>Activities conducted by a National Drug Control Program Agency intended to reduce availability of illegal drugs in the United States or abroad, by targeting the transportation link. Interdiction efforts, which encompass intercepting and ultimately disrupting shipments of illegal drugs and their precursors, as well as the proceeds, include:</p> <ul style="list-style-type: none"> • air and maritime seizures, and presence to deter access to routes; • accurate assessment and monitoring of interdiction programs; • enhancement of drug source nations' ability to interdict drugs; • efforts along the nation's borders, interdicting the flow of drugs, weapons, and bulk currency; and; • all other air and maritime activities that promote efforts to disrupt illegal drug trafficking operations. <p>Funding for efforts under this function is aggregated under Supply Reduction.</p>
International	<p>Activities conducted by a National Drug Control Program Agency, primarily focused on areas outside of the United States, that are intended to reduce illegal drug availability in the United States or abroad, such as:</p> <ul style="list-style-type: none"> • drug law enforcement efforts outside the United States; • source country programs designed to assist our international partners in managing the consequences of drug production; trafficking; consumption in their own societies, including the training and equipping of security forces; raise awareness of science based practices and programs aimed at preventing, treatment and recovering from substance abuse; and support of economic development programs primarily intended to reduce the production or trafficking of illicit drugs; • assessment and monitoring of international drug production programs and policies; • coordination and promotion of compliance with international treaties relating to the eradication of illegal drugs; • coordination and promotion of compliance with international treaties relating to the production and transportation of illegal drugs; • promotion of involvement of other nations in international law enforcement programs and policies to reduce supply of drugs; and • all other overseas drug law enforcement efforts to disrupt the flow of illicit drugs into the United States. <p>Funding for efforts under this function is aggregated under Supply Reduction.</p>

FUNCTION	DESCRIPTION
Investigations	<p>Activities conducted by a National Drug Control Program Agency designed to develop a prosecutable case against individuals and organizations responsible for the production and distribution of illegal drugs, including:</p> <ul style="list-style-type: none"> • efforts to identify profits and assets from drug-related criminal enterprises in order to seize them; • efforts to identify leaders and hierarchy of illegal drug and other criminal organizations; • efforts to gather information of drug-related criminal activity; • efforts to ensure that legitimate controlled substances are handled, manufactured, and distributed in accordance with federal laws and regulations; • all other drug law investigative efforts to identify, disrupt, and dismantle drug smuggling in the United States. <p>Funding for efforts under this function is aggregated under Supply Reduction in support of Domestic Law Enforcement.</p>
Prevention	<p>Activities conducted by a National Drug Control Program Agency, other than enforcement activities, that are intended to discourage the use of controlled substances, while encouraging community outreach efforts focused on getting those who have begun to use illicit drugs to cease their use, including:</p> <ul style="list-style-type: none"> • education efforts including youth mentoring programs and other programs proven to reduce the risk factors related to drug use; • drug-free workplace programs; • drug testing in various settings, including athletic activities, schools and the workplace; • all other programs (including family based treatment) designed to communicate the dangers of substance abuse and its consequences; and • domestic law enforcement efforts that have a direct nexus to education and prevention of drug use among youth and/or the adult population. <p>Funding for efforts under this function is aggregated under Demand Reduction.</p>

FUNCTION	DESCRIPTION
Prosecution	<p>Activities conducted by a National Drug Control Program Agency to take legal action to enforce Federal drug laws and regulations, whose aim is to:</p> <ul style="list-style-type: none"> • bring civil or criminal judgment against members of drug trafficking and money laundering organizations; • forfeit their assets; • divest leaders of their power; • extradite, deport, and exclude their members; and • all other efforts to prosecute drug traffickers and violators of other drug-related offenses. <p>Funding for efforts under this function is aggregated under Supply Reduction in support of Domestic Law Enforcement.</p>
Research & Development	<p>Activities that cross-cut several functions intended to improve the capacity, efficiency, or quality of drug control efforts, including:</p> <ul style="list-style-type: none"> • Prevention • Treatment • Interdiction • International • Domestic Law Enforcement <p>Funding for efforts under this function is aggregated under both Demand Reduction and Supply Reduction, as appropriate. Amounts allocated for interdiction, international, treatment, prevention and domestic law enforcement research should be separately identified.</p>

FUNCTION	DESCRIPTION
State & Local and Tribal Law Enforcement Assistance	<p>Activities conducted by a National Drug Control Program Agency that focus on enhancing and coordinating domestic law enforcement efforts towards reducing drug related violence and property crime, and substance use and availability, including:</p> <ul style="list-style-type: none"> • efforts among Federal, State, local and tribal law enforcement; • efforts among National Drug Control Program Agencies; and State, local and tribal drug control agencies; and, • joint efforts among Federal, State, local, and tribal agencies to promote comprehensive drug control strategies designed to reduce the availability of illegal substances. <p>Funding for efforts under this function is aggregated under Supply Reduction in support of Domestic Law Enforcement.</p>
Treatment	<p>Activities conducted by a National Drug Control Agency, other than enforcement activities, focused on assisting regular users of controlled substances to become drug-free and free from the health consequences of the use of illicit drugs, and the abuse of alcohol and prescription drugs, including:</p> <ul style="list-style-type: none"> • screening for controlled substances; • interventions for drug use and dependence; • rehabilitation and recovery support; • medical referral; • drug courts and other community corrections programs that utilize drug testing and swift and certain sanctions to deter future drug use and treat chronic reoccurrence of drug use • relapse prevention; • re-entry support for ex-offenders that includes but is not limited to: housing, education, employment and substance and mental health abuse treatment; • international health care, research, rehabilitation, and interventions for substance abuse and dependence; and • all other service programs intended to ease the health-related consequences of substance abuse. <p>Funding for efforts under this function are aggregated under Demand Reduction.</p>