OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date *(If Applicable) (Month, Day, Year)*
01/20/2009		☒	2014	☐	☐	

Reporting Individual's Name	Last Name	First Name and Middle Initial
	Obama	Barack H.

Position for Which Filing	Title of Position	Department or Agency *(If Applicable)*
	President	

Location of Present Office (or forwarding address)	Address *(Number, Street, City, State , and ZIP Code)*	Telephone No. *(Include Area Code)*
	White House, 1600 Pennsylvania Ave., NW, Washington, D.C. 20500	202-456-1414

Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held

Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?
	Not Applicable	☐ Yes ☒ No

Certification	Signature of Reporting Individual	Date *(Month, Day, Year)*
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.		5/13/2015

Other Review (If desired by agency)	Signature of Other Reviewer	Date *(Month, Day, Year)*
		5/14/2015

Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date *(Month, Day, Year)*
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		5/14/2015

Office of Government Ethics Use Only	Signature	Date *(Month, Day, Year)*
		5/15/15

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Supersedes Prior Editions.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

	BLOCK A: Assets and Income	BLOCK B: Valuation of Assets															BLOCK C: Income Type				BLOCK C: Income Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (*Mo., Day, Yr.*) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	JPMorgan Chase Private Client Asset Mgmt Checking Account (J)				X																X												
2	JPMorgan Chase Private Client Asset Mgmt Savings Account (J)	X																			X												
3	Northern Trust Checking Account (J)		X																		X												
4	Vanguard Institutional Index Fund (Retirement)					X								X												X							
5	State of Illinois General Assembly Defined Benefit Pension Plan				X																X												
6	Vanguard Institutional Index Fund (Retirement) (S)					X								X											X								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B)

#	BLOCK A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	Vanguard 500 Index Fund (Retirement)(S)					X								X		
2	U.S. Treasury Bills - SEP / IRA						X									
3	U.S. Treasury Notes (J)									X						
4	Bright Directions College Savings 529 Plan (DC) (Calvert Equity 529 Portfolio CEYIX)				X									X		
5	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)				X									X		
6	Bright Directions College Savings 529 Plan (DC) (Calvert Equity 529 Portfolio CEYIX)				X									X		
7	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)				X									X		
8	JPMorgan Chase Checking Account (S)		X													
9	Massachusetts Mutual, universal life (S)		X													

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	BLOCK A	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard 500 Index Fund (Retirement)(S)										X							
2	U.S. Treasury Bills - SEP / IRA			X			X											
3	U.S. Treasury Notes (J)			X							X							
4	Bright Directions College Savings 529 Plan (DC) (Calvert Equity 529 Portfolio CEYIX)					X												
5	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)					X												
6	Bright Directions College Savings 529 Plan (DC) (Calvert Equity 529 Portfolio CEYIX)					X												
7	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)					X												
8	JPMorgan Chase Checking Account (S)					X												
9	Massachusetts Mutual, universal life (S)					X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Dystel & Goderich, NY, NY - Book Royalties from Crown Publishing - Dreams from My Father																	X								X							
2	(Value not readily ascertainable)																																
3	Random House, NY, NY - Book Royalties - Audacity of Hope																	X								X							
4	(Value not readily ascertainable)																																
5	Random House, NY, NY - Book Royalties - Of Thee I Sing: A Letter to My Daughters - See																	X								X							
6	Attachment A for related information (Value not readily ascertainable)																																
7	Dystel & Goderich, NY, NY - Book Royalties from Crown Publishing - Dreams from My Father																				X												
8	(Abridged version for young adults) - See Attachment A for related information																																
9	(Value not readily ascertainable)																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
Obama, Barack H.		5 of 8

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	Bright Directions College Savings 529 Plan (DC) (PIMCO Total Return 529 Portfolio PTTRX)		X		11/18/14			X									
2	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)	X			11/18/14			X									
3	Bright Directions College Savings 529 Plan (DC) (PIMCO Total Return 529 Portfolio PTTRX)		X		11/18/14			X									
4	Bright Directions College Savings 529 Plan (DC) (Mainstay Total Return Bond 529 Fund MTMCX)	X			11/18/14			X									
5	Vanguard 500 Index Fund (Retirement)		X		12/15/14				X								

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☒

	Source *(Name and Address)*	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
2			
3			
4			
5			

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Obama, Barack H.

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Vanguard Institutional Index Fund (Retirement)	X			12/15/14				X								
2	Vanguard 500 Index Fund (Retirement)(S)		X		12/15/14				X								
3	Vanguard Institutional Index Fund (Retirement)(S)	X			12/15/14				X								
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Reporting Individual's Name: Obama, Barack H.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001- $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001- $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	Northern Trust, Chicago, IL	Mortgage on residence, Illinois	2005	5.625%	30 yrs						X					
2																
3																
4																
5																

Category of Amount or Value (x)

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	General Assembly Defined Benefit Pension Plan (no further contributions by former employer)	State of Illinois, Springfield, IL	01/97
2	403(b) Retirement Plan (no further contributions by former employer)	University of Chicago, Chicago, IL	9/92
3			
4			
5			
6			

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Reporting Individual's Name		Page Number
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

<u>ATTACHMENT A TO SCHEDULE A – ASSETS AND INCOME</u>

On January 9, 2009, Barack Obama executed an amendment to his previously disclosed, December 2004 agreement with Crown Publishing Group, a division of Random House, Inc. Under this agreement, a non-fiction work, the subject to be determined, would not be delivered during his term in office and the schedule for any future book publications would be accordingly revised.

On January 15, 2009, Barack Obama approved a $500,000 advance against royalties under an agreement between Crown Publishing Group, a division of Random House, Inc., and Random House Children's Books, for an abridged version of *Dreams From My Father* suitable for middle grade or young adult readers, as proposed by the publisher in 2008. The President received the last advance payment for the abridged version of *Dreams From My Father* in 2010. Royalties for the book are: 15% of the U.S. sales price for hardcover book sales, 7.5% to 10% of the U.S. price for trade paperback book sales, 10% for the U.S. price for the mass-market paperback book sales, and other standard royalties. The abridged, young adult version of *Dreams From My Father* will be prepared and released by the publisher subject to the President's approval.

Prior to taking office in January 2009, Barack Obama wrote and delivered the manuscript of *Of Thee I Sing*, a children's book. This book is part of his previously disclosed December 2004 agreements with Crown Publishing Group, a division of Random House, Inc. *Of Thee I Sing* was published in November 2010 and all after-tax, author proceeds from this book have been and will continue to be donated to the Fisher House Foundation for a scholarship fund for children of fallen and disabled soldiers.