**PART 2 – MATRIX OF COMPLIANCE REQUIREMENTS**

**INTRODUCTION**

This Part identifies the compliance requirements that are applicable to the programs included in this Supplement. Because Part 4 (Agency Program Requirements) and Part 5 (Clusters of Programs) do not include guidance for all types of compliance requirements that pertain to the program (see introduction to Part 4 for additional information), the auditor should use this Part 2 to identify the types of compliance requirements that apply. The box for each type of compliance requirement will either contain a “Y” (for “Yes” if the type of compliance requirement may apply) or be shaded (if the program normally does not have activity subject to this type of compliance requirement). **In addition, those programs with ARRA funding are shown in bold.**

Even though a “Y” indicates that the compliance requirement applies to the Federal program, it may not apply at a particular non-Federal entity, either because that entity does not have activity subject to that type of compliance requirement or the activity could not have a material effect on a major program. For example, even though Equipment and Real Property Management may apply to a particular program, it would not apply to a non-Federal entity that did not acquire equipment or real property. Similarly, a “Y” may be included under Procurement; however, the audit would not be expected to address this type of compliance requirement if the non-Federal entity charges only small amounts of purchases to a major program. The auditor should exercise professional judgment when determining which compliance requirements marked “Y” need to be tested at a particular non-Federal entity.

When a “Y” is present on the matrix and the auditor determines that the requirement should be tested at a non-Federal entity, the auditor should use Part 3, Compliance Requirements, and Part 4 (or 5), if applicable, in planning and performing the tests of compliance. For example, if a program entry in the matrix includes a “Y” in the Program Income column, Part 3 provides a general description of the compliance requirement. Part 3 also provides the audit objective and the suggested audit procedures for testing program income. Part 4 (or 5) may also include specific information on program income requirements pertaining to the program, such as restrictions on how program income may be used. Part 6, Internal Control, may be useful in assessing control risk and designing tests of internal control with respect to each applicable compliance requirement.

When a compliance requirement is shaded in the matrix, it normally does not apply to the program. However, if specific information comes to the auditor’s attention (e.g., during the normal review of the grant agreement or discussions with management) that provides evidence that a compliance requirement shaded in the matrix could have a material effect on a major program, the auditor would be expected to test the requirement. This circumstance should arise infrequently.

| ***CFDA*** | | ***Types of Compliance Requirements*** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *A.*  *Activities Allowed or Unallowed* | | | *B.*  *Allowable Costs/Cost Principles* | | | | *C.*  *Cash Management* | *D.*  *Reserved* | | | *E.*  *Eligibility* | *F.*  *Equipment and Real Property Management* | | | | | | | *G.*  *Matching, Level of Effort, Earmarking* | | | | | *H.*  *Period of  Performance* | | | *I.*  *Procurement and Suspension and*  *Debarment* | *J.*  *Program Income* | *K.*  *Reserved* | | | *L.*  *Reporting* | | | *M.*  *Subrecipient Monitoring* | *N.*  *Special Tests and Provisions* |
| **10 – United States Department of Agriculture (USDA)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10.000\* | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | Y | | | | Y | Y |  | | | Y | | |  | Y |
| 10.500 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 10.551 **10.561** | | Y | | | Y | | | | Y |  | | | See Part 4 | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 10.553 10.555 10.556 10.559 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 10.557 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | |  | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 10.558 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 10.566 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 10.565 10.568 10.569 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 10.582 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 10.665 10.666 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  | Y |
| 10.760  **10.781** | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | |  |  |
| 10.766  **10.780** | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | |  |  |
| **11 – Department of Commerce (DOC)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 11.010 **11.300 11.307** | | Y | Y | | | | Y | | |  | |  | | | | | Y | | | Y | | | | | | | Y | |  |  |  | | Y | | | | Y | Y |
| **11.557** | | Y | Y | | | | Y | | |  | |  | | | | | Y | | | Y | | | | | | | Y | |  | Y |  | | Y | | | | Y | Y |
| **11.558** | | Y | | | | Y | Y | | |  | |  | | | | |  | | | Y | | | | | | | Y | |  |  |  | | Y | | | |  |  |
| **12 – Department of Defense (DoD)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 12.400 | | Y | Y | | | | Y | | |  | |  | | | | |  | | | | Y | | | Y | | | | | Y |  |  | | Y | | | | Y |  |
| 12.401 | | Y | Y | | | | Y | | |  | |  | | | | |  | | | | Y | | | Y | | | | | Y | Y |  | | Y | | | | Y |  |
| **14 – Department of Housing and Urban Development (HUD)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 14.157 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | | |  | | Y |  |  | | Y | | | |  | Y |
| 14.169 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | |  | | | | | | Y | | Y | Y |  | | Y | | | | Y |  |
| 14.181 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | | |  | | Y |  |  | | Y | | | |  | Y |
| 14.182 14.195 14.249 14.856 | |  | | |  | | | | Y |  | | | Y | | |  | | | | |  | | | | | |  | |  |  |  | | Y | | | |  | Y |
| 14.218 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.228 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.231 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.235 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.238 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.239 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.241 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | |  | Y |  | | Y | | | | Y | Y |
| 14.256 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| **14.257** | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | | | Y | |  | Y |  | | Y | | | | Y | Y |
| 14.267 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.269 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.850 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | |  | | | | | | Y | | Y | Y |  | | Y | | | |  | Y |
| 14.862 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.866 14.889 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | |  | Y |
| 14.867 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | | Y | Y |
| 14.871 14.879 14.880 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | | |  | |  |  |  | | Y | | | | Y | Y |
| 14.872 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | | | Y | | Y |  |  | | Y | | | |  | Y |
| 14.873 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | |  | |  | Y |  | | Y | | | |  | Y |
| 14.881 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | Y | | | |  | Y |
| **15 – Department of the Interior (DOI)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 15.021 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | |  | Y |
| 15.022 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 15.030 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | |  | Y |
| 15.042 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | |  | Y |
| 15.047 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | |  | Y |
| 15.225 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 15.231 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 15.236 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 15.504 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 15.507 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 15.605 15.611 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 15.614 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 15.615 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 15.623 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 15.635 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 15.668 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| **16 – Department of Justice (DOJ)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **16.710** | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  |  |
| 16.738 | | Y | | | Y | | | |  |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| **17 – Department of Labor (DOL)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 17.207 17.801 17.804 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  |  |
| 17.225 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  | Y |
| 17.235 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 17.245 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 17.258 17.259 17.278 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 17.264 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 17.265 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | |  | Y |  | | | Y | | | Y |  |
| **20 – Department of Transportation (DOT)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **20.106** | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| **20.205** 20.219 23.003 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| 20.223 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | |  | | |  | Y |
| **20.319** | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| **20.500 20.507** 20.525 20.526 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| **20.509** | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| 20.513 20.516 20.521 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| 20.527 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| 20.600 20.601 20.602 20.609 20.610 20.611 20.612 20.613 20.616 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | | | Y | |  |  |  | | | Y | | | Y |  |
| **21 – Department of the Treasury (TREAS)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 21.012  21.020 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | |  | | Y |  |  | | | Y | | | Y |  |
| 21.015 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| **45 – National Endowment for the Humanities (NEH)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 45.129 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| **66 – Environmental Protection Agency (EPA)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 66.458 66.482 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| 66.468 66.483 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y | Y |
| **81 – Department of Energy (DOE)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 81.041 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| **81.042** | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | |  | | | | Y | Y |  | | | Y | | | Y | Y |
| **81.128** | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| **84 – Department of Education (ED)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 84.002 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| 84.010 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| 84.011 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | |  |  |  | | | Y | | | Y | Y |
| 84.027 84.173 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| 84.032-G | | Y | | |  | | | |  |  | | |  | | |  | | | | |  | | | | | |  | |  |  |  | | | Y | | |  | Y |
| 84.032-L | |  | | |  | | | |  |  | | |  | | |  | | | | | Y | | | | | |  | | Y |  |  | | | Y | | |  | Y |
| 84.041 | | Y | | | Y | | | |  |  | | |  | | |  | | | | | Y | | | | | |  | |  |  |  | | | Y | | |  | Y |
| 84.042 84.044 84.047 84.066 84.217 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | |  | Y |
| 84.048 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| 84.126 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | |  |  |
| 84.181 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | |  |  |
| 84.282 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y |  |
| 84.287 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | |  | Y |  | | | Y | | | Y | Y |
| 84.365 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| 84.366 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | |  |  |  | | | Y | | | Y | Y |
| 84.367 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | |  |  |  | | | Y | | | Y | Y |
| 84.377  **84.388** | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | | | Y | | Y |  |  | | | Y | | | Y | Y |
| **84.395** | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | | | Y | | Y | Y |  | | | Y | | | Y | Y |
| **93 – Department of Health and Human Services (HHS)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 93.044 93.045 93.053 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  | Y |  | | | Y | | | Y | Y |
| 93.090 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.095 93.096 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 93.153 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | |  |  |
| 93.210 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | Y | | | |  | Y |  | | |  | | |  |  |
| 93.217 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  | Y |  | | | Y | | | Y |  |
| 93.224 93.527 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | Y | | | | Y | Y |  | | | Y | | |  | Y |
| 93.268 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | |  | | | | Y | | | |  | Y |  | | | Y | | |  | Y |
| 93.505 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 93.508 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | |  | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 93.525 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 93.545 | | Y | | |  | | | |  |  | | |  | | |  | | | | |  | | | |  | | | |  |  |  | | | Y | | |  |  |
| 93.556 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.558 **93.714** | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 93.563 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.566 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | |  | | | | Y | | | |  |  |  | | | Y | | |  |  |
| 93.568 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.569 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y | Y |
| 93.575 93.596 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y | Y |
| 93.600 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | |  | Y |  | | | Y | | | Y | Y |
| 93.645 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  |  |
| 93.658 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y | Y |
| 93.659 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.667 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| **93.718** | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | |  |  |
| 93.767 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 93.775 93.777 93.778 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 93.889 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y |  |
| 93.914 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 93.917 | | Y | | | Y | | | | Y |  | | | Y | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | | Y |  |
| 93.918 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | | Y | Y |  | | | Y | | |  |  |
| 93.958 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 93.959 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | | Y |  |  | | | Y | | | Y | Y |
| 93.994 | | Y | | | Y | | | | Y |  | | |  | | |  | | | | | Y | | | | Y | | | |  | Y |  | | | Y | | | Y |  |
| **94 – Corporation for National and Community Service (CNCS)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 94.006 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 94.011 94.016 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | |  |  |
| **96 – Social Security Administration (SSA)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 96.001 96.006 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | |  | | | | Y | | | | Y |  |  | | | Y | | |  | Y |
| **97** – **Department of Homeland Security (DHS)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 97.036 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y | Y |
| 97.039 | | Y | | | Y | | | | Y |  | | | Y | | |  | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y |  |
| 97.067 | | Y | | | Y | | | | Y |  | | |  | | | Y | | | | | Y | | | | Y | | | |  |  |  | | | Y | | | Y | Y |
| **98 – United States Agency for International Development (USAID)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 98.007 98.008 | Y | | Y | | | | Y | | |  |  | | | |  | | |  | | | | | Y | | | | | |  | Y |  | | | | Y |  | | Y |
| **Clusters of Programs** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **R&D** | | Y | | Y | | | | Y | |  | |  | | | | | Y | | Y | | | Y | | | | | | Y | | Y |  | Y | | | | Y | | Y |
| **SFA** | | Y | |  | | | | Y | |  | | Y | | | | |  | | Y | | | Y | | | | | |  | | Y |  | Y | | | |  | | Y |

*Legend*:

Y Yes, this type of compliance requirement may apply to the Federal program.

Shaded box Indicates the program normally does not have activity requiring the auditor to test this type of compliance requirement.

\* Program does not have a CFDA number, so the Part 4 page number is used.