

# **INFORMATION COLLECTION BUDGET**

**OF THE  
UNITED STATES  
GOVERNMENT**



**FISCAL YEAR 2007**

**OFFICE OF MANAGEMENT AND BUDGET**  
OFFICE OF INFORMATION AND REGULATORY AFFAIRS

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## Executive Summary

The Paperwork Reduction Act (PRA) requires OMB to report to Congress on the paperwork burden imposed on the public by the Federal government and efforts to reduce this burden. As has been the case in recent years, we are reporting that the overall paperwork burden increased during the past fiscal year (FY). Government-wide PRA burden increased from 8.24 billion hours in FY 2005 to 8.92 billion hours in FY 2006, a rise of more than 8 percent. There are many factors that contributed to this increase. The most significant factor (83 percent) causing the burden increase involved changes resulting from “adjustments,” which represent either an agency’s *re-estimate* of burden (with there being no actual change in burden) or an actual increase or decrease in burden due to changes beyond the agency’s control (such as demographic and economic trends). A relatively small portion (11 percent) of the increase in burden in FY 2006 was due to discretionary decisions made by agencies acting within their pre-existing statutory authority. Additionally, another small portion (5 percent) contributing to the burden increase resulted from new collections that are necessary to implement statutory mandates.

In addition to accounting for the burden hours for FY 2006 and projecting burden estimates for FY 2007, this report highlights the following issues:

*The impact of “adjustments” to agency estimates in calculating the overall paperwork burden* (Chapter 1). This year’s increase in burden was due to a number of factors, some of which were within the control of agencies, and others that were not. The principal driver of new burden in FY 2006 was not, in fact, new burden at all, but rather adjustments to agency estimates of existing information collections.

*Achieving zero violations of the PRA* (Chapter 2). OMB and the agencies have devoted significant efforts to eliminating PRA violations, and in FY 2006 we continued to reap the rewards of those efforts. We are reporting for FY 2006 only 12 violations involving lapses of OMB approval for ongoing collections – this represents the continued success that has resulted from OMB’s adoption in November 2001 of the “zero tolerance” approach to PRA violations. The 12 violations in FY 2006 represent an 88 percent reduction from the 97 violations that were reported for FY 2005, and a 98 percent reduction from the 795 violations that were reported in the ICB for FY 1998. OMB and the agencies continue to work towards the goal of zero PRA violations.

*Taxpayer burden* (Chapter 3). The Internal Revenue Service (IRS) accounts for approximately 78 percent of the total Federal information collection burden. Chapter 3 of this report addresses the burdens imposed on taxpayers, highlighting the reporting requirements associated with new tax benefits available to large numbers of taxpayers. The chapter also describes the agency’s experience during their first full year of a new methodology to estimate taxpayer burden more accurately. As OMB explained in last year’s ICB, this statistical model -- the Individual Taxpayer Burden Model -- takes into account the dramatic change in recent years in the way taxpayers prepare and file their tax returns by relying on computerized preparation and filing software.

*Agency initiatives to reduce paperwork burden* (Chapter 4). This report includes specific initiatives that the agencies are planning to undertake (or are currently undertaking) to reduce the overall burden that the agencies impose on the public. OMB has encouraged agencies to develop practical initiatives aimed at improving efficiency and reducing the public burden. Each agency's proposal for a new burden reduction initiative is described in Chapter 4, which also provides an update on burden reduction initiatives reported in previous years.

## Chapter 1. Information Collection Burden for FY 2006 and FY2007

In the Information Collection Budget (ICB), the Office of Management and Budget (OMB) provides a comprehensive accounting of the information collection activities of the Federal government in a given fiscal year. This report presents the overall paperwork burden that the Federal government imposes on the American public, and also identifies efforts that the Federal agencies are making to reduce burden and collect information more effectively and efficiently. Under the Paperwork Reduction Act (PRA),<sup>1</sup> OMB oversees agency information collection activities and reports annually to Congress on the Act's implementation.

When an agency estimates, and seeks to reduce, the paperwork burden that it imposes on the public, the agency must consider the time that an individual or entity spends reading and understanding a request for information, as well as the time spent developing, compiling, recording, reviewing, and providing the information. Moreover, paperwork burden includes more than just the time necessary to file a tax form or fill out a benefits application; for example, it also includes the time a business spends keeping records required by Federal regulation or disclosing required information to third parties (such as consumers or employees).

As we have reported in previous years, it continues to be the case that the Department of the Treasury, in carrying out its responsibilities under the tax code, constitutes the vast majority (78 percent) of the Federal government's paperwork burden. For a description of burden by agency, see Table 3 in Appendix A.

### FY 2006

Based on agency estimates of PRA burden, the public in FY 2006 spent approximately 8.92 billion hours responding to or complying with Federal information collections. This represents an 8.3 percent increase over the 8.24 billion hours that were reported for FY 2005.

In understanding the nature of this increase, it is important to recognize that this burden increase is the result of a number of independent factors, as outlined below.

#### **1. Increases in Burden due to Discretionary Agency Action (11 percent).**

Of this increase, approximately one-tenth (11 percent) was due to discretionary actions taken by the agencies (i.e., due to factors *within* the agencies' direct control).<sup>2</sup> The remaining 89 percent of the FY 2006 burden increase was due to factors *outside* of an agency's control.

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<sup>1</sup> 44 U.S.C. § 3514

<sup>2</sup> The portion of burden that is "Due to Lapse of OMB Approval" is also within the agency's control. However, because OMB is reporting an historically low number of PRA violations this year, the "Due To Lapse of OMB Approval" figure is a negligible amount for FY 2006 (-40,000 hours).

## **2. Increases in Burden due to Recently-Enacted Statutes (5 percent).**

Of the 89 percent of burden increase that was due to *outside factors*, a small portion (only 5 percent of the *total* increase for FY 2006) resulted from agency implementation of recently enacted statutes that required agencies to engage in new or expanded collections of information. The implementation of statutes is associated with an increase of 36.7 million burden hours, a significant decrease in statutory burden from the situation in FY 2005, when approximately 92 percent of the increase in burden was attributed to agency implementation of statutory mandates.

## **3. Increases in Burden due to “Adjustments” (83 percent).**

The majority of the 89 percent of burden-increase that was due to *outside factors* is attributable to “adjustments” (which corresponds to 83 percent of the *total* increase for FY 2006). These increases amount to nearly 569 million burden hours. Burden changes that result from an “adjustment” are of two kinds.

### **A. Agency Re-estimation of Burden.**

The first kind of a burden “adjustment” is when an agency has prepared a *re-estimate* of the burden that is imposed by a collection, with there being *no change* in the *requirements* of the collection, or in *who is required to respond* to the collection, or in the *number of individuals or entities* that (the agency estimates) will in fact respond to the collection. Rather, in this situation, an agency has re-evaluated its prior burden estimate for the collection and has concluded that its prior estimate was either low or high, and thus the agency revises the estimate accordingly. The agency then includes this revised burden estimate in the agency’s ICB submission, as well as in the agency’s next request to OMB for approval of the collection (unless the agency has in the meantime further revised the burden estimate).

In FY 2006, an adjustment of this kind resulted in an increase in estimated burden of approximately 343 million burden hours (which is approximately half of the *total* burden increase in FY 2006). As explained further in Chapter 3, below, this increase is the result of the IRS’s implementation of the new Individual Taxpayer Burden Model (ITBM), and the application of ITBM to the Form 1040 suite of forms.<sup>3</sup> This adjustment increase, however, reflects a new *estimate of burden*, not an actual *change in burden* imposed on taxpayers.

### **B. Burden Changes from demographic, economic, and other outside factors.**

The second kind of a burden “adjustment” is when there has been *no change* in the requirements of the collection as well as *no change* in who is required to respond to the collection, but there has been a change in the *number of individuals or entities* that (the agency estimates) will respond to the collection – and this change is due to factors outside an agency’s control.

A typical example of such an adjustment is when demographic changes result in more (or fewer) people applying for a Federal benefit, and thus in more (or fewer) applications being filled-out and

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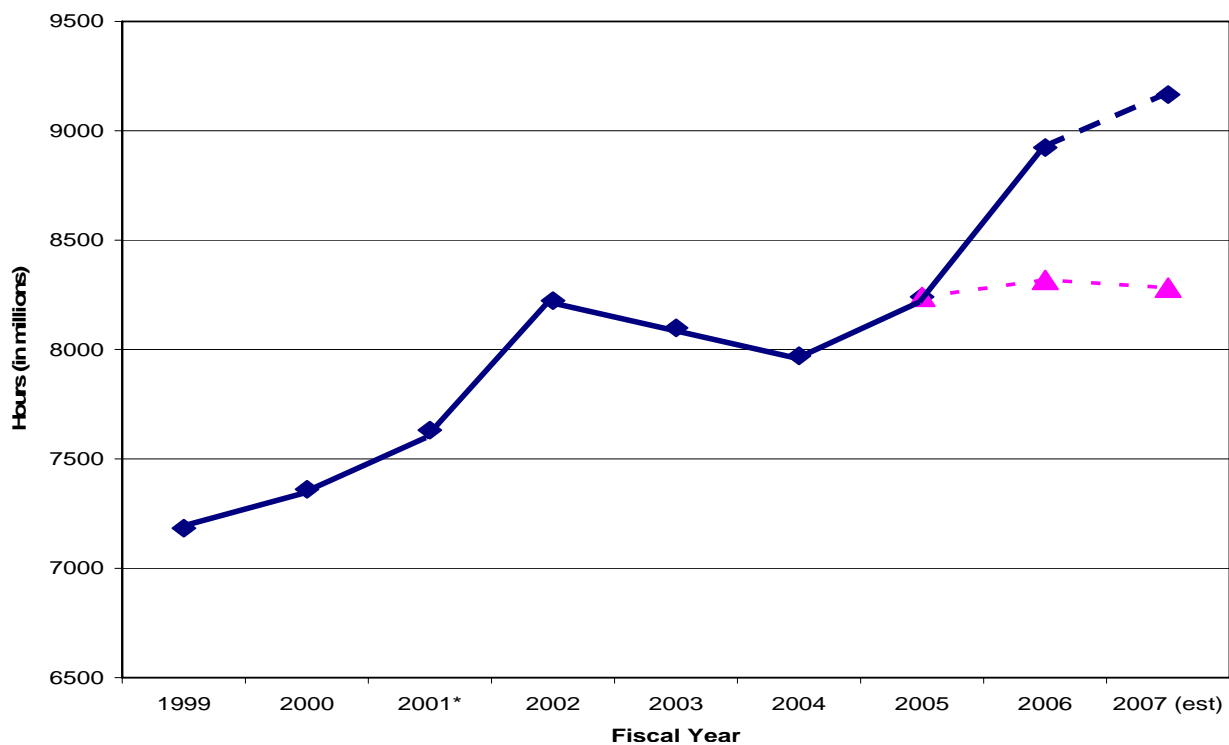
<sup>3</sup> In its forthcoming 2007 request for PRA approval of OMB Control Number 1545-0074, IRS will restate burden for several years back using the updated methodology to provide a consistent time-series for analysis of burden changes in recent years.



submitted (e.g., the retirement of the Baby Boom generation will result in more individuals applying to participate in Medicare). Another example of such an adjustment is when economic changes result in more (or fewer) people being unemployed (and thus applying for unemployment benefits) or in more (or fewer) businesses being created (and thus filing the related tax forms). In both these types of situations, the agency has not changed the requirements of the collection, and the agency has not redefined who has to respond to the collection. Instead, the changes in these outside factors either increase or decrease the number of individuals or entities that will respond to the collection.

For example, in FY 2006 the Department of Education did not revise the Student Aid Report (SAR), the disclosure form which includes a student's preliminary eligibility information for Federal student aid and the student's Expected Family Contribution. However, the burden for this collection still increased, as the Department recognized that greater numbers of students were applying for Federal student aid and, therefore, receiving a SAR. This factor was outside of the Department's immediate control, but still resulted in an increase (an adjustment) of 54,007 hours for this collection.

Figure 1. Total Burden (FY 1999 - FY 2007)<sup>4</sup>



- ◆ Total Burden
- ▲ Total burden excluding adjustments and program changes due to new statutes.\*

<sup>4</sup>As discussed in Chapter 3, IRS has changed the methodology it uses to estimate individual taxpayer burden. As a result, the total burden for FY 2006 and FY 2007 has different underlying assumptions than estimates for previous years. Since IRS has not yet re-estimated prior years' burden under the new model, the higher line for FY 2006 and FY 2007 overstates the overall increase in burden. To control for this and other adjustments and burden changes beyond the control of agencies, the dotted triangular line represents changes due to agency discretion since FY 2005.

\*Adjustments include changes in burden estimation methodology. This line reflects program changes due to agency action.

**FY 2007**

In FY 2007, the Federal government anticipates a smaller overall increase in burden imposed on the public. The projected total governmentwide burden for FY 2007 is 9.2 billion hours, a 2.7 percent increase over the previous year's reported burden.

A significant portion (approximately 28 percent) of the projected burden increase in FY 2007 is due to the introduction by the IRS of one new form -- Form 8913, Credit for Federal Telephone Excise Tax Paid. This new form allows taxpayers to request a refund of Federal excise tax paid on nontaxable telephone service. Because taxpayers can only request this refund on their 2006 income tax returns, this is a one-time increase in burden. Although the burden per response is relatively low, the one-time burden spike is high (67.6 million burden hours) because of the very large number of taxpayers who are eligible to claim the refund. The IRS is initiating this collection due to several recent Federal court decisions which have held that the excise tax does not apply to long-distance phone service as it is billed today. The IRS is following these court decisions and, as a result, the IRS (prospectively) is no longer collecting this portion of the excise tax and (retrospectively) is refunding to taxpayers the portion of the tax that had been charged on long-distance calls. In order to make these refunds to those taxpayers who claim them, the IRS developed Form 8913.

The remainder of the estimated burden increase in FY 2007 is attributable to the following.

As in FY 2006 and prior years, agency implementation of new statutes will increase paperwork burden in FY 2007. The largest increase in this regard is expected from the Department of Agriculture's (USDA) implementation of the Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265), with an estimated increase of 6.86 million burden hours. This law reauthorized several Federal nutrition programs, including the National School Lunch Program (NSLP) and School Breakfast Program (SBP), and it requires those schools that participate in NSLP and SBP to implement food safety programs for their preparation and service of school meals served to children. The paperwork increase involves the recordkeeping and reporting requirements associated with these food safety programs. Another significant statutory increase in burden will result from the Commerce Department's implementation of the Digital Television Transition and Public Safety Act of 2005 (Pub. L. No. 109-171), which will increase burden by 5.25 million burden hours. This burden is associated with individuals applying to the Commerce Department for the new benefit that the Act created, which is a coupon that they will be able to use to help defray the cost of their purchasing a digital converter box. This benefit was created to assist those people who have analog televisions, and rely on over-the-air transmission, rather than on cable or satellite transmission, because under Federal law these over-the-air transmissions will cease to be analog by February 18, 2009.

The following discussion explains, in more detail, the various factors that affected paperwork burden hours in FY 2006 and that we expect will affect such hours in FY 2007.

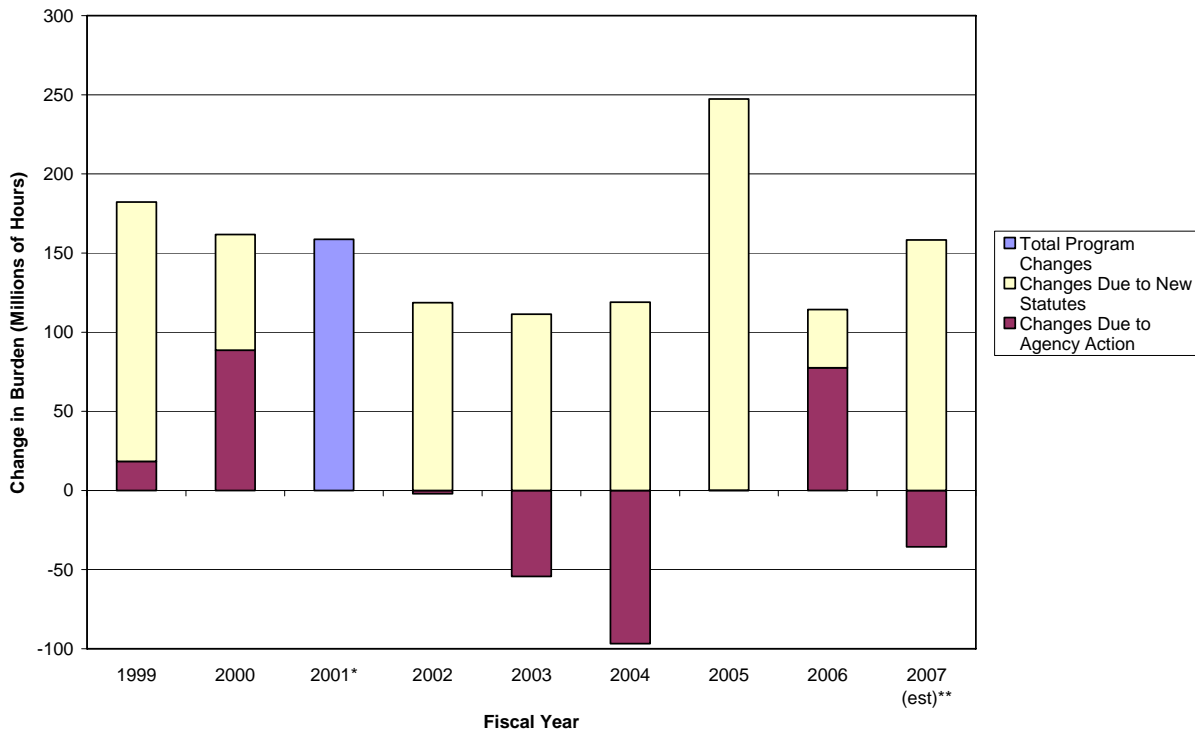
**Changes within Agency Control**

In some areas, agencies have considerable discretion in managing their information collection activities. For example, in administering a grant program where performance reporting is statutorily required, an agency may have discretion in deciding the frequency or depth of grantee reporting.

OMB considers actions within this category of “Due to Agency Action” to be the most accurate measure of agency activity with respect to information collection.

As indicated in Figure 2, in FY 2006 agencies reported a net increase in discretionary burden as part of their programmatic responsibilities. Although the overall picture shows an increase, in FY 2006 a number of agencies took significant actions to decrease the discretionary burden imposed. Some notable examples include:

- The Department of Transportation revised its Hours of Service of Drivers regulations so that over 239,400 commercial motor vehicle drivers operating in the short-haul sector would be relieved of the requirement to file Record of Duty Status (RODS) information. This change resulted in a decrease of 7.27 million burden hours.
- The Department of Homeland Security (DHS) expanded electronic reporting options for its National Fire Incident Reporting System (NFIRS), which allows the Department to analyze fire incident data at the Federal, State, and local levels. The revised system continues to help DHS identify common fire trends on a national scale, but in a more efficient manner. The revisions to the system resulted in a reduction of 1.28 million burden hours and \$17.545 million in costs to respondents.
- Within the Department of Health and Human Services, the Health Resources and Services Administration (HRSA) managed a work group to examine some of its forms for possible duplication or redundancy with currently approved Standard Forms. The group found that the health professions programs could operate with the Standard Forms, allowing HRSA to discontinue on of its program-specific forms, the Competing Grant Training Application. As a result, burden was reduced by 101,531 hours.
- The Social Security Administration (SSA) reduced the amount of time necessary to complete the initial online filing for Social Security retirement and disability benefits by enabling respondents to sign the application electronically, rather than in hard copy. This portion of the SSA’s Signature Proxy Initiative resulted in an annual reduction of 32,401 hours.

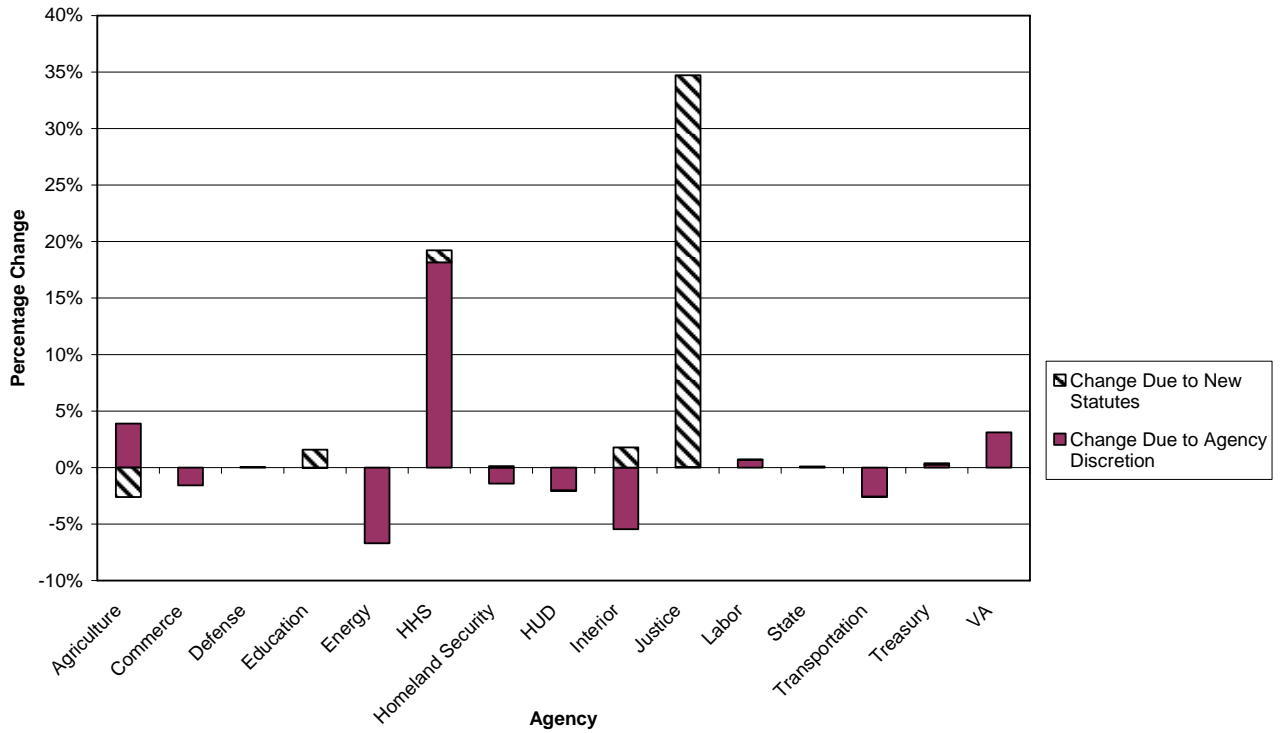
**Figure 2. Change in Burden Due to Program Changes (FY 1999 – FY 2007)**

\*In FY 2001, OIRA did not disaggregate Total Program Changes into Changes Due to Agency Action, Changes Due to New Statutes, and Changes Due to Lapse in OMB Approval.

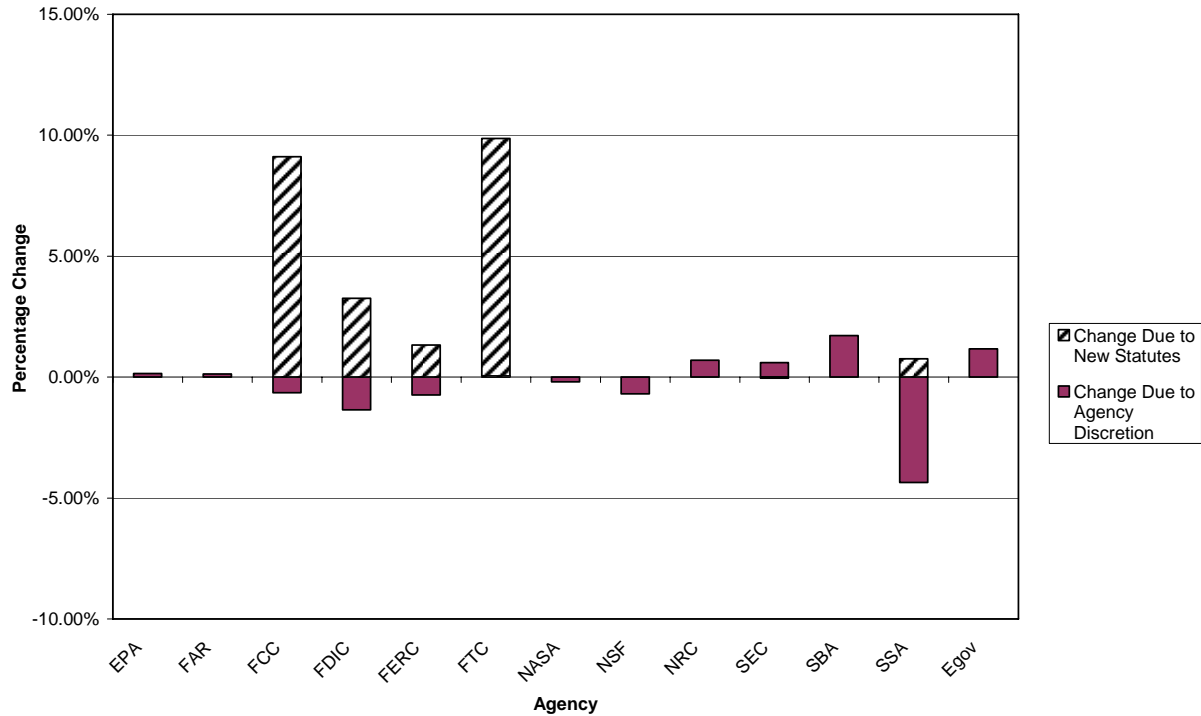
\*\*More than a quarter of the change indicated for FY 2007 as due to statute resulted from new IRS Form 8913 (Credit for Federal Telephone Excise Tax Paid), which allows taxpayers to receive telephone excise tax refunds. IRS was required to refund this tax because of court decisions that interpreted tax legislation. Accordingly, OMB is classifying the program change as due to statute, since the burden is legislatively driven.

As these examples and the data in Figure 3 and Figure 4 demonstrate, individual agencies are continuing to look for ways to reduce the burden they impose on the public. However, taken as a whole, the Federal agencies are reporting a net increase in program changes for FY 2006. A considerable portion of the program change increase (79 percent) can be attributed to two large-scale changes made by the Centers for Medicare and Medicaid Services (CMS) within the Department of Health and Human Services. First, CMS created a new standardized claim form, the CMS-1500 (08-05), for use in both the Medicare and Medicaid programs to apply for reimbursement for covered services. The form replaces the previous form used by CMS (12-90). While transitioning from the old form to the new form, CMS has reported a one-time burden increase of 46.4 million hours. The agency expects that the overall burden for collecting this information will be reduced as the old form is phased out. Second, CMS instituted a new process to verify at a high level of assurance all persons requesting access to CMS' computer systems, the Individuals Authorized Access to the CMS Computer Services (IACS). This new information collection resulted in a program change that increased burden by 15 million hours.

**Figure 3. FY 2006 Percentage Change in Burden Due to Program Changes (Departments)**



**Figure 4. FY 2006 Percentage Change in Burden Due to Program Changes (Other Agencies)**



## New Statutory Changes

In each session of Congress, laws are passed that create new programs for the agencies to implement and, quite frequently, new information to collect, use, and disseminate. Typically, new legislative initiatives and amendments require more, not less, data collection. In the past fiscal year, approximately 5 percent (36.7 million hours) of the increase in burden resulted from the implementation of new statutes passed by Congress in recent years. Among other public policy goals, these statutory changes were designed to increase employment, protect consumers, improve public health, promote fiscal responsibility and enhance transportation security. Examples of statutory changes that resulted in the largest burden increases are provided in Table 1.

**Table 1. Statutes with Significant Burden Increases in FY 2006 and FY 2007**

| <b>Statute</b>  | <b>Agencies</b> | <b>FY 2006 Increase</b> | <b>FY 2007 Increase</b> |
|---|-----------------|-------------------------|-------------------------|
| Unsolicited Facsimile Advertisements, Junk Fax Prevention Act (Pub. L. No. 109-21)          | FCC             | 13,180,000              | -                       |
| American Jobs Creation Act of 2004 (Pub. L. No. 108-357)                                    | Treasury        | 8,700,000               | -                       |
| Fair and Accurate Credit Transactions (FACT) Act of 2003 (Pub. L. No. 108-159)              | FTC             | 7,110,000               | -                       |
| Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)                   | Agriculture     | -                       | 6,857,511               |
| Digital Television Transition and Public Safety Act of 2005 (Pub. L. No. 109-171).          | Commerce        | -                       | 5,250,000               |
| Combat Methamphetamine Epidemic Act of 2005, (Pub. L. No. 109-177)                          | Justice         | 4,548,500               | -                       |
| Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-73) | HHS             | 3,043,763               | 143,168                 |
| Deficit Reduction Act of 2005 (Pub. L. No. 109-171)   | HHS, Education  | 984,422                 | 1,013,239               |
| Maritime Transportation Security Act of 2002 (Pub. L. No. 107-295)                          | DHS             | -                       | 1,353,100               |
| Aviation and Transportation Security Act (Pub. L. No. 107-71)                               | DHS             | -                       | 1,141,000               |
| American Indian Probate Reform Act of 2004 (Pub. L. No. 108-374)                            | Interior        | -                       | 1,037,362               |

In FY 2006, the most significant statutory burden increase resulted from the Federal Communications Commission's (FCC) implementation of the Unsolicited Facsimile Advertisements, Junk Fax Prevention Act, which permits the faxing of unsolicited advertisements to individuals and businesses with which the sender has an established business relationship and provides a process by which any sender must stop sending these faxes upon the request of the recipient. Although neither the disclosure of this process to the fax recipient nor the request to stop sending faxes imposes large PRA burdens, these requirements apply to an extremely large number of persons.

The next largest statutory increase resulted from the Department of the Treasury's implementation of the American Jobs Creation Act of 2004. This law created the Domestic Production Activities Deduction, a tax benefit for certain domestic production activities. The new deduction provides a tax

savings against income attributable to domestic production activities, and is available for tax years beginning after December 31, 2004.

Qualified production activities include manufacturing, producing, growing, and the construction and substantial renovation of real property. The production of certain films is also a qualifying activity as are certain engineering or architectural services.

To help taxpayers calculate this deduction, IRS created Form 8903. Due to the complexity of the form and length of the instructions, IRS estimates that the average time to complete it for non-individual taxpayers is almost 32 hours. With over 300,000 non-individual taxpayers expected to prepare and file Form 8903, IRS estimates that the total burden of the new form to be 8.7 million hours.

In FY 2007, the agencies anticipate that they will impose an increase of 158.3 million hours to fulfill their responsibilities under new legislation or other recent legal mandates. As discussed previously, the IRS will be implementing a telephone tax refund form that accounts for most of this increase, and this refund is required by several recent court decisions which held that the IRS could no longer collect an excise tax on long-distance phone calls. The largest anticipated increase from a new statute is expected from the Department of Agriculture's (USDA) implementation of the Child Nutrition and WIC Reauthorization Act of 2004. This law reauthorized several Federal nutrition programs, including the National School Lunch Program (NSLP) and School Breakfast Program (SBP). The law required school food authorities participating in the NSLP and SBP to implement food safety programs for the preparation and service of school meals served to children. As part of its implementation of this law, USDA will establish a risk-based Hazard Analysis Critical Control Point (HACCP) system to assist participating schools in identifying potential food hazards and critical points where hazards can be controlled or minimized. USDA will also develop monitoring procedures to determine if the hazards identified are being effectively controlled. USDA's expected burden increase stems from the recordkeeping and reporting requirements associated with State and school participation in the HACCP system.

### **Changes Beyond Agency Control**

Not all changes in agency burden can be attributed to discretionary actions the agency has taken. Indeed, many increases and decreases in burden are outside the realm of an agency's control. These changes, referred to under the PRA as "adjustments," differ from program changes in that the burden imposed on actual respondents for individual information collections does not change. Rather the change in burden occurs due to an agency's re-estimate of the amount of time necessary to respond to an information collection or due to a change in the population responding to the collection.

Re-estimates of burden reflect attempts by an agency to assess more accurately the burden a particular collection of information imposes on the public. For example, the Department of Labor (DOL) conducted an analysis of the time required for construction contractors and subcontractors to comply with recordkeeping and reporting requirements for nondiscrimination and affirmative action obligations for Federal contracts. Although DOL did not create new requirements or change the existing requirements, the burden for the collection was reduced because DOL was able to disaggregate the time necessary for different sized firms to respond to the information collection. Additionally, DOL used multiple data sources to obtain a more exact measure of the respondent universe. As a result of these efforts, DOL adjusted downward its estimate of the burden for its

Construction Recordkeeping and Reporting information collection (OMB number 1215-0163) by 3.1 million hours.

Changes in the population that must respond to the information collection often result from demographic trends, economic growth, or natural disasters. For example, even if the program eligibility and information collection requirements for a Social Security benefit program targeted at elderly populations do not change, the burden for the collection may still increase as greater numbers of Baby Boomers reach the target age for program eligibility and apply for benefits.

More than three quarters (568.77 million hours) of the burden increase reported for FY 2006 is attributable to adjustments in agency estimates. As explained below, the Department of the Treasury's adjustment for a select set of tax forms accounts for nearly 89 percent of the overall adjustment figure. Absent the Treasury's sizeable adjustment, FY 2006 adjustments for the other Federal agencies totaled 63.4 million hours, or approximately 9 percent of the overall increase from FY 2005.



## Chapter 2. Paperwork Reduction Act Compliance

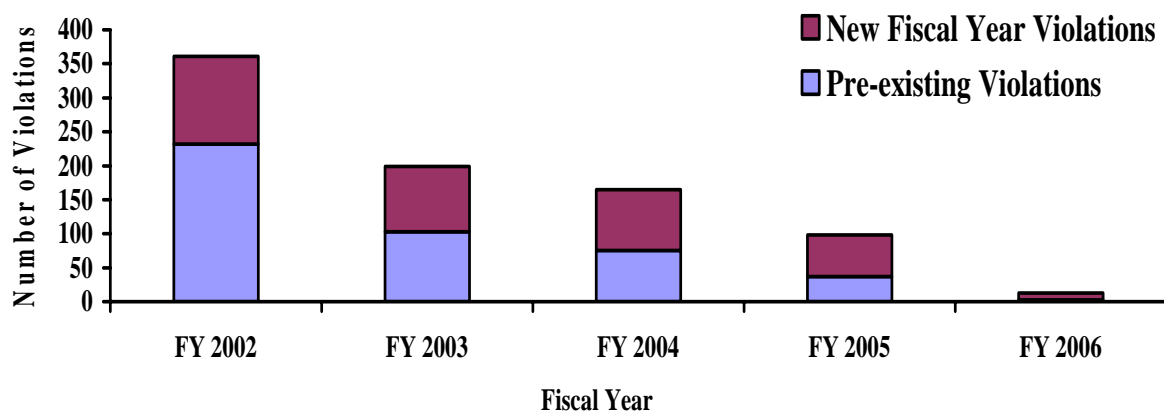
The Paperwork Reduction Act of 1995 (PRA) requires Federal agencies and OMB to ensure that information collected from the public minimizes burden and maximizes practical utility. The Act assigns each agency's Chief Information Officer (CIO) the responsibility for ensuring that the agency complies with the PRA. OMB's Office of Information and Regulatory Affairs (OIRA) is responsible for approving information collection reviews under the PRA. OIRA desk officers review and approve over 3,200 information collections each year.

To help the public and the agencies monitor compliance with the information collection provisions of the PRA, OMB annually publishes a list of violations in the ICB (see Appendix C). This year, OMB is reporting the lowest number of violations (resulting from the lapse of OMB's approval for an ongoing collection) that it has ever reported since implementing a "zero tolerance" approach to violations of the PRA. During FY 2006, the agencies reported 12 information collections that were violations, eight of which had expired in FY 2006 and four of which were pre-existing collections that had expired before October 1, 2005 and were on-going violations until their reinstatement in FY 2006.<sup>5</sup>

### Achieving Zero Violations

Agencies have made great progress in reducing the number of violations that occur and in resolving them more promptly. However, it is still the case that a number of collections become violations each year because agencies do not submit to OMB, on a timely basis, requests for renewal of OMB approval under the PRA. Thus, even if these violations are resolved quickly, agencies are nevertheless out of compliance with the law for relatively brief periods of time.

Figure 5. Total Number of Agency New and Pre-existing Violations, FY 2006



<sup>5</sup> See Appendix C for a further explanation of the circumstances surrounding the four ongoing violations that are reported here.

OMB tracks the number of information collections that expire each month and determines whether the collections are violations. Each year, OMB lists in the ICB the total number of agency violations. Figure 5 represents the total number of agency violations that occurred during recent fiscal years and the total number of pre-existing violations carried over from the previous fiscal year.

OMB is committed to working with agencies to reduce the number of new violations and resolve all pre-existing violations. OMB is pleased to report that 64% of agencies reported zero violations in FY 2006, up from 57% in FY 2005. Of the agencies reporting violations, moreover, violations were usually resolved quickly: 70% of the agencies reporting violations in FY 2006 were able to reinstate their expired information collections within an average of 4 months.

Table 2 rates each of the 28 agencies for their compliance. For FY 2006, no agencies reported more than five violations and received an OMB rating of “poor.” In fact, no agency had more than two violations. This is a significant improvement over FY 2005, when three agencies received a poor rating, and over FY 2004, when eight agencies received a poor rating. Similarly, the number of agencies rated as good increased from 16 to 18.

**Table 2. Total Number of Expirations Reported as Violations, FY 2006<sup>6</sup>**

| <b>Good</b>         | <b>Need Improvement</b>  | <b>Poor</b>                 |
|---------------------|--------------------------|-----------------------------|
| <b>0 Violations</b> | <b>1 to 4 Violations</b> | <b>5 or More Violations</b> |
| USDA                | DOD                      |                             |
| DOC                 | Education                |                             |
| HHS                 | DOE                      |                             |
| DOL                 | DHS                      |                             |
| State               | HUD                      |                             |
| E-Gov               | Interior                 |                             |
| EPA                 | DOJ                      |                             |
| FAR                 | Transportation           |                             |
| FCC                 | VA                       |                             |
| FDIC                | SSA                      |                             |
| FERC                |                          |                             |
| FTC                 |                          |                             |
| NASA                |                          |                             |
| NSF                 |                          |                             |
| NRC                 |                          |                             |
| SEC                 |                          |                             |
| SBA                 |                          |                             |
| Treasury            |                          |                             |

<sup>6</sup> This list includes both violations that occurred in FY 2006 as well as those violations which occurred prior to FY 2006 but were discovered in FY 2006. See discussion on page 166 in Appendix C.

## Steps to Improve Agency Compliance

In addition to routine efforts to inform agency staff of the steps they can take to avoid incurring PRA violations, OMB has taken action in recent years to minimize violations further, including:

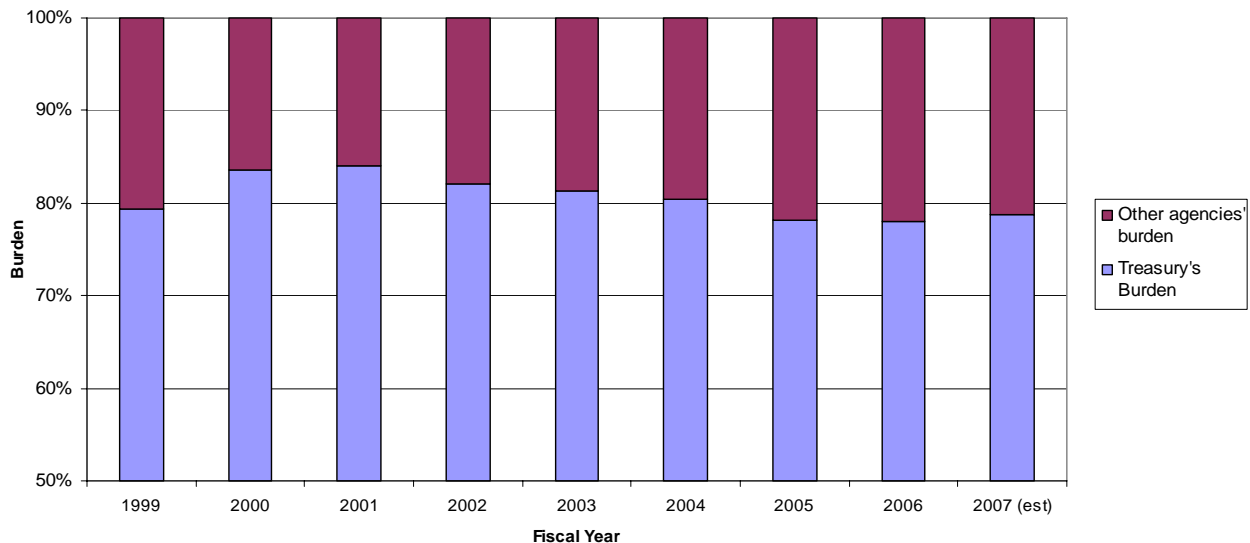
- **September 2006:** In the FY 2006 ICB Bulletin (describing the agency requirements for submission of ICB documents), OMB asked agencies to identify and resolve all violations that occurred in the past fiscal year.
- **January 2007:** OMB enhanced the electronic database of information collections to enable agencies to prepare a watchlist of all the information collections that will expire in the next 150 days. This watchlist provides the agency with ample time to prepare a 60-day *Federal Register* notice, incorporate any public comments from the Notice into the collection, submit the collection to OMB for a 30-day review period, and receive clearance for the collection. Moreover, this watchlist specifically flags those information collections which the agency has not yet submitted to OMB for renewal so that agencies can focus on those information collections which most urgently require their attention.
- **April 2007:** OMB provided instructions to the agencies on how to use the watchlist and encouraged agencies to use this feature as a management tool to prevent future violations.

### Chapter 3. Recent Developments in Taxpayer Burden

A continuous theme in OMB’s annual reports to Congress on the Paperwork Reduction Act has been the predominant role played by the Internal Revenue Service (IRS) in the Federal government’s information collection activities. Due to the nature of the Federal income tax system, IRS affects the lives and businesses of Americans like no other agency. Accordingly, in FY 2006 IRS again accounted for a large share (78 percent) of the Federal government’s reporting burden on the public. As we report in this year’s ICB, significant changes in IRS burden have affected the government totals.

As shown in Figure 6, Treasury’s share of all PRA burden (made up almost entirely of IRS burden hours) has been close to 80 percent since FY 1999. IRS experienced a slight downward trend in burden over the past four fiscal years. This trend leveled off in FY 2006 and is expected to increase slightly in FY 2007. While IRS administers a large number of information collections that are subject to the PRA, two collections in particular explain much of the current developments in taxpayer burden. This chapter discusses these two collections— a pair of related forms that will be used only once by taxpayers in FY 2007 and the individual tax return burden, which was the focus of significant burden estimation and accounting changes in FY 2006.

**Figure 6. Treasury Burden as a Percentage of All Burden, FY 1999 – FY 2007**



## **Forms 8913 and 1040-EZ-T: The Telephone Excise Tax Refund**

The telephone tax refund is a one-time payment available to taxpayers filing a 2006 Federal income tax return. It is intended to refund previously collected Federal excise taxes on long-distance or bundled service. It is available to anyone who paid such taxes on landline, wireless, or Voice over Internet Protocol (VoIP) service. IRS is refunding the taxes paid on long-distance or bundled service billed to taxpayers for the period after Feb. 28, 2003, and before Aug. 1, 2006.

To understand the reasons behind this tax refund and the creation of Forms 8913 and 1040-EZ-T, one must begin with a three percent excise tax that was instituted in 1898 to finance the Spanish-American war, and that had been legally collected for many years. Due to recent changes in the telecommunications industry, part (but not all) of this tax was no longer applicable. The applicability of the tax became the subject of debate, and was ultimately resolved by the courts.

Until the matter was resolved by the courts, the IRS continued (appropriately) to collect the taxes, and would have continued collecting them if the IRS had ultimately prevailed in the court cases.

Once the issues had been resolved by the courts, however, IRS needed to stop collecting the excise tax and make refunds of already-paid taxes (back to the date of the initial court cases, which was over three years ago).

While the availability of this refund was good news for taxpayers, the burden of calculating and claiming the refund can be significant. To assist taxpayers eligible for this one-time credit, IRS created Form 8913, Credit for Federal Telephone Excise Tax Paid. IRS assumes that nearly 2.4 million non-individual filers will file Form 8913, with an average burden of over 28 hours per filer. The large average burden is due to the complexity of calculating the credit or refund. Filers, for example, must have phone records for each month of the refund period for which a credit or refund is requested, and they must calculate the interest due on the refund. To reduce this burden, IRS developed a Business and Nonprofit Estimation Method that requires only six consecutive months of phone records. Even with this method available to many taxpayers, the aggregate burden for non-individual taxpayers for this one-time collection is 67.6 million hours.

Burden associated with the telephone excise tax incurred by individuals is covered under OMB control number 1545-0074, with the exception of certain individuals only filing a return for purposes of claiming the credit. To meet the needs of these taxpayers, who would otherwise typically not need to file a return, IRS created Form 1040-EZ-T. This form is only used for claiming the telephone excise tax refund and is covered under OMB control number 1545-2039. Approximately one million individuals are expected to use this form with an average burden of almost two and a half hours. The aggregate burden for this one time collection, over 2.4 million hours, compares favorably with the higher burden that would have been required had these individuals been required to file a full income tax return in order to claim this credit.

## **Individual Tax Return Burden**

As explained in last year's ICB, in FY 2006 IRS updated its burden estimation methodology for calculating the PRA burden of individual taxpayers. Under IRS' new Individual Taxpayer Burden

Model (ITBM), the aggregate burden for all individual forms and schedules was estimated to be three billion hours. This total burden figure reflected the burden associated with Forms 1040, 1040A, 1040EZ, 1040NR, or 1040NR-EZ, and their associated schedules and other tax forms that can be attached to these forms. Under the new methodology, *all* forms used by individual income taxpayers are assigned a single control number: 1545-0074.

The new three billion hour burden estimate was 1.44 billion hours higher than was previously assigned to Control Number 1545-0074. Much of this increase was due to double counting of individual burden that had previously been accounted for in separate information collections. Previously, each individual tax form was assigned a separate control number, and each had a separate burden estimate. As noted above, the previously separate burden estimates from other control numbers are now included under Control Number 1545-0074. As a result, IRS has had to (1) discontinue OMB's approval of individual tax forms now covered under 1545-0074 and (2) adjust the burden of "dual-use" forms (i.e., those filed by both individual and non-individual taxpayers) to reflect the fact that the portion of burden imposed on individual taxpayers is now accounted for under 1545-0074.

In last year's ICB, OMB reported that approximately 1.19 billion hours of the 1.44 billion hour increase was due to double-counting. Since then, IRS has reviewed the burden accounting changes and found that 93 million of the 1.19 billion hours was previously accounted for under Control Number 1545-0074, and should not have been considered double counting. With this change, the total adjustment increase in individual taxpayer burden in FY 2006 was approximately 343 million hours, instead of 250 million hours. This adjustment increase, however, reflects a new *estimate of burden*, not an actual *change in burden* imposed on taxpayers. For FY 2007, IRS estimates that the total burden imposed on individual taxpayers is 3.31 billion hours.

Annual burden changes estimated by ITBM reflect the three major PRA categories of change: statutory changes, IRS discretionary changes, and adjustments. Statutory changes are reflected in the model by changing tax parameters (such as the amount of the AMT exemption for married taxpayers filing jointly) and by making adjustments to the model code and input data to reflect new provisions. IRS discretionary changes are reflected in the model by changing administrative parameters (such as the documentation requirements for claiming a deduction for certain charitable contributions) and by editing the compliance burden attributes associated with the forms, instructions, and publications to reflect any changes. Adjustments made using ITBM fall into three major subcategories: (1) a change in the number of filers due to population or economic changes; (2) changes in the Consumer Price Index and other inflation and wage growth adjustment factors; and (3) changes from technical adjustments made during the process of inputting updated data into the model. Each year, an updated input data file is incorporated into the model as the new data become available. The combination of these three components determines the annual adjustment in reported burden.

## **Chapter 4. Agency Initiatives to Reduce Burden**

OMB regularly works with the agencies to examine ways to minimize the Federal paperwork burden on the public. One way that OMB formalizes this process is through the ICB report; this year, as we have done in previous years, OMB requested that agencies (with total burden equal to or in excess of 10 million hours) develop initiatives that will result in a cumulative burden reduction level of approximately 1 percent of total agency burden.<sup>7</sup> OMB also requested agencies to provide a status update for the burden reduction initiatives that they developed for the FY 2005 ICB.

The nature of these burden reduction initiatives varies across government agencies. However, all initiatives are designed to improve program performance by enhancing the efficiency of agency information collections, reduce the burden per response on the public, or lead to a comprehensive review of an entire program, including regulations and procedures. Agencies were asked to provide a description of the initiative; a total estimate burden reduction; a date of completion; a list of information collections affected; and potential hurdles to accomplishing the initiative, including statutory or policy barriers.

This chapter contains a summary of newly-reported initiatives that agencies plan to implement in FY 2007 and beyond, as well as an update on past burden reduction initiatives (from FY 2005) that were not reported as completed in the FY 2006 ICB.

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<sup>7</sup> Agencies with total burden hours less than 10 million hours provided initiatives, but they were not subject to the 1 percent target.

## New Burden Reduction Initiatives for FY 2007

OMB requested burden reduction initiatives from 27 of the 28 Federal agencies reported on in the ICB.<sup>8</sup> Of the 18 agencies that reported more than 10 million hours for FY 2006, 16 agencies reported a one percent burden reduction initiative. Three agencies (the Department of Defense, the Department of the Treasury, and the Securities and Exchange Commission) submitted initiatives, but did not meet the 1 percent burden reduction target. One agency, the Federal Trade Commission, declined to submit an initiative, explaining that approximately 84 percent of its paperwork burden was essentially beyond its practical ability to reduce. Summaries of the new burden reduction initiatives are as follows:

### Department of Agriculture

|   |  |
|---|--|
| <b>Office:</b>                          | Animal and Plant Health Inspection Service (APHIS)   |
| <b>Initiative Title:</b>                | Providing Online Access to Permit Applications (ePermits)  |
| <b>Description:</b>                     | The ePermits system is a large initiative that has been in development for several years and is being implemented in multiple phases. The ePermits system is an ongoing effort to improve citizens' knowledge of and access to USDA. The next phase will bring even more services to accommodate our customers and will reduce data-entry, processing, and delivery time and expense |
| <b>Estimated Burden Reduction:</b>      | 9,073 hours  |
| <b>Collection(s) Affected:</b>          | 0579-0049, 0579-0054, 0579-0076, 0579-0129, 0579-0261, 0579-0285, 0579-293, 0579-0015, 0579-0094, 0579-0145, 0579-0167, 0579-0183, and 0579-0245   |
| <b>Expected Date of Completion:</b>     | FY 2008  |
| <b>Potential Hurdles to Completion:</b> | None expected  |

### Department of Agriculture

|                          |  |
|--------------------------|--|
| <b>Office:</b>           | Cooperative State Research, Education, and Extension Service (CSREES)  |
| <b>Initiative Title:</b> | CSREES eGrants and Grants.gov  |
| <b>Description:</b>      | The purpose of the CSREES eGrants initiative is to automate back-end grant processes such as peer review. CSREES is also leveraging the federal wide Research and Related (R&R) forms and making great strides in the implementation of its Peer Review System. With |

<sup>8</sup> OMB did not request a burden reduction initiative from Egov, as the Egov initiative is itself designed to reduce the overall burden.



|   |  |
|---|--|
|   | the adoption of eGrants, CSREES will be able to consolidate its Grant Applications processes and thereby reduce burden on respondents. |
| <b>Estimated Burden Reduction:</b>      | 1,424 hours  |
| <b>Collection(s) Affected:</b>          | 0524-0025, 0524-0039, 0524-0041  |
| <b>Expected Date of Completion:</b>     | FY 2009  |
| <b>Potential Hurdles to Completion:</b> | Ownership issues with the Standard Research and Related Forms  |

### Department of Agriculture

|   |   |
|---|---|
| <b>Office:</b>                          | Rural Development   |
| <b>Initiative Title:</b>                | Rural Development Guaranteed loans  |
| <b>Description:</b>                     | The initiative will create a common platform for Rural Development guaranteed loan programs, including a common set of forms. The use of common forms across four loan programs will create efficiencies in reporting and reduce burden to lenders and borrowers. |
| <b>Estimated Burden Reduction:</b>      | 29,716 hours  |
| <b>Collection(s) Affected:</b>          | TBD   |
| <b>Expected Date of Completion:</b>     | December 31, 2007   |
| <b>Potential Hurdles to Completion:</b> | Completion of rulemaking.   |

### Department of Agriculture

|                          |   |
|--------------------------|---|
| <b>Office:</b>           | Rural Development Utilities Programs  |
| <b>Initiative Title:</b> | Streamline Telecommunications Program Contracts   |
| <b>Description:</b>      | Over the past year and a half, USDA has undertaken a comprehensive review of its Telecommunications Program contracts. The purpose of this undertaking is to improve customer service to our rural borrowers with a more efficient and effective means to complete a contract transaction. USDA and its Office of General Counsel have been working with industry groups to obtain their input as to what types of changes they would like to see made to existing contracts and forms. The goal is to collapse the current twelve (12) contracts into four (4) master contracts: equipment, construction, services, and software. This will allow for the borrower to have a greater flexibility to adapt project information in |

completing a contract which in turn may reduce their overall burden.

**Estimated Burden Reduction:**

TBD

**Collection(s) Affected:**

0572-0059, 0572-0107, 0572-0118, 0572-0023, 0572-0074

**Expected Date of Completion:**

December 31, 2007

**Potential Hurdles to Completion:**

Completion and approval of new contracts for timely publication of a proposed and final rule.

### Department of Agriculture

**Office:**

Food and Nutrition Service (FNS)

**Initiative Title:**

FNS Burden Reduction Initiative

**Description:**

This initiative targets FNS information collections that are used to assure program eligibility, monitor income security, and compliance with legislation and Agency regulations. FNS will systematically review ICRs as they come up for review to attempt to improve program performance. Specifically, this initiative aims to cut redundancy, promote automation efforts, and partner with other agencies where feasible. Best faith efforts on this reduction will be accomplished within four years.

**Estimated Burden Reduction:**

820,000 hours

**Collection(s) Affected:**

0584-0006; 0584-0012, 0584-0026; 0584-0043; 0584-0064; 0584-0293; and 0584-0535

**Expected Date of Completion:**

FY 2011

**Potential Hurdles to Completion:**

Unknown.

### Department of Commerce

**Office:**

NOAA National Marine Fisheries Service

**Initiative Title:**

National Permit System (NPS)

**Description:**

The NPS will be a centralized database with Web-based permit application, which will reduce public burden through: (1) harmonization and linkage of data residing in stovepipe regional permit, dealer, and vessel databases; (2) standardization of permit applications renewals, and electronic submission of fees; and (3) use of common components and data throughout the permit process to reduce duplicative submission of recurrent data.

|   |   |
|---|---|
| <b>Estimated Burden Reduction:</b>      | 19,400 hours (9,700 hours annually)   |
| <b>Collection(s) Affected:</b>          | 0648-0194, -0202, -0203, -0204, -0205, -0206, -0269, -0272, -0304, -0327, -0334, -0393, -0398, -0401, -0471, -0490, -0512, -0513, -0514, -0545. |
| <b>Expected Date of Completion:</b>     | Before end of FY 2008   |
| <b>Potential Hurdles to Completion:</b> | None expected   |

### Department of Commerce

|   |   |
|---|---|
| <b>Office:</b>                          | Bureau of the Census  |
| <b>Initiative Title:</b>                | Company Organization Survey (COS)   |
| <b>Description:</b>                     | The Census Bureau conducts the annual COS in order to maintain and update a centralized, multipurpose Business Register (BR). Specifically, the COS supplies critical information on the organizational structure, operating characteristics, employment, and payroll of multilocation enterprises. In 2007, COS will be conducted in conjunction with the Quinquennial Economic Census which will reduce burden on business by collecting BR data only once for multilocation enterprises. The Economic Census uses a Web-based reporting system -- the Generalized Instrument Design System -- that greatly reduces the reporting burden on businesses. |
| <b>Estimated Burden Reduction:</b>      | 111,834 hours   |
| <b>Collection(s) Affected:</b>          | 0607-0444   |
| <b>Expected Date of Completion:</b>     | January 2008  |
| <b>Potential Hurdles to Completion:</b> | None expected   |

### Department of Defense

|                          |  |
|--------------------------|--|
| <b>Office:</b>           | Defense Finance and Accounting Service (DFAS)  |
| <b>Initiative Title:</b> | Burden Reduction for Personal check Cashing Agreement Collection   |
| <b>Description:</b>      | Several key initiatives within DoD lessen the need to provide check cashing services. These initiatives include the introduction of the ATM at Sea Program, Eagle Cash, Navy Cash and Marine Cash. These programs provide military members and civilian employees with the ability to access funds via ATMs, transfer funds between accounts and perform other financial transactions, reducing the need |

to cash personal checks.

|   |                            |
|---|----------------------------|
| <b>Estimated Burden Reduction:</b>      | 181,462 hours <sup>9</sup> |
| <b>Collection(s) Affected:</b>          | 0730-0005                  |
| <b>Expected Date of Completion:</b>     | 2007                       |
| <b>Potential Hurdles to Completion:</b> | None                       |

### Department of Education

|   |   |
|---|---|
| <b>Office:</b>                          | Office of the Chief Information Officer   |
| <b>Initiative Title:</b>                | Information Collection Transformation Process (ICTP)  |
| <b>Description:</b>                     | This initiative will require a more robust, cross-cutting, and analytical review of information collection requests to address more effectively the guidance and spirit of the Paperwork Reduction Act. Analysts in the Regulatory Information Management Services (RIMS) will work with offices within the Department of Education (ED) to reduce duplication, consolidate collections, and collect information more efficiently. With each collection that ED submits as a “revision” to OMB, ED will submit a statement outlining the steps that were taken for that collection to meet the goals of this initiative and identifying the amount of burden reduced, if any, as a result of this initiative. |
| <b>Estimated Burden Reduction:</b>      | 434,000 hours   |
| <b>Collection(s) Affected:</b>          | 1845-0001, 1845-0008, 1845-0041, 1845-0052, 1845-0002, 1845-0012, 1845-0032, 1845-0055, 1845-0066, 1845-0072, 1845-0039 and 1845-0007   |
| <b>Expected Date of Completion:</b>     | FY 2009   |
| <b>Potential Hurdles to Completion:</b> | A review of this complexity will be resource- and time-intensive and will involve coordination among numerous ED offices.   |

### Department of Energy

|                          |   |
|--------------------------|---|
| <b>Office:</b>           | Office of Procurement and Assistance Management         |
| <b>Initiative Title:</b> | Federal Financial Assistance Management Improvement Act |

<sup>9</sup> When implemented, this initiative will result in a cumulative burden reduction of approximately 0.4% of the Department of Defense’s total burden.

|   |  |
|---|--|
| <b>Description:</b>                     | The Department of Energy (DOE) will continue to work to improve and standardize its financial assistance reporting requirements as part of the government-wide Grants.gov initiative. The Office of Procurement and Assistance Management is actively involved in the implementation of the Federal Financial Assistance Management Improvement Act in an effort to streamline and simplify the application process and the administration of grants in the area of financial assistance. The Grants.gov initiative allows potential applicants to search the website to easily find and apply for financial assistance opportunities. As a result of this initiative, application forms previously used by DOE in financial assistance have been and will continue to be replaced by standardized Government-wide electronic forms maintained by the Grants.gov Project Office. |
| <b>Estimated Burden Reduction:</b>      | 7,918 hours  |
| <b>Collection(s) Affected:</b>          | 1910-0400  |
| <b>Expected Date of Completion:</b>     | second quarter of FY 2007  |
| <b>Potential Hurdles to Completion:</b> | None   |

### Department of Health and Human Services

|                                    |  |
|------------------------------------|--|
| <b>Office:</b>                     | Centers for Medicare and Medicaid Services (CMS), Food and Drug Administration (FDA), Administration for Children and Families (ACF), National Institutes of Health (NIH), Substance Abuse and Mental Health Services Administration (SAMHSA), Office of the Secretary (OS)  |
| <b>Initiative Title:</b>           | Departmental Targeted Plan for 1% Reduction in Burden Hours  |
| <b>Description:</b>                | This initiative aims to achieve the maximum possible burden reduction among the Department of Health and Human Services's (HHS) largest collections. The plan will begin with an analysis of all information collections that have the largest number of burden hours in order to identify opportunities for burden reduction within each collection. The initiative will focus on improving the efficiency of information collections by eliminating or revising obsolete forms, promoting where feasible the increased use of electronic reporting and electronic signatures for larger collections, working with agency legislative staff to identify statutory paperwork burden, and identifying data collections which can support decreased frequency of reporting requirements. |
| <b>Estimated Burden Reduction:</b> | 5,316,000 hours  |
| <b>Collection(s) Affected:</b>     | 0970-0148, 0970-0166, 0980-0140, 0910-0001, 0910-0014, 0910-0037, 0910-0073, 0910-0119, 0910-0130, 0910-0152, 0910-0230,   |

0910-0309, 0910-0381, 0910-0435, 0910-0442, 0910-0485, 0910-0520, 0910-0537, 0910-0538, 0910-0578, 0910-0560, 0925-0001, 0930-0158, 0938-0008, 0938-0022, 0938-0550, 0938-0062, 0938-0214, 0938-0279, 0938-0302, 0938-0463, 0938-0565, 0938-0566, 0938-0612, 0938-0679, 0938-0685, 0938-0739, 0938-0753, 0938-0760, 0938-0761, 0938-0907, 0938-0920, 0938-0949, 0938-0957, 0938-0964, 0938-0978, 0938-0989, 0938-0999, 0980-0140, 0990-0260, 0990-0294

**Expected Date of Completion:**

2010

**Potential Hurdles to Completion:**

Legislative or regulatory mandates. Technology limitations.

### Department of Homeland Security

**Office:**

U.S. Citizenship and Immigration Services (USCIS)

**Initiative Title:**

Business Transformation – Account Based Processing

**Description:**

The U.S. Citizenship and Immigration Services (USCIS) Business Transformation Project is an account based, electronic processing initiative that eliminates the need for applicants, employers, and attorneys to file redundant biographical or corporate data when requesting benefits on their own behalf or on behalf of another petitioner. Customers will create online accounts, which will store customer centric data typically required to be filed with each form. Burden reduction occurs when data is re-used between applications and petitions.

**Estimated Burden Reduction:**

1,564,296 hours

**Collection(s) Affected:**

1615-0082, 1615-0013, 1615-0003, 1615-0040, 1615-0043, 1615-0009, 1615-0010, 1615-0015, 1615-0048, 1615-0079, 1615-0001, 1615-0012, 1615-0018, 1615-0095, 1615-0020, 1615-0023, 1615-0029, 1615-0030, 1615-0090, 1615-0032, 1615-0064, 1615-0005, and 1615-0044

**Expected Date of Completion:**

FY 2012

**Potential Hurdles to Completion:**

Redesign of all forms currently used and concurrent redesign, development, and implementation of supporting IT systems. Funding limitations and deployment risks require incremental implementation.

### Department of Housing and Urban Development

**Office:**

Office of Departmental Grants Management and Oversight

**Initiative Title:**

“Logic Model” Grant Performance Report Standard

|   |  |
|---|--|
| <b>Description:</b>                     | Applicants of Department of Housing and Urban Development (HUD) Federal Financial Assistance are required to indicate intended results and impacts of proposed grants implementation. The information is used by grantees to better manage their programs and encourages self-evaluation to ensure time progress and compliance. HUD will revise the form currently used to collect this information, by automating responses through a drop down table listing. The new version of the form will take a third of the time compared to the previous 18 hours per response. |
| <b>Estimated Burden Reduction:</b>      | 445,225 hours  |
| <b>Collection(s) Affected:</b>          | 2535-0114  |
| <b>Expected Date of Completion:</b>     | September 2007   |
| <b>Potential Hurdles to Completion:</b> | None anticipated   |

#### Department of the Interior

|   |  |
|---|--|
| <b>Office:</b>                          | U.S. Fish and Wildlife Service   |
| <b>Initiative Title:</b>                | Reduction of Forms used for Grant Applications   |
| <b>Description:</b>                     | This initiative will simplify the application process for grants administered by the U.S. Fish and Wildlife Service (Service) by reducing the numbers and different types of forms that applicants must complete. During the past year, the Service has increased its use of Grants.gov and has eliminated four forms. We will continue to review our information collections to consolidate or eliminate forms where possible |
| <b>Estimated Burden Reduction:</b>      | 5,348 hours  |
| <b>Collection(s) Affected:</b>          | 1018-0049, 1018-0110, 1018-0111; 1018-0123   |
| <b>Expected Date of Completion:</b>     | June 2007  |
| <b>Potential Hurdles to Completion:</b> | None anticipated.  |

#### Department of Justice

|                          |                                 |
|--------------------------|---------------------------------|
| <b>Office:</b>           | Federal Bureau of Investigation |
| <b>Initiative Title:</b> | Uniform Crime Reporting Program |

|   |  |
|---|--|
| <b>Description:</b>                     | The Attorney General designated the FBI to acquire, collect, classify, and preserve national data on criminal offenses as part of the Uniform Crime Reports, under Title 28, Section 534, U.S. Code, Acquisition, Preservation, and Exchange of Identification Records; Appointment of officials. As states become eligible to submit data to National Incident-Based Reporting System (NIBRS) electronically, the states will no longer need to submit the data via manual forms. |
| <b>Estimated Burden Reduction:</b>      | 97,927 hours   |
| <b>Collection(s) Affected:</b>          | 1110-0001  |
| <b>Expected Date of Completion:</b>     | FY 2007  |
| <b>Potential Hurdles to Completion:</b> | None.  |

#### Department of Justice

|   |   |
|---|---|
| <b>Office:</b>                          | Federal Bureau of Investigation   |
| <b>Initiative Title:</b>                | Cost Recovery Regulations, Communications Assistance for Law Enforcement Act of 1994  |
| <b>Description:</b>                     | This collection allows telecommunications carriers to recover the costs associated with complying with the Communications Assistance for Law Enforcement Act, which went into effect on October 25, 1994. In implementing the law, FBI adopted a strategy to develop “buy-out” agreements with telecommunication manufacturers which were distributed to multiple carriers. Since one agreement covers multiple carriers, it becomes unnecessary to make individual cost recovery agreements with each carrier. |
| <b>Estimated Burden Reduction:</b>      | 45,920 hours  |
| <b>Collection(s) Affected:</b>          | 1110-0022   |
| <b>Expected Date of Completion:</b>     | FY 2007   |
| <b>Potential Hurdles to Completion:</b> | None.   |

#### Department of Labor

|                          |  |
|--------------------------|--|
| <b>Office:</b>           | Office of the Chief Information Officer  |
| <b>Initiative Title:</b> | Internal E-Government Scorecard  |
| <b>Description:</b>      | As part of an agency-wide program for implementing the President’s Management Agenda, the Department of Labor’s (DOL) Office of the Chief Information Officer included rating elements for |



Paperwork Reduction Act implementation into its Internal E-Government Scorecard (the Scorecard). In this regard, DOL sub-agencies cannot receive a rating of “green” unless, among other things, they are effectively implementing the PRA. In order to ensure each sub-agency explores opportunities for practical burden reduction, one rating element in the Scorecard requires a plan to reduce burden by at least 1% of the sub-agency’s over all burden hours. From this effort, the Department identified four activities to reduce overall burden: 1) revise the Annual Information Return/Report (Form 5500 series) and add a short form which could be filed by many small employee benefit plans, 2) discontinue the Equal Opportunity Survey since it lacked utility, 3) remove or revise duplicative, unnecessary, and inconsistent safety and health standards, and 4) streamline reporting and recordkeeping requirements for seven Workforce Investment Act programs currently approved under three OMB control numbers into one collection of information

**Estimated Burden Reduction:**

1,828,953 hours

**Collection(s) Affected:  
Expected Date of Completion:**

1210-0110, 1215-0196, 1218-0205, 1205-0420, 1205-0240, 1205-0392, 1205-ONEW

FY 2009

**Potential Hurdles to Completion:**

Rulemaking process and stakeholder acceptance.

**Department of State**

**Office:**

Department of State, Bureau of Consular Affairs, Overseas Citizens Services (CA/OCS/PRI)

**Initiative Title:**

American Citizen Services Internet Based Registration System (IBRS)

**Description:**

The new electronic IBRS allows U.S. citizens residing or traveling abroad to register their travel plans using the Internet prior to their trip. The implementation of this system allows for more accurate respondent and burden calculations, allows U.S. citizens to complete the form at home instead of at the Consulate or Embassy, and reduces the number of hours respondents spend filling out paper forms. The service is available on the Department of State, Bureau of Consular Affairs web site at <https://travelregistration.state.gov/ibrs/>. The information is used to facilitate locating and contacting U.S. citizens in the event of a major disaster, incident abroad, evacuation, or a family emergency. 675,000 hours

**Estimated Burden Reduction:**

1405-0152

**Collection(s) Affected:**

**Expected Date of Completion:** FY 2007  
**Potential Hurdles to Completion:** None

### Department of State

**Office:** Bureau of Educational and Cultural Affairs (ECA/EC/PS)

**Initiative Title:** Annual Report by Sponsors of J-1 Exchange Visitor Program

**Description:** The Exchange Visitor Program Annual Report form provides a means for sponsoring organizations to report a summary of exchange visitor activity and an accounting of the number of Forms DS-2019 used. It also provides a means for the Department of State to maintain appropriate oversight of the Exchange Visitor Program. This report will be integrated into the electronic SEVIS system (Student and Exchange Visitor Information System). The ability to provide information electronically will reduce the administrative and financial burdens on the sponsors when submitting their annual report.

**Estimated Burden Reduction:** 500 hours

**Collection(s) Affected:** 1405-0151

**Expected Date of Completion:** September 2007

**Potential Hurdles to Completion:** Revision of Form DS-3097 to meet electronic requirements

### Department of Transportation<sup>10</sup>

**Office:** Federal Aviation Administration

**Initiative Title:** Competition Plans, Passenger Facility Charges

**Description:** Changes in filing requirements have substantially reduced the hourly burden for reporting and recordkeeping. The original call for reporting annually has been reduced to a call for reporting as needed whenever a facility has a new user lease agreement or needs to file a denial of access report.

**Estimated Burden Reduction:** 3,000 hours

<sup>10</sup> The Department of Transportation (DOT) met the 1 percent target; however, we have not included one of the submitted burden reduction initiatives for 873,233 hours here as the initiative is associated with a new rulemaking that DOT plans to announce in its spring 2007 regulatory agenda (RIN 2130-AB85).

**Collection(s) Affected:** 2120-0661  
**Expected Date of Completion:** Spring 2007  
**Potential Hurdles to Completion:** None

### Department of Transportation

**Office:** Federal Motor Carriers Safety Administration (FMCSA)  
**Initiative Title:** Electronic On-Board Recorders (EOBRs) for Hours-of-Service Compliance  
**Description:** FMCSA proposes to amend the Federal Motor Carrier Safety Regulations (FMCSRs) to incorporate new performance standards for EOBRs installed in commercial motor vehicles (CMVs) in a Notice of proposed rule making (NPRM). One provision of the NPRM would require certain motor carriers with severe Hour-of-Service (HOS) noncompliance records to install and use EOBRs to record and manage their drivers' HOS in accordance with the regulations in the proposed Appendix C of 49 CFR Part 385. Under the proposed rulemaking, FMCSA would also provide partial relief from HOS supporting documents requirements for certain motor carriers that voluntarily use EOBRs and encourage EOBR use by motor carriers of property that have CMVs equipped with sleeper berths.  
**Estimated Burden Reduction:** 665,000 hours  
**Collection(s) Affected:** 2126-0001  
**Expected Date of Completion:** 2008  
**Potential Hurdles to Completion:** None

### Department of Transportation

**Office:** National Highway Traffic Safety Administration (NHTSA)  
**Initiative Title:** Consolidated Vehicle Identification Number (VIN) Requirements and Motor Vehicle Theft Prevention Standards  
**Description:** These standards specify physical requirements for the VIN and its installation format and content to simplify information retrieval and increase the efficiency of defect recall campaigns and identify likely high theft vehicles. In accomplishing these requirements the burden of record keeping hours are being streamlined.

**Estimated Burden Reduction:** 440,000 hours

**Collection(s) Affected:** 2127-0510

**Expected Date of Completion:** 03/31/2008

**Potential Hurdles to Completion:** None

### Department of Transportation

**Office:** Federal Transit Administration (FTA)

**Initiative Title:** Metropolitan and Statewide Transportation Planning

**Description:** DOT's FTA and Federal Highway Administration (FHWA) jointly carry out the federal mandate to improve metropolitan and statewide transportation under authority of Title 49, Chapter 53, and Title 23 of the United States Code. The Metropolitan and Statewide Transportation Planning programs provide financial assistance to states for transportation planning, operations and capital investments. The reporting frequency required for some reports has been reduced as a result of the implementation of the transportation reauthorization law of 2005 (SAFETEA-LU) and this resulted in a reduction in burden hours.

**Estimated Burden Reduction:** 64,605 hours.

**Collection(s) Affected:** 2132-0529

**Expected Date of Completion:** TBD

**Potential Hurdles to Completion:** None.

### Department of the Treasury

**Office:** Department of the Treasury, Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction

**Initiative Title:** Form 940 Simplification

**Description:** In May 2004, a team led by the IRS Office of Taxpayer Burden Reduction (TBR) began the process of redesigning Form 940. IRS employment tax experts worked with representatives from other Federal and State agencies, gathering input from internal and external stakeholders to create a plain language form that is logical, easy to follow, and compatible with optical scanning. The new 940 incorporates the advantages of Form 940-EZ (discontinued for tax

year 2006) into a simplified form for all filers. Highlights include: arrangement in a logical sequence from the taxpayer's point of view; organization into eight separate parts with visual cues, breaking up the task into smaller steps; a new Schedule A for multi-State employers or credit reduction situations; check boxes instead of "A, B, C" questions; and no more need for hand-written explanations of exempt payments.

|   |                                 |
|---|---------------------------------|
| <b>Estimated Burden Reduction:</b>      | 7.6 million hours <sup>11</sup> |
| <b>Collection(s) Affected:</b>          | 1545-0028                       |
| <b>Expected Date of Completion:</b>     | 2007                            |
| <b>Potential Hurdles to Completion:</b> | None                            |

### Department of the Treasury

|                                    |  |
|------------------------------------|--|
| <b>Office:</b>                     | Department of the Treasury, Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction  |
| <b>Initiative Title:</b>           | Office in the Home (OIH) – Form 8829 Simplification  |
| <b>Description:</b>                | <p>Tax practitioners have identified Form 8829 as one of "the most burdensome Federal tax forms or schedules that must be completed by small business taxpayers." They stated that the Form 8829 is burdensome because the Form 8829 has three parts to complete and is difficult to explain to clients. To address these concerns, the TBR OIH project team proposed a simplified <u>optional</u> method for the Schedule C, F and A filers to calculate the amount of their deduction for business use of their home by using a "dollar rate per square foot" (as determined by IRS) similar to the standard mileage rate for business use of automobiles. This approach would: (1) reduce the taxpayer's burden in calculating this allowable deduction; and (2) allow IRS examination resources to be applied to more critical areas of the Tax Gap.</p> |
| <b>Estimated Burden Reduction:</b> | <p>Approximately 2.6 million of the 18.5 million Schedule C filers claimed an OIH deduction in Tax Year (TY) 2003.<sup>12</sup> In 2003, the average recordkeeping, preparation time, etc., was 2.57 hours, and the total burden for Schedule C filers who claimed OIH in TY 2003 equaled 6.84 million hours. In 2005, under the new Individual Taxpayer Burden Model (ITBM), the Form 8829 time is incorporated into the aggregated burden of Form 1040, and is not separately identified. The IRS recognize that, while all filers may</p>   |

<sup>11</sup> This is an estimate under the new model. When implemented, these initiatives will result in a cumulative burden reduction of approximately 0.3% of the Department of the Treasury's total burden.

<sup>12</sup>Information on the number of farmers and employees who claimed the deduction is not available for Schedule F or Schedule A filers.

not wish to avail themselves of the standard rate (or may not be able to if there is a business or casualty loss), there are potentially many taxpayers who may be eligible for this deduction but do not claim it because of the complexity of the form.

**Collection(s) Affected:**

1545-0074

**Expected Date of Completion:**

2007

**Potential Hurdles to Completion:**

The interaction of the Office in the Home Deduction with depreciation and depreciation recapture provisions, and the development and promulgation of legal guidance.

### Department of the Treasury

**Office:**

Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction

**Initiative Title:**

Simplification of the S-Corporation Election

**Description:**

Currently 26 percent of Form 2553 filers are unsuccessful in filing their first tax return. This initiative proposes to establish a more efficient and less burdensome process that makes it easier for taxpayers to file the return. Specifically, the initiative will simplify the S-election form (Form 2553) by removing the requirement for duplicate information and the potential for mismatch. The initiative will also simplify the election process by streamlining filing/due dates of the election and the return and making the election filing/reporting consistent with other elections.

**Estimated Burden Reduction:**

8 million hours (this is a preliminary estimate)

**Collection(s) Affected:**

1545-0130

**Expected Date of Completion:**

2007

**Potential Hurdles to Completion:**

Developing and promulgating legal guidance and coordinating changes to F1120-S and F-2553

### Department of Veterans Affairs

**Office:**

Department of Veterans Affairs

**Initiative Title:**

Editable Forms

**Description:**

The Veterans Health Administration (VHA) will continue to convert paper forms to an electronic format that allows respondents to submit, save, and edit forms on-line. VHA will target forms that are used multiple times by individuals to reduce the hour burden significantly. For example: VA Form 10-10EZ Health Benefits Renewal Form is required from certain veterans every year, and it

was converted to the electronic format in 2006. The time burden for this form is 24 minutes. By having the form saved from 2006 and only needing to make minimal changes, a veteran would likely only take 4 minutes to review and complete the form for 2007.

|   |                      |
|---|----------------------|
| <b>Estimated Burden Reduction:</b>      | 84,152 hours         |
| <b>Collection(s) Affected:</b>          | 2900-0091, 2900-0219 |
| <b>Expected Date of Completion:</b>     | 2009                 |
| <b>Potential Hurdles to Completion:</b> | None                 |

### Department of Veteran Affairs

|   |   |
|---|---|
| <b>Office:</b>                          | Department of Veterans Affairs  |
| <b>Initiative Title:</b>                | VA Loan Electronic Reporting Interface and Loan Administration Redesign   |
| <b>Description:</b>                     | This initiative will modernize the Loan Guaranty Service's supplemental servicing and claims payment functions. The initiative will reduce paperwork burden on servicers, who handle 2.1 million VA-guaranteed home loans, by changing the reporting of delinquent loans and submission of claims from a paper-based system to a completely electronic system, allowing servicers to take many actions that previously would have required filling out OMB-approved forms for VA approval, and eliminating reporting to VA of certain events. |
| <b>Estimated Burden Reduction:</b>      | 41,547 hours  |
| <b>Collection(s) Affected:</b>          | 2900-0112, 2900-0130, 2900-0362, 2900-0110, 2900-0111, 2900-0021, and 2900-0381   |
| <b>Expected Date of Completion:</b>     | October 2007  |
| <b>Potential Hurdles to Completion:</b> | None  |

### Environmental Protection Agency

|                          |   |
|--------------------------|---|
| <b>Office:</b>           | Office of Congressional & Intergovernmental Relations   |
| <b>Initiative Title:</b> | State Reporting Burden Reduction Initiative   |
| <b>Description:</b>      | EPA has launched a State Reporting Burden Reduction Initiative, which seeks recommendations from States on how high-burden/low-value reporting requirements might be streamlined, |

|   |   |
|---|---|
|   | eliminated or otherwise improved. The first phase of this multi-phase process involves collecting and analyzing data from States in order to identify short- and long-term opportunities for burden reduction.  |
| <b>Estimated Burden Reduction:</b>      | A preliminary assessment by EPA estimates potential burden reduction for States of one percent.   |
| <b>Collection(s) Affected:</b>          | Potential key collections include:<br>2040-0004: Discharge Monitoring Reports<br>2040-0250: Concentrated Animal Feeding Operations<br>2050-0095: Superfund Site Evaluation<br>2060-0084: Ambient Air Quality Surveillance<br>2060-0088: Air Emissions Reporting   |
| <b>Expected Date of Completion:</b>     | 2012 - 2015   |
| <b>Potential Hurdles to Completion:</b> | EPA has recently commenced this effort and is in the preliminary stages of surveying the States on their potential burdens. Estimates are completely contingent on a wide range of variables including State agreement, available resources, and public acceptance. Reductions are also contingent upon State adoption of the Exchange Network for virtually all data exchanges with EPA. |

### Federal Acquisition Regulation

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|---|---|
| <b>Office:</b>                          | Office of the Chief Acquisition Officer   |
| <b>Initiative Title:</b>                | Federal Acquisition Regulation Burden Reduction Initiative  |
| <b>Description:</b>                     | All paperwork burden associated with current rulemaking will be reviewed over the next 3-year paperwork burden cycle. This initiative will identify opportunities for increased use of electronic record keeping and reporting to reduce burden on respondents. |
| <b>Estimated Burden Reduction:</b>      | One to two percent of current burden hours  |
| <b>Collection(s) Affected:</b>          | Various   |
| <b>Expected Date of Completion:</b>     | FY 2010   |
| <b>Potential Hurdles to Completion:</b> | New rulemakings   |

### Federal Communications Commission

|                          |  |
|--------------------------|--|
| <b>Office:</b>           | Federal Communications Commission  |
| <b>Initiative Title:</b> | Numbering Resource Optimization  |
| <b>Description:</b>      | Carriers that receive numbering resources from the North American Numbering Plan (NANP) Administrator or receive |



numbering resources from the Pooling Administrator must report forecast and utilization data semi-annually. The data collected from FCC Form 502 help the Commission manage the ten-digit NANP. Participating carriers are also required to maintain detailed internal records of their number usage. The information is used by the FCC, state regulatory commissions, and the NANP Administrator to monitor numbering resource utilization. The reduction is a result of a review of the NANP program which will result in the elimination of a section of the data collection that is no longer required.

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| <b>Estimated Burden Reduction:</b>      | 48,520 hours |
| <b>Collection(s) Affected:</b>          | 3060-0895    |
| <b>Expected Date of Completion:</b>     | March 2007   |
| <b>Potential Hurdles to Completion:</b> | None         |

#### Federal Communications Commission

|   |   |
|---|---|
| <b>Office:</b>                          | Federal Communications Commission (the Commission)  |
| <b>Initiative Title:</b>                | Consumer Complaints Regarding Junk Faxes, Spam and Telemarketing Calls  |
| <b>Description:</b>                     | The Commission collects detailed information from consumers concerning possible violations of the Communications Act, and the Commission's fax and telemarketing rules. This information collection is being streamlined to reduce the burden on consumers, providing them with a means of alleging violations through a new process that will require less time and effort to complete. By collecting data in a single, comprehensive template, this new process will reduce the need for further documentation or follow-through to determine whether violations have occurred. |
| <b>Estimated Burden Reduction:</b>      | 9,800,000 hours   |
| <b>Collection(s) Affected:</b>          | 3060-1088   |
| <b>Expected Date of Completion:</b>     | September 2007  |
| <b>Potential Hurdles to Completion:</b> | None  |

#### Federal Deposit Insurance Corporation

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|----------------|--|
| <b>Office:</b> | Federal Deposit Insurance Corporation (FDIC) |
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| <b>Initiative Title:</b>               | Call Report Revision  |
| <b>Description</b>                     | Section 604 of the Financial Services Regulatory Relief Act requires the Federal banking agencies, including FDIC, to review data requirements for the quarterly report of condition and income from insured financial institutions (Call Report), used by regulators to determine the health of individual institutions and the industry as a whole. The review must be completed by October 12, 2007. After completing their reviews, the FDIC and the other Call Report agencies will propose revisions to the Call Report to reduce or eliminate any reporting requirement that is no longer necessary or appropriate. Because the Call Report agencies already regularly review the Call Report and eliminate data that are no longer of sufficient utility, it may be difficult to achieve a more significant burden reduction. |
| <b>Estimated Burden Reduction</b>      | 35,000 hours  |
| <b>Collection(s) Affected:</b>         | 3064-0052   |
| <b>Expected Date of Completion:</b>    | March 31, 2008  |
| <b>Potential Hurdles to completion</b> | Interagency negotiation will be needed  |

### Federal Energy Regulatory Commission

|  |   |
|--|---|
| <b>Office</b>                          | Office of the Executive Director  |
| <b>Initiative Title:</b>               | e-Filings   |
| <b>Description</b>                     | The Federal Energy Regulatory Commission plans to provide an electronic submission option for all paper-based filing documents that have a minimal amount of unstructured data that can be easily filed in excel PDF or ASCII text as part of its <i>e-filing</i> initiative. (See <a href="http://www.ferc.gov/docs-filing/efiling/docs-efiled.asp">http://www.ferc.gov/docs-filing/efiling/docs-efiled.asp</a> .) |
| <b>Estimated Burden Reduction</b>      | 4,000 hours   |
| <b>Collection(s) Affected:</b>         | 1902-0215, 1902-0216, 1902-0217, and 1902-0218  |
| <b>Expected Date of Completion:</b>    | December 2008   |
| <b>Potential Hurdles to completion</b> | Converting remaining document types to a format that permit electronic submission. Funding limitations.   |

### Federal Trade Commission

In response to OMB's request last September (that each agency prepare and submit to OMB an initiative to reduce the agency's overall paperwork burden by 1%), the Federal Trade Commission (FTC) replied that it is unable to identify any measures by which the FTC can reduce by 1% the paperwork burdens that the FTC imposes on the public.

### National Aeronautics and Space Administration

|  |  |
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| <b>Office</b>                          | Office of Procurement  |
| <b>Initiative Title:</b>               | Analysis of Forms used in NASA Acquisition Process, Leading to Consolidation of Information Collections  |
| <b>Description</b>                     | NASA uses many information collection instruments to support the agency's acquisition process. For example, forms to collect information on bids and proposals, purchase orders and bank card orders, and reports for contracts, while similar, vary depending on their overall value. This initiative will work with the President's Business Gateway E-Government Initiative to identify and assess these related collection activities. The initiative may also review other collections indirectly supporting the acquisition process, including information collections used to establish cooperative agreements, grants, Space Act Agreements, and other acquisition arrangements. |
| <b>Estimated Burden Reduction</b>      | Up to 1,000,000 hours  |
| <b>Collection(s) Affected:</b>         | 2700-0085, 2700-0086, 2700-0087, 2700-0088, and 2700-0089  |
| <b>Expected Date of Completion:</b>    | September 30, 2008   |
| <b>Potential Hurdles to completion</b> | Review and consolidation of legacy acquisition systems   |

### National Science Foundation

|                                     |   |
|-------------------------------------|---|
| <b>Office:</b>                      | Office of Budget, Finance and Award Administration  |
| <b>Initiative Title:</b>            | National Science Foundation (NSF) Proposal and Award Information - NSF Proposal and Award Policies & Procedures Manual  |
| <b>Description:</b>                 | NSF has combined its <i>Grant Proposal Guide</i> and <i>Grant Policy Manual</i> , into a new policy framework—the <i>NSF Proposal and Award Policies and Procedures Manual</i> . Previously, these documents were maintained separately and were issued with different effective dates. The new policy framework will improve both awareness and knowledge of the complete set of NSF policies and procedural documents; increase ease of access to the policies and procedures governing the entire grant lifecycle; and eliminate redundancies between coverage in the documents. NSF anticipates that this effort will result in additional streamlining over time as applicants and awardees become more familiar with the new format of the proposal and award management processes. |
| <b>Estimated Burden Reduction:</b>  | 10,000 hours.   |
| <b>Collection(s) Affected:</b>      | 3145-0058 and 3145-0060   |
| <b>Expected Date of Completion:</b> | January 2007  |

**Potential Hurdles to Completion:** None

### Nuclear Regulatory Commission

**Office:** Office of Nuclear Reactor Regulation (NRR)

**Initiative Title:** Revision to Occupational Dose Records, Labeling Containers, and the Total Effective Dose Equivalent

**Description:** The Nuclear Regulatory Commission (NRC) is proposing to revise its regulations in 10 CFR Parts 19, and 20, related to the reporting of annual dose to workers, 10 CFR 19.13, and the labeling of certain containers holding licensed material, 10 CFR 20.1905, and the requirement to provide cumulative occupational radiation dose records, 10 CFR 20.2104. The proposed rule provisions would reduce the burden for Part 19 by requiring that dose reports be provided only to individuals exceeding specified limits or who request the reports and also reduce the burden for NRC Form 4 by eliminating the need to provide cumulative occupational dose history reports except for planned special exposures. The rule would eliminate specific labeling requirements for containers kept in protected areas.

**Estimated Burden Reduction:** 20,851 hours

**Collection(s) Affected:** 3150-0005, 3150-0044

**Expected Date of Completion:** FY 2007

**Potential Hurdles to Completion:** None

### Securities and Exchange Commission

**Office:** Securities and Exchange Commission

**Initiative Title:** Termination of a Foreign Private Issuer's Registration of a Class of Securities under Section 12(g), and Duty to File Reports under Section 15(d), of the Securities Exchange Act of 1934

**Description:** SEC plans to repropose Rule 12h-6, which, if adopted, would make it easier for a foreign private issuer to exit the Exchange Act registration and reporting regime when there is relatively little U.S. investor interest in its securities. SEC estimates that the burden reduction will stem from a reduced number of Form 20-F, 40-F and 6-K filings. (See <http://www.sec.gov/news/press/2006/2006-207.htm>)

|   |  |
|---|--|
| <b>Estimated Burden Reduction:</b>      | 605,403 hours <sup>13</sup>                                |
| <b>Collection(s) Affected:</b>          | 3235-0288; 3235-0381; 3235-0116                            |
| <b>Expected Date of Completion:</b>     | TBD  |
| <b>Potential Hurdles to Completion:</b> | A majority of the Commission needs to approve final rules. |

### Small Business Administration

|   |  |
|---|--|
| <b>Office:</b>                          | Office of Surety Guarantees (OSG)  |
| <b>Initiative Title:</b>                | Surety Bond Guarantee (SBG) Electronic Processing System   |
| <b>Description:</b>                     | Through the SBG Program, SBA guarantees a portion of a surety's losses and expenses incurred as a result of a small contractor's default on a bonded contract. The Small Business Investment Act gives SBA the authority to reimburse participating sureties for claims in the event of a contractor default. This initiative will revise the forms used in the application process by consolidating the information collected, eliminating the redundancies in the information collected, and eliminating the collection of information multiple times. |
| <b>Estimated Burden Reduction:</b>      | 4,000 hours  |
| <b>Collection(s) Affected:</b>          | 3245-0007  |
| <b>Expected Date of Completion:</b>     | March, 2007  |
| <b>Potential Hurdles to Completion:</b> | None anticipated.  |

### Social Security Administration

|                          |                                      |
|--------------------------|--------------------------------------|
| <b>Office:</b>           | Social Security Administration (SSA) |
| <b>Initiative Title:</b> | Electronic Death Registration (EDR)  |

<sup>13</sup> When implemented, this initiative will result in a cumulative burden reduction of approximately .3% of the Securities and Exchange Commission's total burden.

|   |  |
|---|--|
| <b>Description:</b>                     | SSA is implementing the Electronic Death Registration System (EDRS) to update death report data to its records. EDRS is a more timely process that eliminates the submission of the paper form SSA-721 completed by the Funeral Director. It further enables the states to provide death information to SSA within five days of an individual's death. EDRS reports can be processed as first-party reports of death and benefits can be terminate without the need for field office death input which saves time, recourses and will reduce overpayments. |
| <b>Estimated Burden Reduction:</b>      | 154,487 hours  |
| <b>Collection(s) Affected:</b>          | 0960-0142.   |
| <b>Expected Date of Completion:</b>     | 2011   |
| <b>Potential Hurdles to Completion:</b> | Ability of states to enter into agreements and to absorb the initial start-up and maintenance costs.   |

### Social Security Administration

|   |   |
|---|---|
| <b>Office:</b>                          | Social Security Administration  |
| <b>Initiative Title:</b>                | Electronic Records Express (ERE)  |
| <b>Description:</b>                     | ERE is an initiative designed to streamline the existing paper-based submission process and will provide users with several convenient, secure and fast electronic records submission options. ERE will benefit anyone who provides health or school records to Social Security or the state Disability Determination Services (DDS). Participants are able to send records at their convenience directly to Social Security or the state DDS via the internet, fax, or scanner and internet for multiple patient document batches. |
| <b>Estimated Burden Reduction:</b>      | 1,424,899 hours   |
| <b>Collection(s) Affected:</b>          | 0960-0555   |
| <b>Expected Date of Completion:</b>     | 2011  |
| <b>Potential Hurdles to Completion:</b> | Conversion from paper files to electronic medium. There is neither a Federal mandate nor monetary incentive.  |

## Update on FY 2005 Burden Reduction Initiatives

In the FY 2006 ICB, OMB published updates from 27 Federal agencies, covering 40 separate initiatives.<sup>14</sup> The majority of the 40 initiatives has been completed or is nearing completion. To date, the completed initiatives have accounted for approximately 77.5 million hours of burden on the public. When the ongoing initiatives are finished, burden is expected to drop by another 4.66 million hours.

| Department of Commerce                  |   | Status: IN PROGRESS |
|---|---|---------------------|
| <b>Office:</b>                          | Bureau of the Census (the Bureau)   |                     |
| <b>Initiative Title:</b>                | Automated Export System   |                     |
| <b>Description:</b>                     | The Automated Export System (AES) is a collaborative effort by the U.S. Census Bureau (Census) and the Bureau of Customs and Border Protection (CBP) at the Department of Homeland Security. The AES will eliminate the manual process for filing the Shipper's Export Declaration (SED) and Outbound Carrier Manifests by requiring the U.S. export trade community to file SED information electronically to the CBP mainframe computer system or through the Census Internet service, <i>AESDirect</i> . The AES initiative will reduce burden on respondents by reducing costs, removing the number of duplicative submissions reported monthly, making the filing more user-friendly, and improving the efficiency of the data collection process. |                     |
| <b>Estimated Burden Reduction:</b>      | 167,000 hours   |                     |
| <b>Collection(s) Affected:</b>          | 0607-0152   |                     |
| <b>Expected Date of Completion:</b>     | December 2007   |                     |
| <b>Potential Hurdles to Completion:</b> | The Bureau is dependent on successfully working with other Federal agencies to complete final regulations.  |                     |
| <b>Status:</b>                          | The Bureau is finalizing regulations.   |                     |

| Department of Defense    |   | Status: IN PROGRESS |
|--------------------------|---|---------------------|
| <b>Office:</b>           | Defense Procurement and Acquisition Policy / Defense Acquisition Regulations System |                     |
| <b>Initiative Title:</b> | Acquisition of Ball and Roller Bearings (DFARS Case 2003-D021)                      |                     |
| <b>Description:</b>      | This Defense Federal Acquisition Regulations Supplement (DFARS)                     |                     |

<sup>14</sup> The 2006 ICB incorrectly stated that there were 39 separate initiatives.

case eliminated the requirement for contractors to submit a written plan for transitioning from non domestic to domestically manufactured bearings and the requirement to keep records showing compliance with the restriction for three years after final payment, as was required by the Restriction on Acquisition of Ball and Roller Bearings clause. This change retains only the waiver procedures required by the annual defense appropriations act, while eliminating the other requirements.

**Estimated Burden Reduction:** 301,600 hours

**Collection(s) Affected:** 0704-0229

**Expected Date of Completion:** May 2007

**Potential Hurdles to Completion:** None.

**Status:** Final Rule published March 21, 2006.

#### Department of Defense

**Status: NOT IMPLEMENTED**

Due to changes in legislation and regulation, the Department of Defense is unable to implement the initiative titled, "Burden Reduction for Health Care Collections." Public Law 109-163 greatly expanded the number of personnel subject to coverage under the TRICARE Reserve Select program. Additional information collections are necessary to receive refunds from Pharmaceutical manufactures due to the application of Federal pricing on purchases made through the TRICARE Retail Pharmacy program. Also, the TRICARE for Life burden continues to increase as the beneficiary population ages.

#### Department of Education

**Status: IN PROGRESS**

**Office:** Department of Education

**Initiative Title:** *EDFacts*

**Description:** *EDFacts* will fundamentally transform the way that the Department accesses information and meets the requirements of the No Child Left Behind Act, while concurrently reducing respondent burden. The initiative continues the development of an Education Data Exchange Network (EDEN), providing State Education Agencies (SEAs) and the Federal government the capacity to transfer and analyze information about education programs. When fully implemented, EDEN will not only provide timely performance data to education decision makers and grant managers, but also streamline the data collection process and reduce the burden of grant reporting.

**Estimated Burden Reduction:** 200,000 hours in FY 2007



**Collection(s) Affected:** 1870-0500, 1820-0644, 1810-0614, 1810-0021, 1810-0519, 1810-0618, 1810-0646, 1810-0650, 1810-0674, 1810-0675, 1810-0676, 1820-0043, 1820-0517, 1820-0518, 1820-0521, 1820-0621, 1820-0624, 1820-0659, 1850-0067, 1855-NEW, 1865-0002, 1885-0553, 1890-0007

**Expected Date of Completion:** July 2008

**Potential Hurdles to Completion:** Changes at the State and local level, statutory or program changes prior to the initiative's completion.

**Status:** In FY06, all SEAs reported portions of the Consolidated State Performance Report, a major K - 12 collection, through *EDFacts*.

**Department of Homeland Security** **Status: IN PROGRESS**

**Office:** Federal Emergency Management Agency (FEMA)

**Initiative Title:** Web-Based (e-Grants) Application and Reporting

**Description:** FEMA is working to integrate an agency-wide eGrants on-line application that will be available to the public via the Internet. The consolidation will carry a universal OMB control number thus eliminating the need for various information collection requests. FEMA will standardize the application and reporting requirements across grant programs.

**Estimated Burden Reduction:** 280,000 hours expected upon completion

**Collection(s) Affected:** 1660-0025, 1660-0018, 1660-0058, 1660-0061, 1660-0071, 1660-0072, 1660-0073, 1660-0076, 1660-0017, 1660-0085, 1660-0075

**Expected Date of Completion:** December 2007

**Potential Hurdles to Completion:** Due to agency hurricane preparedness priorities, funding availability and automation development decreased

**Status:** Closeout phase is in progress.

**Department of Housing and Urban Development** **Status: COMPLETED**

**Office:** Single Family Housing

**Initiative Title:** Paperless Single Family Mortgage Case Binder

**Description:** Beginning January 1, 2006, the Office of Single Family Housing began accepting paperless case binders for mortgagees approved under the Lender Insurance (LI) program. Paperless case binders streamline the process of filing FHA insurance-related data and substantially reduced lender back-office workloads. All

Homeownership Centers were on line by the end of March 2006, ahead of schedule. In addition, FHA reviewed all its forms and eliminated form HUD-92564-VC and form HUD-92564-HS HUD, opting instead to use standard Fannie Mae appraisal documents. HUD is still planning to replace the mortgage credit analysis worksheet with a simple loan transmittal, but no additional burden reduction is anticipated.

**Estimated Burden Reduction:** 480,000  
**Collection(s) Affected:** 2502-0538  
**Date of Completion:** March 2006

| <b>Department of Justice</b>            |   | <b>Status: IN PROGRESS</b> |
|---|---|----------------------------|
| <b>Office:</b>                          | Drug Enforcement Administration   |                            |
| <b>Initiative Title:</b>                | Controlled Substances Ordering System (CSOS)  |                            |
| <b>Description:</b>                     | Pursuant to the Controlled Substances Act, the pharmaceutical industry must use form DEA-222 to transfer or purchase Schedule I and II controlled substances. On April 1, 2005, DEA published a Final Rule in the Federal Register to implement the electronic transmission of these controlled substances orders. DEA expects to see a significant decrease in the burden hours and costs for registrants who choose to adopt this electronic alternative. |                            |
| <b>Estimated Burden Reduction:</b>      | 1,127,464 hours   |                            |
| <b>Collection(s) Affected:</b>          | 1117-0010, 1117-0038  |                            |
| <b>Expected Date of Completion:</b>     | 2013  |                            |
| <b>Potential Hurdles to Completion:</b> | Coordination of systems compatible with e-prescription technology from the Department of Health and Human Services.   |                            |
| <b>Status:</b>                          | As the effects of the system are reviewed, burden estimates will be revised downward accordingly.   |                            |

| <b>Department of Labor</b> |   | <b>Status: IN PROGRESS</b> |
|----------------------------|---|----------------------------|
| <b>Office:</b>             | Occupational Safety and Health Administration   |                            |
| <b>Initiative Title:</b>   | Standard on Mechanical Power Presses  |                            |
| <b>Description:</b>        | The Occupational Safety and Health Administration (OSHA) is updating the Mechanical Power Press Standard (29 CFR 1910.217) to address industry concerns that it is out-of-date and could be less burdensome. One provision in this standard requires employers to conduct inspections and prepare certification records of mechanical |                            |

power presses. Any modification to the frequency of inspection or removal of the certification records will significantly reduce the Collection's burden hours.

**Estimated Burden**

**Reduction:** 1,373,054 hours

**Collection(s) Affected:** 1218-0229

**Expected Date of Completion:** FY 2009

**Potential Hurdles to Completion:** Regulatory delays and stakeholder acceptance

**Status:** Publication of the Advance Notice of Proposed Rulemaking anticipated in Spring 2007.

**Department of State****Status: COMPLETED**

**Office:** Bureau of Diplomatic Security, Office of Foreign Missions

**Initiative Title:** Driver's License and Tax Exemption Development of Electronic Submission Options

**Description:** An electronic application for the forms used by the Office of Foreign Missions has been developed. This initiative implements an electronic application for Foreign Mission personnel and their dependents to file an exemption from sales taxes and the operation of a motor vehicle in the United States.

**Estimated Burden Reduction:** 1,562 hours

**Collection(s) Affected:** 1405-0105

**Date of Completion:** May 2006

**Department of Transportation****Status: IN PROGRESS**

**Office:** Federal Motor Carriers Safety Administration (FMCSA)

**Initiative Title:** Unified Registration System

**Description:** FMCSA proposed the Unified Registration System (URS) that would apply to almost every motor carrier, freight forwarder, and broker required to register with DOT operating along the U.S.-Mexico international border. Section 13908 of the Interstate Commerce Commission Termination Act of 1995 (ICCTA) directed the Secretary of Transportation to create a single, on-line federal system to replace the systems for issuing DOT numbers, licensing and registration, and financial responsibility.

**Estimated Burden Reduction:** 162,210 hours

**Collection(s) Affected:** 2126-0013, 2126-0016, 2126-0017, 2126-0018 and 2126-0019

**Expected Date of Completion:** Fall 2007

**Potential Hurdles to Completion:** Unknown.

**Status:** NPRM published on May 19, 2005. FMCSA will publish a supplemental rulemaking to provide an opportunity for public notice and comment on the new Safe, Accountable, Flexible, Efficient Transportation Equity Act (SAFETEA-LU) impacts on the URS.

**Department of the Treasury****Status: COMPLETED**

**Office:** Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction

**Initiative Title:** Employers Annual Federal Tax Program (Form 944)

**Description:** The purpose of this project is to reduce burden on small business taxpayers who owe \$1,000 or less in Employment Tax (ET) by establishing new rules and processes that will allow them to file their ET returns, as well as pay the ET tax due, on an annual rather than a quarterly basis. As long as these filers remain at \$1,000 or less in total Employment Tax they will remain 944 filers. If they exceed this threshold, they will be subject to the quarterly 941 filing requirement.

**Estimated Burden Reduction:** 29.85 million hours

**Collection(s) Affected:** 1545-0066, 1545-0148, 1545-0188, 1545-0233, 1545-1054, and 1545-1057

**Date of Completion:** 2007

**Environmental Protection Agency****Status: IN PROGRESS**

**Office:** Environmental Protection Agency

**Initiative Title:** Central Data Exchange

**Description:** The Central Data Exchange (CDX) is an e-government initiative that enables fast, efficient, and more accurate environmental data submissions and exchanges from state and local governments, industry and tribes. In 2006, EPA completed the final phase of a study to examine the effects of CDX on a cross section of six EPA information collections: safe drinking water; unregulated contaminant monitoring for drinking water; lead abatement; air quality; toxic release inventory; and stormwater abatement. The

results of this study show that CDX lowered costs and improved information quality, timeliness and accessibility. One example of the significant burden reduction that can result from electronic reporting is the Toxic Release Inventory (TRI) forms (estimated burden reduction indicated below). EPA is working to implement CDX throughout all its programs and is expected to complete transition of all Agency collections using a phased approach by 2012.

|   |               |
|---|---------------|
| <b>Estimated Burden Reduction:</b>      | 166,000 hours |
| <b>Collection(s) Affected:</b>          | 2070-0093     |
| <b>Expected Date of Completion:</b>     | 2012          |
| <b>Potential Hurdles to Completion:</b> | None          |
| <b>Status:</b>                          | On schedule   |

**Environmental Protection Agency**
**Status: COMPLETED**

|                                    |  |
|------------------------------------|--|
| <b>Office:</b>                     | Environmental Protection Agency  |
| <b>Initiative Title:</b>           | Toxics Release Inventory (TRI) Streamlining Initiative   |
| <b>Description:</b>                | In 2002, EPA initiated a process to reduce burden in the Toxics Release Inventory (TRI) Program. As part of this initiative, EPA published the proposed TRI Burden Reduction Rule in October 2005 to allow more of the facilities that report to the TRI Program to use a “short form” to fulfill their reporting obligations, rather than a more-detailed, longer form. On December 22, 2006 EPA published the final rule. In response to concerns from commenters about potential loss of chemical release data to local communities, EPA added a 2,000 pound release threshold to the short form eligibility requirements for non-PBT chemicals. This preserves most of the burden reduction while significantly reducing the amount of detailed release information that would no longer be reported on the long form. |
| <b>Estimated Burden Reduction:</b> | 123,000 hours; \$5.9 million annually  |
| <b>Collection(s) Affected:</b>     | 2070-0093, 2070-0143   |
| <b>Date of Completion:</b>         | December 2006  |

**Federal Acquisition Regulation**
**Status: IN PROGRESS**

|   |   |
|---|---|
| <b>Office:</b>                          | Federal Acquisition Regulation  |
| <b>Initiative Title:</b>                | Electronic Subcontract Reporting System (eSRS)  |
| <b>Description:</b>                     | The FAR is proposing an e-Government Integrated Acquisition Environment (IAE) initiative. The Small Business Act requires contractors receiving a contract for more than \$100,000 to agree to have small business interests participate in the performance of the contract as far as practicable. The subcontracting plans and report are both currently paper information collections. This initiative will transition the collections to electronic submissions. |
| <b>Estimated Burden Reduction:</b>      | 237,041 hours   |
| <b>Collection(s) Affected:</b>          | 9000-0006, 9000-0007  |
| <b>Expected Date of Completion:</b>     | April, 2007   |
| <b>Potential Hurdles to Completion:</b> | Requires implementing a new computer system. The Electronic Subcontracting Reporting System (eSRS) became operational on October 28, 2005, for civilian agencies  |
| <b>Status:</b>                          | A FAR rule has been initiated.  |

|   |  |                            |
|---|--|----------------------------|
| <b>Federal Acquisition Regulation</b>   |  | <b>Status: IN PROGRESS</b> |
| <b>Office:</b>                          | Federal Acquisition Regulation   |                            |
| <b>Initiative Title:</b>                | Government Property  |                            |
| <b>Description:</b>                     | The FAR is proposing to simplify procedures, clarify language, and eliminate obsolete requirements related to the management and disposition of Government property in the possession of contractors. Various FAR parts are amended to implement a policy that fosters efficiency, flexibility, innovation, and creativity, while continuing to protect the Government's interest in the public's property. The proposed rule specifically impacts contracting officers, property administrators, and contractors responsible for the management of Government property. |                            |
| <b>Estimated Burden Reduction:</b>      | 348,959 hours  |                            |
| <b>Collection(s) Affected:</b>          | 9000-0075  |                            |
| <b>Expected Date of Completion:</b>     | April, 2007  |                            |
| <b>Potential Hurdles to Completion:</b> | The proposed rule was published in the Federal Register on September 19, 2005. Final rulemaking is in progress.  |                            |

**Federal Energy Regulatory Commission****Status: IN PROGRESS**

|   |   |
|---|---|
| <b>Office:</b>                          | Federal Energy Regulatory Commission (FERC)   |
| <b>Initiative Title:</b>                | FERC Information Assessment Team (FIAT)   |
| <b>Description:</b>                     | The Federal Energy Regulatory Commission established in January 2004 the FERC Information Assessment Team (FIAT). The FIAT evaluates the agencies information collections and filing requirements, assesses the practical utility of each information collection, and proposes ways to reduce the reporting burden on industry through the elimination or modifications of collections. |
| <b>Estimated Burden Reduction:</b>      | Additional 2,150 hours to be completed  |
| <b>Collection(s) Affected:</b>          | 1902-0043, 1902-0073, and 1902-0140   |
| <b>Expected Date of Completion:</b>     | 2008  |
| <b>Potential Hurdles to Completion:</b> | Software compatibility associated with electronic filing.   |
| <b>Status:</b>                          | 1,868 hour reduction achieved in 2005   |

**Federal Energy Regulatory Commission****Status: IN PROGRESS**

|   |  |
|---|--|
| <b>Office:</b>                          | Federal Energy Regulatory Commission (FERC)  |
| <b>Initiative Title:</b>                | Electronic Filing of Documents   |
| <b>Description:</b>                     | Electronic filing of documents is an e-Government initiative to expand the types of electronic documents the Commission would accept. On July 7, 2004, FERC proposed in RM01-5-000 that future tariff filings be made over the Internet with software developed by the Commission. This initiative will make it easier for regulated entities to file and view tariffs and rate schedules and to facilitate tariff research by permitting companies to submit tariffs and rate schedules electronically. |
| <b>Estimated Burden Reduction:</b>      | 26,923 hours   |
| <b>Collection(s) Affected:</b>          | 1902-0096, 1902-0154, 1902-0086, and 1902-0089   |
| <b>Expected Date of Completion:</b>     | 2007   |
| <b>Potential Hurdles to Completion:</b> | Industry concerns regarding the use of a tariff data base instead of the paper-based tariff document.  |

**Status:** Completion delayed due to application problems with the prototype software. Commission's senior management will determine resolution to industry's concerns.

| <b>Federal Trade Commission</b>    |  | <b>Status: COMPLETED</b> |
|------------------------------------|--|--------------------------|
| <b>Office:</b>                     | Federal Trade Commission   |                          |
| <b>Initiative Title:</b>           | Electronic Pre-Merger Filing   |                          |
| <b>Description:</b>                | As part of the overall program to make government more accessible electronically, the FTC has worked with the Department of Justice to develop an electronic system for filing Hart-Scott-Rodino Act (HSR) pre-merger notifications. E-filing will reduce burden for businesses and government and create a valuable database of information on merger transactions to inform future policy deliberations. |                          |
| <b>Estimated Burden Reduction:</b> | Negligible <sup>15</sup>   |                          |
| <b>Collection(s) Affected:</b>     | 3084-0005  |                          |
| <b>Date of Completion:</b>         | 2006   |                          |

| <b>National Aeronautics and Space Administration</b> |   | <b>Status: IN PROGRESS</b> |
|--|---|----------------------------|
| <b>Office:</b>                                       | Procurement, Aeronautics Mission Directorate, Education   |                            |
| <b>Initiative Title:</b>                             | Review of Agency Forms-based Collections  |                            |
| <b>Description:</b>                                  | This initiative is streamlining the format and content of the information collected by NASA. NASA is reviewing collections to clarify who must respond and under what circumstances, and consolidating collections where appropriate. |                            |
| <b>Estimated Burden Reduction:</b>                   | 23,660  |                            |
| <b>Collection(s) Affected:</b>                       | 2700-47, 2700-0093, 2700-0094, 2700-97, 2700-0102, 2700-0112, 2700-0118, 2700-0099.   |                            |
| <b>Expected Date of Completion:</b>                  | September 30, 2008  |                            |
| <b>Potential Hurdles to Completion:</b>              | None  |                            |
| <b>Status:</b>                                       | The following collections have been discontinued, modified, or replaced: 2700-47, 2700-0093, 2700-0094, 2700-97, 2700-0102,   |                            |

<sup>15</sup> The e-filing system has been successfully implemented; however, due to the low volume of electronic filings, the FTC conservatively declines to reduce its burden estimate at this time.



2700-0112, 2700-0118. Collection 2700-0099 will be reviewed in 2007.

| <b>National Science Foundation</b>      |   | <b>Status: IN PROGRESS</b> |
|---|---|----------------------------|
| <b>Office:</b>                          | Division of Science Resources Statistics  |                            |
| <b>Initiative Title:</b>                | Information Collection (Survey) Assessment  |                            |
| <b>Description:</b>                     | <p>The National Science Foundation (NSF) manages a central clearinghouse for the collection, interpretation, and analysis of scientific and engineering data for the Federal government. NSF utilizes an information collection survey designed to provide feedback on the quality and timeliness of government survey data and analyses. This information collection survey is most successful when respondents are presented with plain, coherent and unambiguous questionnaires that are compatible with their reporting and record keeping practices. NSF seeks to continuously improve its information collection surveys using laboratory techniques, field studies, focus groups, behavior coding and pilot tests to assess the practical utility of the government's information collections and reduce overall costs and burden on the public.</p> |                            |
| <b>Estimated Burden Reduction:</b>      | 15,000 hours  |                            |
| <b>Collection(s) Affected:</b>          | 3145-0174   |                            |
| <b>Expected Date of Completion:</b>     | Completion is dependent on each new survey evaluated by this information collection.  |                            |
| <b>Potential Hurdles to Completion:</b> | None  |                            |
| <b>Status:</b>                          | NSF continues to use this collection to study the methodology of its surveys housed within the Division of Science Resources Statistics.  |                            |

| <b>Nuclear Regulatory Commission</b> |   | <b>Status: IN PROGRESS</b> |
|--------------------------------------|---|----------------------------|
| <b>Office:</b>                       | Office of Federal and State Materials and Environmental Management Programs (FSME) (Formerly Office of Nuclear Material Safety and Safeguards)  |                            |
| <b>Initiative Title:</b>             | Project Safesource (Web-Based Licensing Safesource Phase I)   |                            |
| <b>Description:</b>                  | <p>The Nuclear Regulatory Commission (NRC) is developing an initiative to provide materials licensees with the option of using an electronic system to apply for licenses, amend licenses, and conduct other license-related transactions. The on-line system will validate the input to ensure quality and avoid delays due to application deficiencies. Routine administrative data updates may be accomplished on-line, eliminating the need for some types of</p> |                            |

correspondence and consequent delays.

**Estimated Burden Reduction:** 7,032 hours

**Collection(s) Affected:** 3150-0120, 3150-0017, 3150-0016, 3150-0001, 3150-0007, 3150-0010, 3150-0158, and 3150-0130

**Expected Date of Completion:** Late Fall 2007

**Potential Hurdles to Completion:** None

**Status:** Web-based Licensing is expected to be on-line by October 2007. Currently, a security review of the system is being completed.

**Small Business Administration**

**Status: IN PROGRESS**

**Office:** Office of Government Contracting and Business Development

**Initiative Title:** 8(a) Business Development Automation System

**Description:** This multi-year initiative provides for the continued development and deployment of an Internet-based system called the Business Development Automation System. This system will automate all of the business processes of the 8(a) Business Development (BD) Program. The BD Program provides assistance to economically disadvantaged individuals who own small business. This initiative will simplify the application process for submitting annual reviews of program participants. The new Internet-based system will reduce burden on respondents and enable certification of greater number of businesses each year.

**Estimated Burden Reduction:** To be determined

**Collection(s) Affected:** 3245-0031

**Expected Date of Completion:** FY 2008

**Potential Hurdles to Completion:** None

**Status:** Electronic application module has been re-engineered and will be released in FY 2007.

**Small Business Administration**

**Status: IN PROGRESS**

**Office:** Office of Disaster Assistance (ODA)

**Initiative Title:** Disaster Assistance Credit Modernization (DCMM)

|                                     |   |
|-------------------------------------|---|
| <b>Description:</b>                 | This initiative created a fully integrated “Electronic Loan Process” for victims of declared disasters. Processing applications and loans electronically has minimized the need for physical files, which previously were passed from person to person and department to department in order to be processed. Electronic files have provided users access to critical key data, which allowed various processes to occur simultaneously without having to wait on physical files. This concept enhances our ability to meet our performance goals for processing 85 percent of home loans within 14 days and 85 percent of business and Economic Injury Disaster Loans (EIDL) within 18 days. The initiative is on-going with the development of additional improvements aimed at improving customer service, such as providing on-line loan application capability for disaster victims. |
| <b>Estimated Burden Reduction:</b>  | To be determined <sup>16</sup>  |
| <b>Collection(s) Affected:</b>      | 3245-0017, 3245-0018, 3245-0326, 3245-330, 3245-0084, 3245-0136   |
| <b>Expected Date of Completion:</b> | Ongoing   |
| <b>Status:</b>                      | The Disaster System Management System was completed by October 1, 2005.   |

|                                       |   |                          |
|---------------------------------------|---|--------------------------|
| <b>Social Security Administration</b> |   | <b>Status: COMPLETED</b> |
| <b>Office:</b>                        | Social Security Administration  |                          |
| <b>Initiative Title:</b>              | Signature Proxy   |                          |
| <b>Description:</b>                   | SSA is implementing a Signature Proxy initiative as an alternative to the traditional pen and ink or “wet” signature to eliminate the need to retain paper applications. The Signature Proxy initiative allows technicians to process the application without a signature. Additionally, it permits SSA to store and process the entire benefit application electronically, thus reducing costs associated with mailing and storing physical files. |                          |
| <b>Estimated Burden Reduction:</b>    | 383,831 hours   |                          |
| <b>Collection(s) Affected:</b>        | 0960-0618, 0960-0003, 0960-0010, 0960-0012, 0960-0013, 0960-0014, 0960-0004, 0960-0229, 0960-0444, and 0960-0416  |                          |
| <b>Date of Completion:</b>            | November 2006   |                          |

<sup>16</sup>The initiative was severely challenged during FY 2006 due to the unprecedented volume of loan applications received following the 2005 hurricane season.

| <b>Social Security Administration</b> |   | <b>Status: IN PROGRESS</b> |
|---------------------------------------|---|----------------------------|
| <b>Office:</b>                        | Social Security Administration  |                            |
| <b>Initiative Title:</b>              | Social Security Number Verification Service (SSNVS)   |                            |
| <b>Description:</b>                   | Employers must frequently verify employee names and Social Security Numbers (SSNs) to ensure accurate wage reporting and tax data. To do this, employers currently contact the Social Security Administration via phone, mail, or by sending in diskettes and magnetic tape for verification. This process is time-consuming and delays transmission of the information to employers. However, in FY 2005, SSA began verifying employee data using the SSNVS, a secure, rapid, Internet-based system that protects privacy by only providing employers with a “match” or “no match” response and not linking names and SSNs. Using SSNVS, employers can receive immediate verification of up to ten names/SSN requests or can upload a file containing more names for overnight processing. |                            |
| <b>Estimated Burden Reduction:</b>    | 223,750 hours   |                            |
| <b>Collection(s) Affected:</b>        | 0960-0669, 0960-0508  |                            |
| <b>Expected Date of Completion:</b>   | 2010  |                            |
| <b>Status:</b>                        | SSA projects a 25,000 hour burden decrease in 0960-0508 and a 9,167 burden decrease in 0960-0699 during FY 2007.  |                            |

## **Appendix A. Information Collection Burden by Agency**

Table 3. FY 2006 Burden Changes by Agency

| (Hours in millions)     | FY 2006 Program Changes        |                                       |              |                                     |              |   |              |                               |              |                     |              |                            | FY 2006 Total Dollars Needed (\$millions) |                    |
|-------------------------|--------------------------------|---------------------------------------|--------------|-------------------------------------|--------------|---|--------------|-------------------------------|--------------|---------------------|--------------|----------------------------|---|--------------------|
|                         | FY 2005 ICB Total Hours Needed | FY 2006 Changes Due to Agency Actions |              | FY 2006 Changes Due to New Statutes |              | FY 2006 Changes Due to Lapses in OMB Approval |              | FY 2006 Total Program Changes |              | FY 2006 Adjustments |              | FY 2006 Total Hours Needed |   |                    |
|                         |                                |                                       |              | % chge frm '05                      |              | % chge frm '05                                |              | % chge frm '05                |              | % chge frm '05      |              | % chge frm '05             |   |                    |
| <b>Government Total</b> | <b>8,240.51</b>                | <b>77.60</b>                          | <b>0.94%</b> | <b>36.72</b>                        | <b>0.45%</b> | <b>-0.04</b>                                  | <b>0.00%</b> | <b>114.27</b>                 | <b>1.39%</b> | <b>568.77</b>       | <b>6.90%</b> | <b>8,923.50</b>            | <b>8.29%</b>                              | <b>\$62,375.80</b> |
| Agriculture             | 84.47                          | 3.28                                  | 3.88%        | -2.20                               | -2.60%       | 0.00  | 0.00%        | 1.08                          | 1.27%        | 0.45                | 0.54%        | 86.00                      | 1.81%                                     | \$98.96            |
| Commerce                | 15.22                          | -0.24                                 | -1.57%       | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.24                         | -1.57%       | 0.32                | 2.12%        | 15.30                      | 0.55%                                     | \$1,816.83         |
| Defense                 | 52.81                          | 0.03                                  | 0.05%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.03                          | 0.05%        | -9.11               | -17.26%      | 43.72                      | -17.20%                                   | \$3.22             |
| Education               | 41.53                          | -0.02                                 | -0.04%       | 0.66                                | 1.58%        | 0.00  | 0.00%        | 0.64                          | 1.54%        | 1.23                | 2.97%        | 43.40                      | 4.52%                                     | \$0.25             |
| Energy                  | 3.13                           | -0.21                                 | -6.70%       | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.21                         | -6.70%       | -0.32               | -10.20%      | 2.60                       | -16.75%                                   | \$1.07             |
| HHS                     | 368.14                         | 66.81                                 | 18.15%       | 3.99                                | 1.08%        | 0.00  | 0.00%        | 70.80                         | 19.23%       | 38.16               | 10.37%       | 477.09                     | 29.60%                                    | \$1,000.73         |
| Homeland Security       | 84.87                          | -1.21                                 | -1.43%       | 0.10                                | 0.11%        | 0.00  | 0.00%        | -1.11                         | -1.31%       | 13.86               | 16.34%       | 97.62                      | 15.02%                                    | \$2,625.26         |
| HUD                     | 25.68                          | -0.52                                 | -2.02%       | -0.01                               | -0.05%       | -0.06   | -0.23%       | -0.59                         | -2.30%       | -0.02               | -0.08%       | 25.07                      | -2.39%                                    | \$7.94             |
| Interior                | 7.91                           | -0.43                                 | -5.45%       | 0.14                                | 1.77%        | 0.01  | 0.18%        | -0.28                         | -3.50%       | 0.42                | 5.30%        | 8.05                       | 1.79%                                     | \$37.98            |
| Justice                 | 13.12                          | 0.01                                  | 0.06%        | 4.55                                | 34.66%       | 0.00  | 0.00%        | 4.56                          | 34.72%       | -0.20               | -1.53%       | 17.48                      | 33.17%                                    | \$299.79           |
| Labor                   | 166.12                         | 1.13                                  | 0.68%        | 0.06                                | 0.03%        | 0.00  | 0.00%        | 1.19                          | 0.71%        | -7.18               | -4.32%       | 160.13                     | -3.61%                                    | \$2,243.67         |
| State                   | 35.57                          | 0.03                                  | 0.10%        | 0.00                                | -0.01%       | 0.00  | 0.00%        | 0.03                          | 0.09%        | -1.74               | -4.88%       | 33.86                      | -4.79%                                    | \$2,060.60         |
| Transportation          | 253.15                         | -6.51                                 | -2.57%       | -0.06                               | -0.03%       | 0.00  | 0.00%        | -6.58                         | -2.60%       | 24.81               | 9.80%        | 271.38                     | 7.20%                                     | \$1,980.33         |
| Treasury                | 6,434.98                       | 16.53                                 | 0.26%        | 8.70                                | 0.14%        | 0.00  | 0.00%        | 25.23                         | 0.39%        | 505.41              | 7.85%        | 6,965.63                   | 8.25%                                     | \$23,641.88        |
| VA                      | 5.88                           | 0.18                                  | 3.11%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.18                          | 3.11%        | 0.20                | 3.38%        | 6.26                       | 6.42%                                     | \$0.00             |
| EPA                     | 143.94                         | 0.21                                  | 0.15%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.21                          | 0.15%        | 1.37                | 0.95%        | 145.47                     | 1.06%                                     | \$1,670.26         |
| FAR                     | 29.59                          | 0.04                                  | 0.13%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.04                          | 0.13%        | 0.03                | 0.11%        | 29.66                      | 0.23%                                     | \$13,066.89        |
| FCC                     | 144.68                         | -0.94                                 | -0.65%       | 13.18                               | 9.11%        | 0.00  | 0.00%        | 12.24                         | 8.46%        | 1.54                | 1.06%        | 158.46                     | 9.53%                                     | \$1,680.12         |
| FDIC                    | 6.28                           | -0.09                                 | -1.35%       | 0.20                                | 3.26%        | 0.00  | 0.00%        | 0.12                          | 1.90%        | 0.16                | 2.51%        | 6.56                       | 4.37%                                     | \$0.00             |
| FERC                    | 5.75                           | -0.04                                 | -0.74%       | 0.08                                | 1.32%        | 0.00  | 0.00%        | 0.03                          | 0.58%        | 0.00                | 0.00%        | 5.78                       | 0.54%                                     | \$89.13            |
| FTC                     | 72.55                          | 0.03                                  | 0.05%        | 7.13                                | 9.82%        | 0.00  | 0.00%        | 7.16                          | 9.87%        | -3.13               | -4.31%       | 76.58                      | 5.56%                                     | \$39.17            |
| NASA                    | 5.24                           | -0.01                                 | -0.20%       | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.01                         | -0.20%       | 0.00                | 0.01%        | 5.23                       | -0.28%                                    | \$1.09             |
| NSF                     | 5.80                           | -0.04                                 | -0.70%       | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.04                         | -0.70%       | 0.00                | 0.01%        | 5.76                       | -0.72%                                    | \$0.02             |
| NRC                     | 9.32                           | 0.07                                  | 0.70%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.07                          | 0.70%        | 0.01                | 0.16%        | 9.40                       | 0.84%                                     | \$0.37             |
| SEC                     | 171.77                         | 1.03                                  | 0.60%        | -0.07                               | -0.04%       | 0.00  | 0.00%        | 0.96                          | 0.56%        | 0.34                | 0.20%        | 173.07                     | 0.76%                                     | \$9,957.22         |
| SBA                     | 1.56                           | 0.03                                  | 1.71%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.03                          | 1.71%        | 0.00                | -0.16%       | 1.58                       | 1.68%                                     | \$13.88            |
| SSA                     | 38.88                          | -1.69                                 | -4.36%       | 0.30                                | 0.76%        | 0.00  | 0.00%        | -1.40                         | -3.60%       | 2.20                | 5.65%        | 39.68                      | 2.06%                                     | \$39.14            |
| Egov                    | 12.56                          | 0.15                                  | 1.17%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.15                          | 1.17%        | -0.06               | -0.48%       | 12.65                      | 0.73%                                     | \$0.00             |

Table 4. FY 2007 Burden Changes by Agency

| (Hours in millions)     | FY 2007 Program Changes        |                                       |               |                                     |              |   |              |                               |              |                     |              | FY 2007 Total Hours Needed |              | FY 2007 Total Dollars Needed (\$millions) |
|-------------------------|--------------------------------|---------------------------------------|---------------|-------------------------------------|--------------|---|--------------|-------------------------------|--------------|---------------------|--------------|----------------------------|--------------|---|
|                         | FY 2006 ICB Total Hours Needed | FY 2007 Changes Due to Agency Actions |               | FY 2007 Changes Due to New Statutes |              | FY 2007 Changes Due to Lapses in OMB Approval |              | FY 2007 Total Program Changes |              | FY 2007 Adjustments |              |                            |              |   |
|                         |                                |                                       |               | % chge frm '06                      |              | % chge frm '06                                |              | % chge frm '06                |              | % chge frm '06      |              | % chge frm '06             |              |   |
| <b>Government Total</b> | <b>8,923.50</b>                | <b>-35.62</b>                         | <b>-0.40%</b> | <b>158.33</b>                       | <b>1.77%</b> | <b>0.01</b>                                   | <b>0.00%</b> | <b>122.71</b>                 | <b>1.38%</b> | <b>118.92</b>       | <b>1.33%</b> | <b>9,165.18</b>            | <b>2.71%</b> | <b>\$63,411.17</b>                        |
| Agriculture             | 86.00                          | 1.15                                  | 1.33%         | 7.76                                | 9.02%        | 0.00  | 0.01%        | 8.91                          | 10.36%       | 0.36                | 0.42%        | 95.28                      | 10.79%       | \$98.96                                   |
| Commerce                | 15.30                          | 1.30                                  | 8.47%         | 5.25                                | 34.31%       | 0.00  | 0.00%        | 6.55                          | 42.78%       | 6.50                | 42.47%       | 28.35                      | 85.25%       | \$2,063.19                                |
| Defense                 | 43.72                          | 0.01                                  | 0.03%         | 0.07                                | 0.15%        | 0.00  | 0.00%        | 0.08                          | 0.18%        | 0.03                | 0.07%        | 43.84                      | 0.26%        | \$3.22                                    |
| Education               | 43.40                          | 0.85                                  | 1.96%         | -0.14                               | -0.32%       | 0.00  | 0.00%        | 0.71                          | 1.65%        | 6.35                | 14.64%       | 50.47                      | 16.29%       | \$0.25                                    |
| Energy                  | 2.60                           | 0.06                                  | 2.18%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.06                          | 2.18%        | -0.01               | -0.47%       | 2.65                       | 1.70%        | \$1.07                                    |
| HHS                     | 477.09                         | -47.18                                | -9.89%        | 1.06                                | 0.22%        | 0.00  | 0.00%        | -46.12                        | -9.67%       | 0.60                | 0.13%        | 431.58                     | -9.54%       | \$485.47                                  |
| Homeland Security       | 97.62                          | 0.57                                  | 0.59%         | 2.52                                | 2.58%        | 0.00  | 0.00%        | 3.10                          | 3.17%        | 0.00                | 0.00%        | 100.72                     | 3.17%        | \$2,722.32                                |
| HUD                     | 25.07                          | 0.17                                  | 0.69%         | 0.53                                | 2.13%        | 0.00  | 0.00%        | 0.71                          | 2.82%        | -0.11               | -0.43%       | 25.67                      | 2.39%        | \$7.94                                    |
| Interior                | 8.05                           | 0.44                                  | 5.47%         | 1.12                                | 13.87%       | 0.00  | 0.00%        | 1.56                          | 19.34%       | -0.34               | -4.21%       | 9.27                       | 15.13%       | \$49.84                                   |
| Justice                 | 17.48                          | 0.33                                  | 1.91%         | -0.31                               | -1.77%       | 0.00  | 0.00%        | 0.02                          | 0.14%        | 0.60                | 3.43%        | 18.10                      | 3.57%        | \$305.90                                  |
| Labor                   | 160.13                         | -1.12                                 | -0.70%        | 0.55                                | 0.34%        | 0.00  | 0.00%        | -0.57                         | -0.35%       | 1.40                | 0.87%        | 160.96                     | 0.52%        | \$2,249.84                                |
| State                   | 33.86                          | -0.44                                 | -1.30%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.44                         | -1.30%       | 0.02                | 0.06%        | 33.44                      | -1.24%       | \$2,061.10                                |
| Transportation          | 271.38                         | 4.18                                  | 1.54%         | -0.06                               | -0.02%       | 0.00  | 0.00%        | 4.11                          | 1.52%        | 1.09                | 0.40%        | 276.59                     | 1.92%        | \$2,027.91                                |
| Treasury                | 6,965.63                       | 12.39                                 | 0.18%         | 139.09                              | 2.00%        | 0.00  | 0.00%        | 151.48                        | 2.17%        | 98.60               | 1.42%        | 7,215.70                   | 3.59%        | \$23,641.88                               |
| VA                      | 6.26                           | 0.03                                  | 0.54%         | 0.01                                | 0.10%        | 0.00  | 0.00%        | 0.04                          | 0.64%        | -0.42               | -6.78%       | 5.88                       | -6.15%       | \$0.00                                    |
| EPA                     | 145.47                         | 0.37                                  | 0.25%         | 0.06                                | 0.04%        | 0.00  | 0.00%        | 0.42                          | 0.29%        | 0.65                | 0.45%        | 146.59                     | 0.77%        | \$1,660.37                                |
| FAR                     | 29.66                          | -0.35                                 | -1.18%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | -0.35                         | -1.18%       | 0.00                | 0.00%        | 29.31                      | -1.18%       | \$13,066.89                               |
| FCC                     | 158.46                         | -9.68                                 | -6.11%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | -9.68                         | -6.11%       | -0.10               | -0.07%       | 148.68                     | -6.17%       | \$1,644.79                                |
| FDIC                    | 6.56                           | 0.03                                  | 0.47%         | -0.07                               | -1.04%       | 0.00  | 0.00%        | -0.04                         | -0.57%       | 0.00                | 0.00%        | 6.52                       | -0.57%       | \$0.00                                    |
| FERC                    | 5.78                           | -0.40                                 | -6.89%        | 0.70                                | 12.19%       | 0.00  | 0.00%        | 0.31                          | 5.30%        | -0.45               | -7.86%       | 5.64                       | -2.57%       | \$117.82                                  |
| FTC                     | 76.58                          | 0.06                                  | 0.07%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.06                          | 0.07%        | 0.08                | 0.11%        | 76.72                      | 0.18%        | \$41.28                                   |
| NASA                    | 5.23                           | 0.00                                  | 0.02%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.00                          | 0.02%        | -0.01               | -0.11%       | 5.23                       | -0.08%       | \$0.01                                    |
| NSF                     | 5.76                           | 0.02                                  | 0.27%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.02                          | 0.27%        | 0.00                | 0.00%        | 5.78                       | 0.27%        | \$0.02                                    |
| NRC                     | 9.40                           | 0.20                                  | 2.10%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.20                          | 2.10%        | 0.89                | 9.51%        | 10.49                      | 11.61%       | \$1.04                                    |
| SEC                     | 173.07                         | 0.25                                  | 0.14%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.25                          | 0.14%        | 0.51                | 0.30%        | 173.83                     | 0.44%        | \$11,099.64                               |
| SBA                     | 1.58                           | 0.00                                  | -0.25%        | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.00                          | -0.25%       | 0.00                | 0.00%        | 1.58                       | -0.25%       | \$13.88                                   |
| SSA                     | 39.68                          | 1.15                                  | 2.90%         | 0.18                                | 0.45%        | 0.00  | 0.00%        | 1.33                          | 3.35%        | 2.68                | 6.75%        | 43.68                      | 10.09%       | \$46.54                                   |
| Egov                    | 12.65                          | 0.00                                  | 0.00%         | 0.00                                | 0.00%        | 0.00  | 0.00%        | 0.00                          | 0.00%        | 0.00                | 0.00%        | 12.65                      | 0.00%        | \$0.00                                    |

## **Appendix B. Significant Paperwork Reductions and Increases**

### **-- FY 2006 & FY 2007**

The Paperwork Reduction Act of 1995 (PRA) assigns responsibility for the agency's information collection activities to the head of each agency, supported by its Chief Information Officer (CIO). Agencies are expected to develop and coordinate initiatives that will produce meaningful improvements for the public. This includes reducing the amount of paperwork required of the public.

This appendix highlights significant burden changes agencies made in the last year, as well as significant burden changes expected in FY 2007. To identify the source of the burden reduction or increase, the appendix is divided into five sub-categories. For reductions, these exhibits of burden changes are organized as follows: cutting redundancy, using information technology, changing regulations, changing forms, and miscellaneous actions. For increases in burden, the exhibits are placed into three categories: caused by statute, changing regulations, miscellaneous actions. When legislation or Administration policies result in an increase in burden to achieve the benefits of the law or new program, the appendix includes the statute title and public law number when available.



## FY 2006 Changes in Burden

### FY 2006 Burden Reduction from Changing Regulations

|                                   |   |
|-----------------------------------|---|
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0584-0293   |
| <b>Title:</b>                     | Food Distribution Forms   |
| <b>Purpose of the Collection:</b> | This collection allows states to purchase commodities and distribute them to organizations to provide food assistance to those in need.   |
| <b>How Reduction Achieved:</b>    | Rule revised and clarified requirements with respect to the distribution, management, and use of donated foods in the National School Lunch Program and other child nutrition programs, the Nutritional services incentive Program, and by charitable institutions. |
| <b>Change in Burden:</b>          | -15,683 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1820-0600   |
| <b>Title:</b>                     | State and Local Educational Agency Record and Reporting Requirements Under Part B of the Individuals with Disabilities Education Act (IDEA)   |
| <b>Purpose of the Collection:</b> | This collection ensures that States and/ or Local Educational Agencies (LEAs) deliver special education services as specified in updated regulations for Part B of IDEA.  |
| <b>Why Increase Occurred:</b>     | The final regulations implementing the Individuals with Disabilities Education Improvement Act required additional State reporting to other public agencies or the public rather than to the Secretary.   |
| <b>Change in Burden:</b>          | 360,295 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0679   |
| <b>Title:</b>                     | Durable Medical Equipment Regional Carrier, Certificates of Medical Necessity Provider Cost Report Reimbursement  |
| <b>Purpose of the Collection:</b> | These forms contain medical information necessary to make an appropriate claim determination and to ensure that claims are properly paid.   |
| <b>How Reduction Achieved:</b>    | The collection was revised by removing the burden associated with complex medical review. Complex medical review is addressed in the regulation CMS-6022-P and approved under 0938-0969.  |
| <b>Change in Burden:</b>          | -135,000 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-0139   |
| <b>Title:</b>                     | Current Good Manufacturing Practice (CGMP) and Investigational New Drugs Intended for Use in Clinical Trials – Proposed Rule  |
| <b>Purpose of the Collection:</b> | The proposed rule will amend the CGMP regulations for human drugs to exempt most investigational “Phase I” drugs from complying with the requirements in the CGMP regulations.  |
| <b>How Reduction Achieved:</b>    | The proposed rule affected the data collection cleared under the CGMP regulations. This change resulted in a decrease in burden.  |
| <b>Change in Burden:</b>          | -50,493 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Homeland Security   |
| <b>OMB Control Number:</b>        | 1615-0047   |
| <b>Title:</b>                     | Employment Eligibility Verification   |

|                                   |  |
|-----------------------------------|--|
| <b>Purpose of the Collection:</b> | For employers verifying employment eligibility of their hires.   |
| <b>How Reduction Achieved:</b>    | Regulation Change - Interim Regulation, Electronic Signature and Storage of Form I-9 (71 FR 34510)   |
| <b>Change in Burden:</b>          | -650,000 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0075  |
| <b>Title:</b>                     | Affidavit of Support under Section 213A of the Act   |
| <b>Purpose of the Collection:</b> | To show that the applying immigrant has enough financial support to live without concern of becoming reliant on U.S. government welfare.   |
| <b>How Reduction Achieved:</b>    | Regulation Change – Final Regulation, (71 FR 35732)  |
| <b>Change in Burden:</b>          | -763,200 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1651-0095  |
| <b>Title:</b>                     | Textiles and Textile Products  |
| <b>Purpose of Collection:</b>     | This collection required that importers, exporters and manufacturers submit a Textile Certificate/Declaration to CBP providing information about whether imported articles were manufactured in a foreign country.   |
| <b>How Reduction Achieved:</b>    | CBP discontinued the collection of this certificate to reduce paperwork for importers and other parties and to move toward paperless entries. 19 CFR 12.130, which mandated the Textile Certificate/Declaration, was removed from the CBP Regulations.   |
| <b>Change in Burden:</b>          | -171,591 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Labor  |
| <b>OMB Control Number:</b>        | 1215-0196  |
| <b>Title:</b>                     | Equal Opportunity Survey   |
| <b>Purpose of the Collection:</b> | Data collected by the Equal Opportunity (EO) Survey was intended to improve the selection of Federal contractors for compliance evaluations.   |
| <b>How Reduction Achieved:</b>    | Due to lack of accuracy and utility, the Department published a Final Rule on September 8, 2006, amending 41 CFR Part 60-2 to eliminate this collection.   |
| <b>Change in Burden:</b>          | -210,000 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2126-0001  |
| <b>Title:</b>                     | Hours of Service of Drivers Regulations  |
| <b>Purpose of the Collection:</b> | This information is required for the Record of Duty Status (RODS) of drivers of commercial motor vehicle (CMVs) drivers to help ensure that motor carriers and CMV drivers comply with the limitations on maximum driving and duty time prescribed in the Federal Motor Carrier Safety Regulations (FMCSRs).                       |
| <b>How Reduction Achieved:</b>    | DOT estimates that at least 239,400 commercial drivers operating in the short-haul sector would be relieved of the requirement to file RODS as a result of the 2005 Hour of Service of Driver Regulation final rule.   |
| <b>Change in Burden:</b>          | -7,273,200 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0206  |
| <b>Title:</b>                     | Part 21 - Domestic Public Fixed Radio Services   |
| <b>Purpose of the Collection:</b> | The information requested under Part 21 is used by the Commission staff to fulfill its obligations as set forth on Sections 308 and 309 of the Communications Act of 1934, as amended, to determine the technical, legal and other qualifications of applicants to operate a station in the Multipoint Distribution Service (MDS). |
| <b>How Reduction Achieved:</b>    | Collection discontinued when Commission created Broadband Radio Service to replace MDS.  |

|                                   |   |
|-----------------------------------|---|
| <b>Change in Burden:</b>          | -10,221 hours   |
| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0704   |
| <b>Title:</b>                     | Policy and Rules Concerning the Interstate, Interexchange Marketplace; Implementation of Section 254(g) of the Communications Act of 1934, as amended, CC Docket No. 96-61.   |
| <b>Purpose of the Collection:</b> | The Federal Communications Commission reinstated the public disclosure requirement and required that nondominant interexchange carriers with Internet websites pass this information on-line in a timely and easily accessible manner. These carriers were also required to file annual certifications and maintain price and service information.                                    |
| <b>How Reduction Achieved:</b>    | The tariff cancellation requirement was eliminated.   |
| <b>Change in Burden:</b>          | -74,598 hours   |
| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0897   |
| <b>Title:</b>                     | MDS and ITFS Two-way Transmissions  |
| <b>Purpose of the Collection:</b> | The rules for two-way transmissions for MDS and ITFS will allow two-way licensing and provide greater flexibility in the use of the allotted spectrum to licensees. The Commission will use this information to ensure that MDS and ITFS applicants and licensees have considered properly under the Commission's rules the potential for harmful interference from their facilities. |
| <b>How Reduction Achieved:</b>    | Collection discontinued when Commission created Broadband Radio Service to replace MDS and Educational Broadband Service to replace ITFS.   |
| <b>Change in Burden:</b>          | -223,618 hours  |
| <b>Agency:</b>                    | Federal Deposit Insurance Corporation   |
| <b>OMB Control Number:</b>        | 3064-0113   |
| <b>Title:</b>                     | External Audits   |
| <b>Purpose of the Collection:</b> | Facilitates early identification of problems in financial management at insured depository institutions above a certain size.   |
| <b>How Reduction Achieved:</b>    | Increased asset size threshold for covered institutions from \$500 million to \$1 billion.  |
| <b>Change in Burden:</b>          | -52,923 hours   |
| <b>Agency:</b>                    | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>        | 1902-0038   |
| <b>Title:</b>                     | Application for the Determination of the Maximum Lawful Price under the Natural Gas Policy Act (FERC Form 121)  |
| <b>Purpose of the Collection:</b> | In Order No. 616, (July 14, 2000) FERC reinstated provisions for making well category determinations under Section 503 of the Natural Gas Policy Act for certain categories of high cost gas. Such determinations allow natural gas producers to claim a tax credit for certain types of high cost gas production.  |
| <b>How Reduction Achieved:</b>    | The tax credit has expired and all applications submitted under the previous authorizations have been processed.  |
| <b>Change in Burden:</b>          | -23,284 hours   |
| <b>Agency:</b>                    | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>        | 1902-0060; 1902-0062 and 1902-0128  |
| <b>Title:</b>                     | Gas Pipeline Certificates: Construction, Acquisition and Abandonment (0060); Gas Pipeline Certificates: Import/Export Related (0062); Gas Pipeline Certificates: Environmental Impact Statement (0128)  |
| <b>Purpose of the Collection:</b> | In Order No. 608, (64 FR 51209-51222, September 22, 1999), FERC allowed prospective applicants seeking to construct, operate or abandon natural gas facilities  |

|                                   |   |
|-----------------------------------|---|
|                                   | or services to provide a collaborative process that includes environmental analysis and issue resolution.   |
| <b>How Reduction Achieved:</b>    | The Commission eliminated this collaborative pre-filing procedure because it found that it was impracticable.   |
| <b>Change in Burden:</b>          | -12,760 hours (1902-0060); -270 hours (1902-0062); and -13,580 hours (1902-0128)  |
| <b>Agency:</b>                    | Securities and Exchange Commission  |
| <b>OMB Control Number:</b>        | 3235-0288 (Form 20-F)   |
| <b>Title:</b>                     | Termination of Foreign Private Issuer's Registration of a Class of Securities Under Section 12(g) and Duty to File Reports Under Section 15(d) of the Securities Exchange Act of 1934 |
| <b>Purpose of the Collection:</b> | To make it easier for Foreign Private Issuers to terminate their Exchange Act reporting obligations when there is relatively little U.S. investor interest in its securities.         |
| <b>How Reduction Achieved:</b>    | Securities Exchange Commission issued a propose rule to streamline the Exchange Act requirements for Foreign Private Issuers.   |
| <b>Change in Burden:</b>          | - 72,325 hours and -\$65,092,000  |

### **FY 2006 Burden Reduction from Cutting Redundancy**

|                                   |  |
|-----------------------------------|--|
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1810-0021  |
| <b>Title:</b>                     | Indian Education Formula Grants to Local Educational Agencies (LEAs)   |
| <b>Purpose of the Collection:</b> | This grant application ensures that the programs meet the purpose of the Elementary and Secondary Education Act, are based on challenging State content and student performance standards, and are designed to assist Indian students in meeting those standards, and meet Government Performance and Results Act (GPRA) requirements. |
| <b>How Reduction Achieved:</b>    | Planned consolidation with ED Facts collection (1875-0240).  |
| <b>Change in Burden:</b>          | -17,340 hours  |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1810-0576  |
| <b>Title:</b>                     | Consolidated State Application   |
| <b>Purpose of the Collection:</b> | For States to apply for federal formula program grant funds under the Elementary and Secondary Education Act, as amended by sections 9301-9306 of the No Child Left Behind Act (NCLB).   |
| <b>How Reduction Achieved:</b>    | Eliminated the burden associated with the statutory requirements that States have already satisfied through earlier submissions (2002-2003) of the Consolidated State Application.   |
| <b>Change in Burden:</b>          | -10,360 hours  |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1810-0614  |
| <b>Title:</b>                     | Consolidated State Performance Report (CSPR)   |
| <b>Purpose of the Collection:</b> | To collect data from States that is required under section 1111 of the No Child Left Behind Act and to collect data to monitor program outcomes.   |
| <b>How Reduction Achieved:</b>    | Some data was electronically pre-populated from previous year submissions in the Education Data Exchange Network (EDEN) and some data duplicative data was eliminated.   |
| <b>Change in Burden:</b>          | -34,561 hours  |

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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0915-0060  |
| <b>Title:</b>                     | HRSA Competing Grant Training Application  |
| <b>Purpose of the collection:</b> | This application collected information for a number of health professions training grant programs and contained general and program specific guidance and program instructions.  |
| <b>How Reduction Achieved:</b>    | A HRSA work group examining agency forms for possible duplication or redundancy with currently approved Standard Forms found that the health professions programs could operate with the Standard Forms, allowing HRSA to discontinue this form and its associated burden. |
| <b>Change in Burden:</b>          | -101,531 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0938-0742  |
| <b>Title:</b>                     | Adjusted Community Rate (ACR) and Supporting Regulations in 42 CFR   |
| <b>Purpose of the Collection:</b> | This collection was used to approve contract applications, monitor compliance with contract requirements, make proper payment to Medicare+Choice organizations, and to ensure that correct information was disclosed to Medicare beneficiaries.                            |
| <b>How Reduction Achieved:</b>    | Collection discontinued due to similar collection requirements under 0938-0944 and 0938-0763 as required by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173).  |
| <b>Change in Burden:</b>          | -57,000 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0938-0781  |
| <b>Title:</b>                     | Home Health Advance Beneficiary Notices and Supporting Regulations in 42 CFR, Section 411.404 and 484.10 (a) and (e)   |
| <b>Purpose of the Collection:</b> | Home Health Agencies are required to provide written notice to Medicare beneficiaries under various circumstances involving the initiation, reduction, or termination of services.   |
| <b>How Reduction Achieved:</b>    | The basis of the burden estimation was changed from home health claims to home health beneficiaries because claim data was difficult to cross-reference to the number of beneficiaries.  |
| <b>Change in Burden:</b>          | -11,497 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2507-0001  |
| <b>Title:</b>                     | Customer Service and Satisfaction Survey   |
| <b>Purpose of the Collection:</b> | To measure resident satisfaction with living conditions and assess the overall living conditions of residents.   |
| <b>How Reduction Achieved:</b>    | The reduction is attributed to the removal of multifamily assessment burden hours for which HUD no longer conducts survey assessments.   |
| <b>Change in Burden:</b>          | -12,555 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1545-1277  |
| <b>Title:</b>                     | Form 1040-Telefile   |
| <b>Purpose of the Collection:</b> | For individuals file their return using the telephone  |
| <b>How Reduction Achieved:</b>    | IRS eliminated this form because of continual shrinkage in use and because taxpayers now e-file their returns for a faster, safer, and more efficient way of meeting their tax obligation.   |
| <b>Change in Burden:</b>          | -7,133,900 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0690  |

**Title:** Section 101.17, Performance Requirements for the 38.6 - 40.0 GHz Frequency Band  
**Purpose of the Collection:** All 38.6 - 40.0 GHz band licensees must demonstrate substantial service at the time of license renewal. This collection provides information for a licensee's substantial service showing in each market or portion of a market covered by their license in order to qualify for renewal.  
**How Reduction Achieved:** Eliminated Federal Communications Commission Form 415/415T  
**Change in Burden:** -75,235 hours

### **FY 2006 Burden Reduction from Changing Forms**

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0301  
**Title:** Medicare Provider Cost Report Reimbursement Questionnaire and Supporting Regulations in 42 CFR 413.20, 413.24, and 415.60  
**Purpose of the Collection:** To assist providers in the collection of data to support acceptable cost reports and to minimize subsequent contact between the providers and their intermediaries.  
**How Reduction Achieved:** Chain home offices are no longer required to complete part of this form as the same information is collected in 0938-0202.  
**Change in Burden:** -14,904 hours

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2030-0020  
**Title:** General Administrative Requirements for Assistance Programs  
**Purpose of the Collection:** The information is collected from applicants/recipients of EPA assistance and is used to make awards, pay recipients, and collect information on how Federal funds are being spent.  
**How Reduction Achieved:** EPA removed five forms (EPA Forms: 5700-20A, 5700-20B, 5700-30, 5700-49 and 5700-52A) and added three forms ("Lobbying Cost Certificate for Indirect Costs," NCER Form 5, and NCER Form 3212).  
**Change in Burden:** -65,287 hours

**Agency:** Federal Deposit Insurance Corporation  
**OMB Control Number:** 3064-0052  
**Title:** Consolidated Reports of Condition and Income (Call Report)  
**Purpose of the Collection:** Determines the financial condition of insured financial institutions.  
**How Reduction Achieved:** Deletion of data items that are no longer needed (result of interagency review by the Federal Reserve, OCC, and FDIC).  
**Change in burden:** -45,334 hours

### **FY 2006 Burden Reduction from Using Information Technology**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0524-0036  
**Title:** Reporting Requirements for State Plans of Work for Agricultural Research and Extension Formula Funds  
**Purpose of the Collection:** To comply with statutory requirements that require States and eligible institutions to submit a plan of work for approval by the Secretary of Agriculture.  
**How Reduction Achieved:** Providing an option to submit plans of work and other information collected related to the plans of work electronically will reduce burden.  
**Change in Burden:** - 25,260 hours

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| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1845-0008   |
| <b>Title:</b>                     | Student Aid Report (SAR)  |
| <b>Purpose of the Collection:</b> | The SAR is used to notify all applicants of their eligibility to receive federal student aid for postsecondary education.   |
| <b>How Reduction Achieved:</b>    | The Department made process changes to encourage web applications.  |
| <b>Change in Burden:</b>          | -214,034 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1850-0582   |
| <b>Title:</b>                     | Integrated Postsecondary Education Data (IPEDS)   |
| <b>Purpose of the Collection:</b> | IPEDS is a system of surveys designed to collect basic data from Title IV postsecondary institutions in the United States through a web-based data collection system.   |
| <b>How Reduction Achieved:</b>    | The implementation of a new Classification of Instructional Programs increased burden during the 2004-05 and 2005-06 collection years. With that conversion complete, the burden per response will decrease.  |
| <b>Change in Burden:</b>          | -34,568 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Energy  |
| <b>OMB Control Number:</b>        | 1910-0300   |
| <b>Title:</b>                     | Environment, Safety and Health  |
| <b>Purpose of the Collection:</b> | The information obtained from DOE contractors by these information collections are used to manage the environment, safety and health issues throughout the DOE complex.   |
| <b>How Reduction Achieved:</b>    | These changes are a result of the implementation of information technology to electronically collect the information. DOE in response to the President's Management Agenda item, <i>Expanded Electronic Government</i> , improved the design and capabilities of several systems that collect and report information.                     |
| <b>Change in Burden:</b>          | -74,475 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0878   |
| <b>Title:</b>                     | Collection of Diagnostic Data from Medicare Advantage Organizations for Risk Adjusted Payments Supporting Reg 42 CFR Part 422 & 423 Subparts F and G  |
| <b>Purpose of the Collection:</b> | This collection implements health status risk adjustment payment methodology for Parts C and D that takes into account the health status of plan enrollees. CMS collects inpatient and outpatient data. CMS will use the data to make risk adjusted payment under Parts C and D, MA plans and Medicare Advantage Prescription Drug plans. |
| <b>How Reduction Achieved:</b>    | CMS reduced the burden by streamlining the systems used to collect risk adjustment data and requiring a substantially reduced data set.   |
| <b>Change in Burden:</b>          | -10,526 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Homeland Security   |
| <b>OMB Control Number:</b>        | 1660-0069   |
| <b>Title:</b>                     | National Fire Incident Reporting System (NFIRS) Versions 4.1 and 5.0  |
| <b>Purpose of the Collection:</b> | To collect and analyze fire incident data at the Federal, State, and local levels.  |
| <b>How Reduction Achieved:</b>    | Expanded use of electronic reporting.   |
| <b>Change in Burden:</b>          | -1,277,586 hours and -\$17,545,000  |
|                                   |   |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2105-0534   |
| <b>Title:</b>                     | Passenger Manifest Information  |

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|-----------------------------------|---|
| <b>Purpose of the Collection:</b> | The purpose of this collection is to comply with Part 243 (Passenger Manifest Information) in Chapter II of Title 14 of the Code of Federal Regulations.  |
| <b>How Reduction Achieved:</b>    | Through improvements in airline information technology and increased use of internet bookings, revised annual reporting burden expected to remain constant through end of collection period (833,464 annual hours). |
| <b>Change in Burden:</b>          | - 216,536 hours   |
| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0010   |
| <b>Title:</b>                     | Application for Child's Insurance Benefits  |
| <b>Purpose of the Collection:</b> | The SSA-4 is used to collect information necessary for a child to receive Title II benefits.  |
| <b>How Reduction Achieved:</b>    | In late 2005, the SSA-4 became available for electronic signature via the Signature Proxy Initiative.   |
| <b>Change in Burden:</b>          | -13,775 hours   |
| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0618   |
| <b>Title:</b>                     | Internet Social Security Benefits Application (ISBA)  |
| <b>Purpose of the Collection:</b> | The information collected on the benefit application forms SSA-1, SSA-2 and SSA-16 are used to establish initial filing for social security retirement and disability benefits.                                     |
| <b>How Reduction Achieved:</b>    | ISBA collections became available for electronic signature via the Signature Proxy Initiative.  |
| <b>Change in Burden:</b>          | -32,401 hours   |

### **FY 2006 Burden Reduction from Statutory Requirements**

|                                      |   |
|--------------------------------------|---|
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0560-0025   |
| <b>Title:</b>                        | Farm Reconstitutions (7CFR 718)   |
| <b>Purpose of the Collection:</b>    | The reconstitution process was a required procedure when a producer wishes to increase acreage attributed to the farm from leases of purchases, or change farm acreage records as a result of any part of a farm. The FSA county committee must act on all proposed farm reconstitutions and issue their approval or disapproval.     |
| <b>How Reduction Achieved:</b>       | Collection was discontinued.  |
| <b>Change in Burden:</b>             | -15,024 hours   |
| <b>Statute Title and Public Law:</b> | Fair and Equitable Tobacco Reform Act of 2004 (Pub. L. No. 108-357)   |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0560-0182   |
| <b>Title:</b>                        | Tobacco Marketing Quota Referenda   |
| <b>Purpose of the Collection:</b>    | To proclaim national marketing quotas for tobacco and to conduct a referendum of the farmers who were engaged in the production of the crop of tobacco harvested immediately prior to the referendum to determine whether these farmers are in favor of, or opposed to, national marketing quotas for the next three marketing years. |
| <b>How Reduction Achieved:</b>       | Collection was discontinued.  |
| <b>Change in Burden:</b>             | -29,556 hours   |
| <b>Statute Title and Public Law:</b> | Fair and Equitable Tobacco Reform Act of 2004 (Pub. L. No. 108-357)   |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0560-0217   |
| <b>Title:</b>                        | Designation of Burley Tobacco Sales and Annual Waiver to Release Information Restricted by the Privacy Act.   |



|                                      |  |
|--------------------------------------|--|
| <b>Purpose of the Collection:</b>    | This collection of information is used for burley tobacco marketing and other producer services.   |
| <b>How Reduction Achieved:</b>       | Collection was discontinued.   |
| <b>Change in Burden:</b>             | -187,504 hours   |
| <b>Statute Title and Public Law:</b> | Fair and Equitable Tobacco Reform Act of 2004 (Pub. L. No. 108-357)  |
| <br>                                 |  |
| <b>Agency:</b>                       | Department of Agriculture  |
| <b>OMB Control Number:</b>           | 0584-0012  |
| <b>Title:</b>                        | 7 CFR 220, School Breakfast Program  |
| <b>Purpose of the Collection:</b>    | The School Breakfast Program (SBP) provides cash assistance to States to operate nonprofit breakfast programs in schools and residential childcare institutions.   |
| <b>How Reduction Achieved:</b>       | Sec. 201 of the Child Nutrition and WIC Reauthorization Act of 2004 eliminates the requirement to document costs in order to receive the severe need reimbursement rate.   |
| <b>Change in Burden:</b>             | -2,169,932 hours   |
| <b>Statute Title and Public Law:</b> | Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)  |
| <br>                                 |  |
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1820-0622  |
| <b>Title:</b>                        | Special Education -- Personnel Preparation to Improve Services and Results for Children with Disabilities  |
| <b>Purpose of the Collection:</b>    | The information is used to ensure eligibility of trainees for scholarship assistance, determine progress in completion of training, and ensure that the service obligation is completed or that the scholarship assistance is repaid.  |
| <b>Why Decrease Occurred:</b>        | The requirement that grantees track the progress of scholars has been eliminated.  |
| <b>Change in Burden:</b>             | -21,750 hours  |
| <b>Statute Title and Public Law:</b> | Individuals with Disabilities Education Improvement Act (Pub. L. No. 108-446)  |
| <br>                                 |  |
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0001  |
| <b>Title:</b>                        | Free Application for Federal Student Aid (FAFSA)   |
| <b>Purpose of the Collection:</b>    | This form collects identifying and financial information from students applying for Federal student aid for postsecondary education.   |
| <b>Why Decrease Occurred:</b>        | The Higher Education Reconciliation Act of 2005 (HERA) required collection of additional data via last-minute mailed paper form in 2006 to determine eligibility for two new grants, causing a one-time spike in burden hours. The new questions have now been integrated into routine FAFSA processing for 2007-2008. |
| <b>Change in Burden:</b>             | - 147,664 hours  |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
| <br>                                 |  |
| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1651-0076  |
| <b>Title:</b>                        | Modernization Act Recordkeeping Requirements   |
| <b>Purpose of Collection:</b>        | These recordkeeping requirements are necessary to substantiate the correctness of information regarding import entry documents.  |
| <b>How Reduction Achieved:</b>       | The NAFTA agreement sharply reduced the number of drawback claimants which resulted in fewer companies required to keep records.   |
| <b>Change in Burden:</b>             | -941,417 hours   |
| <b>Statute Title and Public Law:</b> | NAFTA Implementation Act (Pub. L. No. 103-182)   |
| <br>                                 |  |
| <b>Agency:</b>                       | Department of Housing and Urban Development  |

**OMB Control Number:** 2577-0029  
**Title:** Operating Fund Subsidies Allocation Formula  
**Purpose of the Collection:** The Operating Fund program provides operating subsidies to public housing agencies to fund the operating and maintenance expenses associated with 1.2 million public housing units.  
**How Reduction Achieved:** The decrease is a result of the revised funding formula requiring significantly less information by the elimination of five HUD forms.  
**Change in Burden:** -13,248 hours  
**Statute Title and Public Law:** FY 2004 Consolidated Appropriations Act (Pub. L. No. 108-99)

**Agency:** Department of Transportation  
**OMB Control Number:** 2132-0529  
**Title:** Metropolitan and Statewide Transportation Planning  
**Purpose of the Collection:** Information is used to determine eligibility for financial assistance and ensure compliance with federal requirements.  
**How Reduction Achieved:** Reporting frequency required for some reports has been reduced as a result of the implementation of SAFETEA-LU.  
**Change in Burden:** -64,605 hours  
**Statute Title and Public Law:** Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)

**Agency:** Securities and Exchange Commission  
**OMB Control Number:** 3235-0125  
**Title:** Form U-1 (17 CFR 259.101), Application or Declaration under Public Utility Holding Company Act of 1935  
**Purpose of the Collection:** The form was used to file or amend applications or declarations under certain sections of the Act. These filings assisted Commission staff in the oversight of registered holding companies and their subsidiaries.  
**How Reduction Achieved:** The Commission discontinued this collection due to the repeal of the Public Utility Holding Company Act of 1935.  
**Change in Burden:** -24,753 Hours  
**Statute Title and Public Law:** Energy Policy Act of 2005 (Pub. L. No. 109-58)

**Agency:** Securities and Exchange Commission  
**OMB Control Number:** 3235-0430  
**Title:** Rule 55, Exemption for certain acquisitions of one or more foreign utility companies  
**Purpose of the Collection:** To monitor acquisitions of foreign utility companies by registered holding companies and to allow Commission staff to determine the existence of detriment to interests the Act is designed to protect.  
**How Reduction Achieved:** The Commission discontinued this collection due to the repeal of the Public Utility Holding Company Act of 1935.  
**Change in Burden:** - 39,710 hours  
**Statute Title and Public Law:** Energy Policy Act of 2005 (Pub. L. No. 109-58)

### **FY 2006 Burden Reduction from Miscellaneous Actions**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0524-0041  
**Title:** CSREES Proposal Review Process  
**Purpose of the Collection:** The information collected is used to support the administration and peer review of grant application submissions to CSREES.  
**How Reduction Achieved:** CSREES has made a program change that reduces the number of ad hoc reviewers used in the process.  
**Change in Burden:** -20,833 hours

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| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0560-0082  |
| <b>Title:</b>                     | Emergency Conservation Program   |
| <b>Purpose of the Collection:</b> | To allow producers with contracts to request an extension to complete cost-share practices and to request assistance and certify completion in order to receive payments.  |
| <b>How Reduction Achieved:</b>    | The reduction was achieved due to the decreasing need for the Agricultural Conservation Program (ACP) and one form was discontinued for the Forest Incentives Program and Stewardship Incentives Program.  |
| <b>Change in Burden:</b>          | - 112,627 hours  |
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| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1810-0672  |
| <b>Title:</b>                     | Hurricane Relief Program   |
| <b>Purpose of the Collection:</b> | To collect applications for emergency grants from States for students displaced by Hurricane Katrina or Hurricane Rita.  |
| <b>How Reduction Achieved:</b>    | Grant period was for the 2005-2006 school year only.   |
| <b>Change in Burden:</b>          | -28,100 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of Energy (DOE)   |
| <b>OMB Control Number:</b>        | 1910-0400  |
| <b>Title:</b>                     | Financial Assistance   |
| <b>Purpose of the Collection:</b> | The information is used to oversee and manage grants and cooperative agreements awarded by DOE.  |
| <b>How Reduction Achieved:</b>    | DOE in response to the Federal Financial Assistance Improvement Act, Pub. L. 106-107 simplified and streamlined the Federal grant making processes, following notices and policy directives issued by OMB to establish a single internet portal for posting information about grant solicitations and the eventual receipt of most or all grant applications.  |
| <b>Change in Burden:</b>          | -75,752 hours  |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0920-0530  |
| <b>Title:</b>                     | EEOICPA Dose Reconstruction Interviews and Form  |
| <b>Purpose of the Collection:</b> | NIOSH is estimating the radiation doses incurred occupationally by U.S. nuclear weapons production employees with cancer covered by the "Energy Employees Occupational Illness Compensation Program Act of 2000." An essential data source for conducting the dose reconstructions is the claimant and, when the claimant is not the employee but a surviving family member, then a co-worker of the employee as well. |
| <b>How Reduction Achieved:</b>    | The program determined that the collection and review of government data could be completed and summarized for the interviewer prior to the interview with the claimant. Thereby allowing the interview to be tailored to efficiently identify/confirm critical information and facilitate the claimant's memory in response to the interview questions.   |
| <b>Change in the Burden:</b>      | -11,350 hours  |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0935-0116  |
| <b>Title:</b>                     | Needs Assessment of Primary Care Practice-Based Research Networks (PBRNs)  |
| <b>Purpose of the Collection:</b> | In order to be maximally responsive to the needs of the PBRNs, it is essential that the PBRN Resource Center has a strong understanding of the strength and weaknesses of each PBRN it will serve. Therefore, an assessment of needs that looks in-depth at each PBRN is required.   |

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| <b>How Reduction Achieved:</b>    | The program has completed all the data collection for this survey and will not reinstate this collection.   |
| <b>Change in Burden:</b>          | -14,740,000   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0875   |
| <b>Title:</b>                     | Durable Medical Equipment Regional Carrier, Certificate of Medical Necessity (CMN) and Supporting Documentation Requirements  |
| <b>Purpose of the Collection:</b> | This form contains medical information necessary to make an appropriate claim determination and to ensure that claims are properly paid.  |
| <b>How Reduction Achieved:</b>    | Collection discontinued as the Agency no longer requires the submission of the wheelchair CMN for payment.  |
| <b>Change in Burden:</b>          | -56,148 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0875   |
| <b>Title:</b>                     | Durable Medical Equipment Regional Carrier, Certificate of Medical Necessity (CMN) and Supporting Documentation Requirements  |
| <b>Purpose of the Collection:</b> | This form contains medical information necessary to make an appropriate claim determination and to ensure that claims are properly paid.  |
| <b>How Reduction Achieved:</b>    | Collection was reinstated and burden increased during the wheelchair transition period. Collection discontinued at the conclusion of the wheelchair transition period.  |
| <b>Change in Burden:</b>          | -31,167 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0936   |
| <b>Title:</b>                     | Applications for Medicare Part D plans: PDP Plans, MA-PD Plans, Cost Plans, PACE organizations, SAE and EPOG  |
| <b>Purpose of the Collection:</b> | Coverage for the prescription drug benefit will be provided through contracted prescription drug plans or through Medicare Advantage plans that offer integrated prescription drug and health care coverage.  |
| <b>How Reduction Achieved:</b>    | CMS provided additional guidance that improved an applicant's ability to assess information and file for the Medicare Part D program.   |
| <b>Change in Burden:</b>          | -16,253 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0970-0199   |
| <b>Title:</b>                     | TANF Data Report (Sampling and Statistical Manual), SSP-MOE Data Report, ACF-199, ACF-209   |
| <b>Purpose of the Collection:</b> | Work participation data is collected for the Temporary Assistance for Needy Families (TANF) program. The data includes descriptive data about the individuals who participate in the program. The data collected is required by section 411 of the Social Security Act. |
| <b>How Reduction Achieved:</b>    | These information collections were discontinued and the function was replaced by 0970-0309. A number of changes occurred at the implementation level.   |
| <b>Change in Burden:</b>          | -543,382 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0980-0047   |
| <b>Title:</b>                     | Child and Family Services Plan (CFSP), Annual Progress and Services Review, and Annual Budget Expenses Request and Estimated Expenditures (CFS-101)   |
| <b>Purpose of the Collection:</b> | States and Indian Tribes must submit a CFSP in order to receive funding under title IV-B, subparts 1 and/or 2 and for states only funding under CAPTA and CFCIP. The CFCIP and ETV programs provide additional funds to States and greater                              |

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|-----------------------------------|--|
|                                   | flexibility in carrying out programs designed to help youth make the transition from foster care to self-sufficiency.  |
| <b>How Reduction Achieved:</b>    | A decrease in hourly burden occurred through an increased familiarity with the process and forms by respondents.   |
| <b>Change in Burden:</b>          | -48,427 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0002  |
| <b>Title:</b>                     | LIFE Legalization Supplement to Form I-485 Instructions  |
| <b>Purpose of the Collection:</b> | May be used by certain class action participants applying for adjustment of status pursuant to section 1104 of the LIFE Act  |
| <b>How Reduction Achieved:</b>    | Other/Discontinued   |
| <b>Change in Burden:</b>          | -400,000 hours and -\$126,000,000  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0024  |
| <b>Title:</b>                     | HRIFA Supplement to Form I-485 Instructions  |
| <b>Purpose of the Collection:</b> | Provides additional information and instructions to nationals of Haiti who are seeking lawful permanent resident status pursuant to HRIFA.   |
| <b>How Reduction Achieved:</b>    | Other/Discontinued   |
| <b>Change in Burden:</b>          | -25,000 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0036  |
| <b>Title:</b>                     | Certificate of Satisfactory Pursuit  |
| <b>Purpose of the Collection:</b> | This form is to be completed by the designated official of a course of study recognized by the Attorney General under 8 CFR 245a.3 (b) (5) to provide instruction to temporary resident aliens.  |
| <b>How Reduction Achieved:</b>    | Other/Discontinued   |
| <b>Change in Burden:</b>          | -16,600 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1625-0089  |
| <b>Title:</b>                     | The National Recreational Boating Survey   |
| <b>Purpose of the Collection:</b> | The purpose of this Survey is to better understand people's recreational boating experiences and to improve the effectiveness of boating safety programs. The goal is to capture essential information on the types and numbers of boats used, boater characteristics, safety measures employed and types of boating activities. |
| <b>How Reduction Achieved:</b>    | Other / Discontinued. USCG Office of Boating Safety is working with a Scientific Advisory Committee and other partners in the safety community to improve the utility and value of the information collection.   |
| <b>Change in Burden:</b>          | - 11,458 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1651-0101  |
| <b>Title:</b>                     | FY2003 State Domestic Preparedness Program   |
| <b>Purpose of Collection:</b>     | This data collection will allow state and locals to report current jurisdictional needs for equipment, training, exercises, and technical assistance; forecast projected needs for this support; and identify the gaps at the jurisdictional level.  |
| <b>How Reduction Achieved:</b>    | Other/ Discontinued on 4/30/2006 not a CBP collection.   |
| <b>Change in Burden:</b>          | -12,242 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1651-0112  |

|                                   |  |
|-----------------------------------|--|
| <b>Title:</b>                     | Arrival/Departure Record (Transit without Visa)<br>Form I-94T  |
| <b>Purpose of Collection:</b>     | This collection was used to track the arrival and departure of aliens under the Transit without Visa Program.  |
| <b>How Reduction Achieved:</b>    | Other/ Discontinued. This collection was suspended for national security reasons after the events of September 11, 2001.   |
| <b>Change in Burden:</b>          | -13,200 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1660-0054  |
| <b>Title:</b>                     | Assistance to Firefighters Grant Program-Grant Application Supplemental Information  |
| <b>Purpose of the Collection:</b> | FEMA will use the information collected to evaluate the grant applications in a competitive grant process. The information will be used to assess the applicant's financial needs as well as the projected benefits to be obtained by the grant funds. FEMA will also use the information to determine eligibility.                                  |
| <b>How Reduction Achieved:</b>    | Other / This collection has been discontinued.   |
| <b>Change in Burden:</b>          | -174, 500 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1660-0074  |
| <b>Title:</b>                     | Mortgage and Rental Assistance Program.  |
| <b>Purpose of the Collection:</b> | Individuals requesting mortgage and rental assistance, will be required to provide, in writing, information about their financial state of affairs and their housing plans to that FEMA can effectively evaluate their need for mortgage and rental assistance. The requested information from the applicant is not available from any other source. |
| <b>How Reduction Achieved:</b>    | This collection has been discontinued.   |
| <b>Change in Burden:</b>          | -10,200 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1660-0094  |
| <b>Title:</b>                     | FEMA, Qualifications of Employees  |
| <b>Purpose of the Collection:</b> | This form and clause provides data on applicants applying for employment positions under GSA contracts. The information is used for security purposes in determining employment suitability for GSA service contracts for guards, childcare, cleaning and maintenance contract employees.  |
| <b>How Reduction Achieved:</b>    | This collection has been discontinued.   |
| <b>Change in Burden:</b>          | -15, 496 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2506-0128  |
| <b>Title:</b>                     | HOPE for Homeownership of Single Family Homes Program (HOPE 3)   |
| <b>Purpose of the Collection:</b> | Information is collected to disburse funds and to monitor the performance of grantees in achieving the goals and objectives of the HOPE 3 Program, which created homeownership opportunities for low-income families and individuals who are first-time homebuyers.  |
| <b>How Reduction Achieved:</b>    | This program HOPE 3 is complete and will not be renewed.   |
| <b>Change in Burden:</b>          | -10,058 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2502-0538  |
| <b>Title:</b>                     | Paperless Single Family Mortgage Case Binder   |

|                                   |  |
|-----------------------------------|--|
| <b>Purpose of the Collection:</b> | Beginning January 1, 2006, the Office of Single Family Housing began accepting paperless case binders for mortgagees approved under the Lender Insurance (LI) program. Approximately seven percent of FHA mortgagees currently qualify for this program.   |
| <b>How Reduction Achieved:</b>    | Paperless case binders streamline the process of filing FHA insurance-related data. FHA also reviewed all its forms and eliminated form HUD-92564-VC and form HUD-92564-HS HUD, opting instead to use standard Fannie Mae appraisal documents. This was an FY 2006 burden reduction initiative.  |
| <b>Change in Burden:</b>          | -480,000 hours   |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2120-0651  |
| <b>Title:</b>                     | Additional Flight Data Recorder Requirements for Certain Boeing 737 Airplanes  |
| <b>Purpose of the Collection:</b> | This rule requires the recording of additional operating parameters for certain Boeing 737 airplanes. These additional parameters allow the NTSB and FAA to investigate and establish causes for accidents so that the aviation industry can make appropriate modifications to prevent future incidents.   |
| <b>How Reduction Achieved:</b>    | This collection was discontinued in January 2006.  |
| <b>Change in Burden:</b>          | -\$205,000,000   |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2120-0680  |
| <b>Title:</b>                     | Part 60 - Flight Simulation Device Initial and Continuing Qualification and Use (NPRM)   |
| <b>Purpose of the Collection:</b> | The collection of this information is necessary to ensure safety of flight by ensuring complete and adequate training, testing, checking, and experience is obtained and maintained by those who conduct flight simulation training.   |
| <b>How Reduction Achieved:</b>    | The FAA changed the rule based on comments received during the NPRM stage.   |
| <b>Change in Burden:</b>          | -22,174 hours  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1545-0233  |
| <b>Title:</b>                     | Form 7004, Application for Automatic 6-Month Extension of Time to File Certain Business Income Tax, Information, and Other Returns   |
| <b>Purpose of the Collection:</b> | Taxpayers use this form to request an automatic 6-month extension of time to file certain business income tax, information, and other returns  |
| <b>How Reduction Achieved:</b>    | This form was removed because taxpayers can now get more time to file without having to file this form   |
| <b>Change in Burden:</b>          | -7,595,999 hours   |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1557-0081  |
| <b>Title:</b>                     | Reports of Condition and Income (Call Report)  |
| <b>Purpose of the Collection:</b> | To monitor the condition, performance, and risk profile of individual institutions and the national bank industry as a whole. The reports provide the most current statistical data available for evaluating institutions' corporate applications, for identifying areas of focus for examinations, and for monetary and other public policy purposes. |
| <b>Why Decrease Occurred:</b>     | The overall decrease in burden is due to the elimination or simplification of a number of line items.  |
| <b>Change in Burden:</b>          | -39,302 hours  |
| <b>Agency:</b>                    | Department of Veterans Affairs   |
| <b>OMB Control Number:</b>        | 2900-0355  |
| <b>Title:</b>                     | Verification of Pursuit of Course (Leading to a Standard College Degree)   |

|                                   |   |
|-----------------------------------|---|
| <b>Purpose of the Collection:</b> | VA Form 22-6553 serves to verify the student's enrollment and report of change in enrollment status.  |
| <b>How Reduction Achieved:</b>    | VA is discontinuing this information collection, effective May 31, 2007 as a result of changing Dept. regulations. Schools will continue to submit relevant enrollment information to VA under OMB control number 2900-0074.  |
| <b>Change in Burden:</b>          | -14,000 hours   |
| <b>Agency:</b>                    | Department of Veterans Affairs  |
| <b>OMB Control Number:</b>        | 2900-0646   |
| <b>Title:</b>                     | Regulation on Medication Prescribed by Non-VA Physicians, VA Form 10-0411   |
| <b>Purpose of the Collection:</b> | During the period VHA experienced inordinate delays between enrollment and the veteran's first appointment, this system was established to permit veterans to obtain pharmacy benefits at no or a reasonable cost.  |
| <b>How Reduction Achieved:</b>    | The system was phased out.  |
| <b>Change in Burden:</b>          | -30,287 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2060-0443   |
| <b>Title:</b>                     | The Consolidated Federal Air Rule for the Synthetic Organic Chemical Manufacturing Industry   |
| <b>Purpose of the Collection:</b> | Respondents will submit initial notification, initial compliance reports, exception reports and periodic monitoring information indicating the performance of air pollution controls.   |
| <b>How Reduction Achieved:</b>    | The changes are due to no longer requiring Notifications of Anticipated Startup industries regulated by 40 CFR part 60, subparts Kb, VV, DDD, III, NNN and RRR.   |
| <b>Change in Burden:</b>          | -35,758 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2070-0093   |
| <b>Title:</b>                     | Toxic Chemical Release Reporting (TRI Form R)   |
| <b>Purpose of the Collection:</b> | This collection of information is authorized under the Emergency Planning and Community Right-to-Know Act (EPCRA) section 313 and section 6607 of the Pollution Prevention Act (PPA) of 1990. Respondents submit information concerning toxic chemical releases into the air, land and water.   |
| <b>How Reduction Achieved:</b>    | This decrease is due to the TRI Reporting Forms Modification Rule (70 FR 39931) that modified Form R to eliminate certain data elements and to simplify others.   |
| <b>Change in Burden:</b>          | -48,491 hours   |
| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0207   |
| <b>Title:</b>                     | Part 11- Emergency Alert System (EAS)   |
| <b>Purpose of the Collection:</b> | The Emergency Alert System provides the President with the capability to provide immediate communications and information to the public during periods of national emergency. The Emergency Alert System also provides state and local governments and the National Weather Service with the capability to provide immediate communications and information to the public concerning emergency situations posing a threat to life and property. |
| <b>How Reduction Achieved:</b>    | Eliminated requirement to purchase computer chip to update event and location codes.  |
| <b>Change in Burden:</b>          | -16,490 hours   |
| <b>Agency:</b>                    | National Science Foundation   |
| <b>OMB Control Number:</b>        | 3145-0141   |
| <b>Title:</b>                     | 2006 National Survey of College Graduates (NSCG)  |



|                                   |  |
|-----------------------------------|--|
| <b>Purpose of Collection:</b>     | Educational institutions use NSCG data in establishing and modifying scientific and technical curricula, while various industries use the information to develop recruitment and remuneration policies.  |
| <b>How Reduction Achieved:</b>    | The first survey in the decade is much more expensive and burdensome than the following ones because of the large size of the sample needed to screen respondents to determine whether they meet the Scientists and Engineers Statistical Data System SESTAT definition of a scientist or engineer. On subsequent surveys in the decade, only those identified as scientists and engineers are followed. Thus the sample size for the 2006 survey will drop to 68,000, which greatly reduces both the cost and burden. |
| <b>Change in Burden:</b>          | -49,377 hours  |
| <b>Agency:</b>                    | Nuclear Regulatory Commission  |
| <b>OMB Control Number:</b>        | 3150-0120  |
| <b>Title:</b>                     | NRC Forms 313, Application for Material License and NRC Form 313A, Medical Use Training and Experience and Preceptor Attestation   |
| <b>Purpose of the collection:</b> | NRC requires applicants to complete these forms to obtain a specific license to possess, use, or distribute byproduct or source material.  |
| <b>How Reduction Achieved:</b>    | There was a reduction in the number of licensing actions received from 3,743 to 3,074, and a reduction in the number of hours to complete an application from 7 hours to 4.4 hours, based on the improved guidance given in the NUREG-1556 series.   |
| <b>Change in Burden:</b>          | -41,376 hours  |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0475  |
| <b>Title:</b>                     | Rule 10a-1   |
| <b>Purpose of the Collection:</b> | Rule 10a-1 was designed to limit short selling of a security in a declining market by requiring, in effect, that each successive lower price be established by a long seller. This was accomplished by requiring brokers and dealers who conduct transactions in exchange listed securities to mark orders either "long" or "short."   |
| <b>Why Decrease Occurred:</b>     | The rule was discontinued.   |
| <b>Change in Burden:</b>          | -59,595 hours  |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0595  |
| <b>Title:</b>                     | Investment Company Act rule 22c-1, 17 CFR 270.22c-1, Pricing of redeemable securities for distribution, redemption and repurchase  |
| <b>Purpose of the Collection:</b> | To ensure that transfer agents designated by funds do not allow late trading to occur and to assist the Commission's examination staff in assessing whether late trading is occurring.   |
| <b>How Reduction Achieved:</b>    | Discontinued collection.   |
| <b>Change in Burden:</b>          | -32,815 hours  |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0133  |
| <b>Title:</b>                     | Supplemental Security Income (SSI)–Quality Review Case Analysis  |
| <b>Purpose of the Collection:</b> | The SSA-8508 BK collects information that provides an assessment of the effectiveness of the SSI program.  |
| <b>How Reduction Achieved:</b>    | There was a reduction in the amount of resources available to collect the information; and SSA did not use this form in a special review as initially anticipated.   |
| <b>Change in Burden:</b>          | -10,500 hours  |
| <b>Agency:</b>                    | Social Security Administration   |

**OMB Control Number:** 0960-0526  
**Title:** Voluntary Customer Surveys in Accordance with E.O. 12862  
**Purpose of the Collection:** Generic Clearances for surveys.  
**How Reduction Achieved:** Revision of existing clearance which included new triennial surveys.  
**Change in Burden:** -15,403 hours

### **FY 2006 Burden Increases from Statutory Requirements**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0082  
**Title:** Emergency Conservation Program  
**Purpose of the Collection:** To allow producers with contracts to request an extension to complete cost-share practices and to request assistance and certify completion in order to receive payments.  
**Why Increase Occurred:** To provide additional funding to address damages from hurricanes in the Gulf of Mexico and a new Emergency Forestry Program.  
**Change in Burden:** 15,035 hours  
**Statute Title and Public Law:** Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico and Pandemic Influenza of 2006 (the Act) (Pub. L. No. 109-148)

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0257  
**Title:** 2005 Section 32 Hurricane Disaster Programs  
**Purpose of the Collection:** To effectively provide disaster assistance to producers of commercial crops and livestock authorized by 7 U.S.C. 7333  
**Why Increased Occurred:** New information collection  
**Change in Burdens:** 51,012 hours  
**Statute Title and Public Law:** Emergency Programs for Disaster Assistance (Pub. L. No. 108-324)

**Agency:** Department of Agriculture  
**OMB Control Number:** 0580-0024  
**Title:** Livestock and Meat Marketing Study (Part 2 – Transactions Data Collection)  
**Purpose of the Collection:** To collect data on procurement and sales transactions from a sample of meat packers, meat processors, food wholesalers, food retailers, food service operations and meat exporters.  
**Why Increase Occurred:** In fiscal year 2003, Congress appropriated \$4.5 million for a packer concentration study and directed that the study address issues related to packer ownership of livestock. In order to fully comply with the Congressional mandate, transactions data (detailed data on procurement of inputs and sale of outputs) are needed to conduct comparative analyses of the reasons for, and effects of, packer ownership and other marketing arrangements as used throughout the livestock and meat industries.  
**Change in Burden:** 29,120 hours  
**Statute Title and Public Law:** Consolidated Appropriations Resolution for Fiscal Year 2003 (Pub. L. No. 108-7)

**Agency:** Department of Agriculture  
**OMB Control Number:** 0584-0043  
**Title:** WIC Program Regulations – Reporting and Recordkeeping Burden  
**Purpose of the Collection:** To determine program eligibility and to ensure compliance with program requirements for the purpose of providing food, nutrition counseling, and access to health services to low-income women, infants, and children.  
**Why Increase Occurred:** Section 203 of the Child Nutrition and WIC Reauthorization Act of 2004 included new requirements for WIC vendor cost containment provision.  
**Change in Burden:** 136,230 hours  
**Statute Title and Public Law:** Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)

|                                      |   |
|--------------------------------------|---|
| <b>Agency:</b>                       | Department of Commerce  |
| <b>OMB Control Number:</b>           | 0651-0016   |
| <b>Title:</b>                        | Rules for Patent Maintenance Fees   |
| <b>Purpose of the Collection:</b>    | To maintain utility patents, to petition the USPTO to accept delayed or refused payments, and to designate an address to be used for fee-related correspondence.                    |
| <b>Why Increase Occurred:</b>        | A revision of the maintenance fee schedule associated with keeping utility patents in force at 3 ½, 7 ½, and 11 ½ years.  |
| <b>Change in Burden:</b>             | \$59,449,000  |
| <b>Statute Title and Public Law:</b> | The Consolidated Appropriations Act, 2005 (Pub. L. No. 108-447)   |
|                                      |   |
| <b>Agency:</b>                       | Department of Education   |
| <b>OMB Control Number:</b>           | 1820-0517   |
| <b>Title:</b>                        | Part B, Individuals with Disabilities Education Act (IDEA) Implementation of FAPE Requirements  |
| <b>Purpose of the Collection:</b>    | The IDEA requires States to report the extent to which children with disabilities served under Part B receive special education and related services with their non-disabled peers. |
| <b>Why Increase Occurred:</b>        | The statute now requires data be disaggregated by gender and limited English proficiency status.  |
| <b>Change in Burden:</b>             | 156,240 hours   |
| <b>Statute Title and Public Law:</b> | Individuals with Disabilities Education Improvement Act (P.L 108-446)   |
|                                      |   |
| <b>Agency:</b>                       | Department of Education   |
| <b>OMB Control Number:</b>           | 1820-0521   |
| <b>Title:</b>                        | Report of Children with Disabilities Exiting Special Education During the School Year   |
| <b>Purpose of the Collection:</b>    | The Individuals with Disabilities Education Improvement Act requires States to report the number of students aged 14 and older served under IDEA Part B exiting special education.  |
| <b>Why Increase Occurred:</b>        | The statute now requires data be disaggregated by gender and limited English proficiency status.  |
| <b>Change in Burden:</b>             | 39,060 hours  |
| <b>Statute Title and Public Law:</b> | Individuals with Disabilities Education Improvement Act (Pub. L. No. 108-446)   |
|                                      |   |
| <b>Agency:</b>                       | Department of Education   |
| <b>OMB Control Number:</b>           | 1820-0621   |
| <b>Title:</b>                        | Report of Children with Disabilities Subject to Disciplinary Removal  |
| <b>Purpose of the Collection:</b>    | States must report the number of youth served under Part B who are involved in acts that result in unilateral removal by school personnel or suspension/expulsion.                  |
| <b>Why Increase Occurred:</b>        | The statute now also requires States report suspensions of one day or more.   |
| <b>Change in Burden:</b>             | 121,640 hours   |
| <b>Statute Title and Public Law:</b> | Individuals with Disabilities Education Improvement Act (Pub. L. No. 108-446)   |
|                                      |   |
| <b>Agency:</b>                       | Department of Education   |
| <b>OMB Control Number:</b>           | 1845-0001   |
| <b>Title:</b>                        | Free Application for Federal Student Aid (FAFSA)  |
| <b>Purpose of the Collection:</b>    | This form collects identifying and financial information from students applying for Federal student aid for postsecondary education.  |
| <b>Why Increase Occurred:</b>        | Two new grant programs were created that required the additional collection of data from some FAFSA applicants over the summer in order to determine eligibility                    |
| <b>Change in Burden:</b>             | 216,000 hours   |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)   |

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| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0039  |
| <b>Title:</b>                        | Pell Grant reporting under the Common Origination and Disbursement (COD) System  |
| <b>Purpose of the Collection:</b>    | Educational institutions must report student payment information to the Department to account for program funds disbursed to students,   |
| <b>Why Increase Occurred:</b>        | The Higher Education Reconciliation Act (HERA) created two new grant programs - the Academic Competitiveness Grant (ACG) and the National SMART Grant. Institutions must now also report on these new grant programs in the COD system.  |
| <b>Change in Burden:</b>             | 144,950 hours  |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
|                                      |  |
| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-0971  |
| <b>Title:</b>                        | Medicare Program: Conditions of Payment of Power Mobility Devices, including Power Wheelchairs and Power-Operated Vehicles (CMS-3017-IFC)  |
| <b>Purpose of the Collection:</b>    | Regulation CMS-3017-IFC provides further guidance with respect to the prescribing of and payment for Power Mobility Devices (PMDs). It sets forth revised conditions for Medicare payment of PMDs and defines who may prescribe PMDs.  |
| <b>Why Increase Occurred:</b>        | This is a new collection.  |
| <b>Change in Burden:</b>             | 37,400 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-73)  |
|                                      |  |
| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-0974  |
| <b>Title:</b>                        | Payment Error Rate Measurement in Medicaid and the State Children Health Insurance Program   |
| <b>Purpose of the Collection:</b>    | To collect data on claims, annual expenditures, medical policies, as well as other information from states and providers. The information collected will be used to conduct claims reviews on which state-specific error rates will be calculated.   |
| <b>Why Increase Occurred:</b>        | CMS needs to collect the claims data, annual expenditures, medical policies, and other information from states as well as medical records from providers in order for the contractor to sample and review adjudicated claims in those states selected for review. Based on the reviews, State-specific error rates will be calculated which will serve as the basis for calculating national Medicaid and SCHIP error rates. |
| <b>Change in Burden:</b>             | 58,680 hours   |
| <b>Statute Title and Public Law:</b> | The Improper Payments Information Act of 2002 (Pub. L. No. 107-300)  |
|                                      |  |
| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-0975  |
| <b>Title:</b>                        | Medicare Prescription Drug Coverage and Your Rights  |
| <b>Purpose of the Collection:</b>    | This collection provides enrollees with information about how to contact their Part D plans to obtain a written coverage determination or to request an exception to the Part D plan's formulary.  |
| <b>Why Increase Occurred:</b>        | This collection was developed in connection with the new statutory program.  |
| <b>Change in Burden:</b>             | 583,333 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)   |
|                                      |  |
| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-0976  |
| <b>Title:</b>                        | Notice of Denial of Medicare Prescription Drug Coverage  |
| <b>Purpose of the Collection:</b>    | Pursuant to 42 CFR 423.568(c), if a Part D plan denies drug coverage, in whole or in part, the Part D plan must give the enrollee written notice of the coverage determination.  |

|                                      |   |
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| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.   |
| <b>Change in Burden:</b>             | 528,000 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0978   |
| <b>Title:</b>                        | Coordination of Benefits between Part D Plans and Other Prescription Coverage Providers   |
| <b>Purpose of the Collection:</b>    | To assist with the coordination of prescription drug benefits provided to Medicare beneficiaries.   |
| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.   |
| <b>Change in Burden:</b>             | 1,017,914 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0977   |
| <b>Title:</b>                        | Retiree Drug Subsidy Payment Request and Instructions   |
| <b>Purpose of the Collection:</b>    | Employers and unions who offer prescription drug coverage to their qualified covered retirees are eligible to receive a 28% tax-free subsidy for allowable drug costs. To qualify, plan sponsors must submit required prescription cost data to CMS in order to receive the subsidy.  |
| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.   |
| <b>Change in Burden:</b>             | 222,000 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0983   |
| <b>Title:</b>                        | Medicare EDI Enrollment Form and EDI Registration   |
| <b>Purpose of the Collection:</b>    | Trading partners who wish to conduct Electronic Data Interchange (EDI) transactions must provide certain assurances as a condition of receiving access to the Medicare system. The information will be used to assure that those entities that access the Medicare system are aware of applicable provisions and penalties. |
| <b>Why Increase Occurred:</b>        | Title II, Subtitle F of HIPAA of legislation directs the Secretary of the Department of Health and Human Services to develop unique standards for specified electronic transactions and code sets for those transactions.   |
| <b>Change in Burden:</b>             | 400,000 hours   |
| <b>Statute Title and Public Law:</b> | Health Insurance Portability and Accountability Act of 1996(Pub. L. No. 104-191)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0985   |
| <b>Title:</b>                        | Medicare Health Support Program Medical Records Abstraction   |
| <b>Purpose of the Collection:</b>    | To monitor the performance of the Medicare Health Support (MHS) Program and promptly identify signs of program effects.   |
| <b>Why Increase Occurred:</b>        | The MHS program will test whether providing chronic care support services to Medicare beneficiaries in the traditional FFS program leads to improved health outcomes and lower total costs for Medicare.  |
| <b>Change in Burden:</b>             | 12,416 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0987   |

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| <b>Title:</b>                        | Competitive Acquisition Program (CAP) for Medicare Part B Drugs: CAP Physician Election Agreement   |
| <b>Purpose of the Collection:</b>    | The Physician Election Agreement will be used to enroll physician groups in the new payment system, to select a drug vendor that will be used to furnish CAP drugs to the practice, and to coordinate educational efforts related to this new program.                      |
| <b>Why Increase Occurred:</b>        | The program is required by section 1847 of the Social Security Act as added by Section 303(d) of the MMA, which establishes a competitive acquisition program for the acquisition of and payment for Part B covered drugs and biologicals.                                  |
| <b>Change in Burden:</b>             | 20,000 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0990   |
| <b>Title:</b>                        | Model Creditable Coverage Disclosure Notices  |
| <b>Purpose of the Collection:</b>    | Entities offering prescription drug benefits to Medicare Part D eligible individuals must provide a disclosure of Creditable Coverage status of the plan that the individual is enrolled in or seeking to enroll in.  |
| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.   |
| <b>Change in Burden:</b>             | 522,204 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0992   |
| <b>Title:</b>                        | Medicare Part D Reporting Requirements  |
| <b>Purpose of the Collection:</b>    | All Part D Sponsors (MA-PDs and PDPs) to report data related to their operational costs, services, and fiscal soundness to CMS. Data will be analyzed for oversight and monitoring purposes, as well as fraud/waste/abuse investigations, audit activities, and compliance. |
| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.   |
| <b>Change in Burden:</b>             | 102,496 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)  |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-0994   |
| <b>Title:</b>                        | Medicaid and State Children's Health Insurance Plan (SCHIP) Managed Care  |
| <b>Purpose of the Collection:</b>    | To collect capitation payment information from the selected States to sample and review the managed care capitation payments for the calculation of State-specific managed care error rates.  |
| <b>Why Increase Occurred:</b>        | To comply with the Improper Payments Information Act of 2002.   |
| <b>Change in Burden:</b>             | 23,400 hours  |
| <b>Statute Title and Public Law:</b> | The Improper Payments Information Act of 2002 (Pub. L. No. 107-300)   |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0938-1001   |
| <b>Title:</b>                        | Voluntary Sample AMP Collection   |
| <b>Purpose of the Collection:</b>    | The data collection allows CMS and OIG to analyze the current definition of AMP and to determine whether or not the definition should be revised.   |
| <b>Why Increase Occurred:</b>        | The DRA at section 6001(c) requires CMS and the OIG to analyze and, if appropriate, redefine AMP. CMS determined that, in order to perform accurate analyses, additional manufacturer data beyond that which we currently maintain will be necessary.                       |
| <b>Change in Burden:</b>             | 11,000 hours  |
| <b>Statute Title and Public Law:</b> | The Deficit Reduction Act of 2005 (Pub. L. No. 109-171)   |

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| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0970-0309  |
| <b>Title:</b>                        | TANF Reauthorization (Data Verification Procedures; Caseload Reduction Documentation Process; Reasonable Cause/ Corrective Compliance Documentation Process; TANF Data Report; SSP-MOE Data Report)  |
| <b>Purpose of the Collection:</b>    | The Deficit Reduction Act of 2005 (DRA) reauthorized the Temporary Assistance for Needy Families (TANF) program (42 U.S.C. 601,607, 609, 611, 613, and 1302) and imposed a new data requirement that States prepare and submit data verification procedures and replaced other data requirements with new versions including: the TANF Data Report, the SSP-MOE Data Report, the Caseload Reduction Documentation Process, and the Reasonable Cause/Corrective Compliance Documentation Process. |
| <b>Why Increase Occurred:</b>        | A new information collection request was developed in order to carry out a new statutory change.   |
| <b>Change in Burden:</b>             | 623,472 hours  |
| <b>Statute Title and Public Law:</b> | Deficit Reduction Act of 2005 (Pub. L. No. 109-17)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1651-0001  |
| <b>Title:</b>                        | Customs Manifest/Cargo Declaration   |
| <b>Purpose of Collection:</b>        | The Manifest is essential to CBP for the control of cargo entering the United States and for pre-arrival targeting of shipments for enforcement examination purposes.  |
| <b>Why Increase Occurred:</b>        | The increase is due to a new requirement for carriers to notify CBP when they are unable to verify the integrity of container seals on loaded inbound containers arriving at U.S. ports by vessel.   |
| <b>Change in Burden:</b>             | 37,250 hours   |
| <b>Statute Title and Public Law:</b> | Sections 102 and 111 of the Maritime Transportation Security Act of 2002 (Pub. L. No. 107-295)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1652-0019  |
| <b>Title:</b>                        | Registered Traveler (RT) Pilot Program   |
| <b>Purpose of the Collection:</b>    | To collect information from airports and air carriers, Service Providers, individuals who agreed to participate in the program, and to verify identity, complete a name-based security threat assessment and issue an identification token.  |
| <b>Why Increase Occurred:</b>        | TSA is extending the current pilot program.  |
| <b>Change in Burden:</b>             | 117,656 hours  |
| <b>Statute Title and Public Law:</b> | Aviation and Transportation Security Act (Pub. L. No. 107-71)  |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1652-0040  |
| <b>Title:</b>                        | Air Cargo Security Requirements  |
| <b>Purpose of the Collection:</b>    | To collect security information for all cargo aircraft operations, including background checks for indirect air carrier employees and individuals who have access to airport ramps used by cargo operators.  |
| <b>Why Increase Occurred:</b>        | New requirements to ensure the security of air cargo.  |
| <b>Change in Burden:</b>             | 60,107 hours   |
| <b>Statute Title and Public Law:</b> | Aviation and Transportation Security Act (Pub. L. No. 107-71)  |
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| <b>Agency:</b>                       | Department of Interior   |
| <b>OMB Control Number:</b>           | 1094-0001  |
| <b>Title:</b>                        | 7 CFR Part 1; 43 CFR Part 45; 50 CFR Part 221; the Alternatives Process in Hydropower Licensing  |
| <b>Purpose of the Collection:</b>    | To allow a license applicant or any other party to the license to  |

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|  | propose an alternative to a condition proposed for imposition on a hydropower license.   |
| <b>Why Increase Occurred:</b>          | This new process is required by the new statute.   |
| <b>Change in Burden:</b>               | 37,500 hours   |
| <b>Statute Title and Public Law:</b>   | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
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| <b>Agency:</b>                         | Department of Justice  |
| <b>OMB Control Number:</b>             | 1117-0046  |
| <b>Title:</b>                          | Self-Certification, Training, and Logbooks for Regulated Sellers of Scheduled Listed Chemical Products   |
| <b>Purpose of the Collection:</b>      | For retail sellers to maintain logbook of sales of scheduled listed chemical products, retain record of employee training, and complete a self-certification form.   |
| <b>Why Increase Occurred:</b>          | Implementation of statutory change   |
| <b>Change in Burden:</b>               | 4,548,500 hours and \$93,176,000   |
| <b>Statute Title and Public Law:</b>   | Combat Methamphetamine Epidemic Act of 2005 (Pub. L. No. 109-177)  |
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| <b>Agency:</b>                         | Department of Labor  |
| <b>OMB Control Number:</b>             | 1220-0011  |
| <b>Title:</b>                          | Report on Current Employment Statistics  |
| <b>Purpose of the Collection:</b>      | The Current Employment Statistics (CES) program provides current monthly statistics on employment, hours, and earnings, by industry and geography. CES estimates are fundamental inputs in economic decision processes at all levels of government, private enterprise, and organized labor.   |
| <b>Why Increase Occurred:</b>          | Increase is due to the reintroduction of Women Workers program as required by Congress.  |
| <b>Change in Burden:</b>               | 56,520 hours   |
| <b>Statute Title &amp; Public Law:</b> | Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2006 (Pub. L. No. 109-149)  |
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| <b>Agency:</b>                         | Department of the Treasury   |
| <b>OMB Control Number:</b>             | 1545-0162  |
| <b>Title:</b>                          | Form 4136, Credit for Federal Tax Paid on Fuels  |
| <b>Purpose of the Collection:</b>      | The purpose of this form is to allow the Internal Revenue Code section 34 credit for Federal excise tax for certain fuel uses. This form is used to figure the amount of the income tax credit.  |
| <b>Why Increase Occurred:</b>          | The Energy Policy Act of 2005 required the IRS to add an additional 8 alternate fuels to the items already eligible for this credit.   |
| <b>Change in Burden:</b>               | 8,462,089 hours  |
| <b>Statute Title and Public Law:</b>   | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
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| <b>Agency:</b>                         | Department of the Treasury   |
| <b>OMB Control Number:</b>             | 1550-0113  |
| <b>Title:</b>                          | Identity Theft Red Flags and Address Discrepancies Under the Fair and Accurate Credit Transactions Act of 2003   |
| <b>Purpose of the Collection:</b>      | This collection corresponds to Treasury's guidelines for financial institutions and creditors in identity theft cases concerning their account holders and customers. Specifically, the guidance sets forth reasonable policies and procedures for using consumer reports when the report includes a notice of address discrepancy from a consumer reporting agency. |
| <b>Why Increase Occurred:</b>          | New collection.  |
| <b>Change in Burden:</b>               | 33,462 hours   |
| <b>Statute Title and Public Law:</b>   | Fair and Accurate Credit Transactions Act of 2003 (Pub. L. No. 108-159)  |
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| <b>Agency:</b>                         | Department of Veterans Affairs   |



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| <b>OMB Control Number:</b>           | 2900-0154  |
| <b>Title:</b>                        | Application for VA Education Benefits.   |
| <b>Purpose of the Collection:</b>    | In order to receive VA Educational Assistance Allowance veterans, servicepersons, reservists, and eligible dependents must complete VA Form 22-1990,   |
| <b>Why Increase Occurred:</b>        | Public Law 107-314 (National Call to Service) and Public Law 108-375 (Chapter 1607) created new educational assistance programs that will have an overall increase in the number of claimants anticipated to receive educational assistance. VA now has to administer two additional programs.                                     |
| <b>Change in Burden:</b>             | 50,000 hours   |
| <b>Statute Title and Public Law:</b> | Bob Stump National Defense Authorization Act for Fiscal Year 2003 (Pub. L. No. 107-314); Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (Pub. L. No. 108-375)  |
| <b>Agency:</b>                       | Federal Communications Commission  |
| <b>OMB Control Number:</b>           | 3060-1088  |
| <b>Title:</b>                        | Rules and Regulations implementing the Telephone Consumer Protection Act of 1991, Notice of Proposed Rulemaking (NPRM), CG Docket No. 02-278 and Junk Fax Prevention Act of 2005, CG Docket No. 05-338   |
| <b>Purpose of the Collection:</b>    | The Notice of Proposed Rulemaking proposes modifications to the Commission's rules on unsolicited facsimile advertisements to implement the Junk Fax Prevention Act of 2005. The proposed recordkeeping requirements are the best means of implementing the Junk Fax Prevention Act and preventing unsolicited fax advertisements. |
| <b>Why Increase Occurred:</b>        | New collection.  |
| <b>Change in Burden:</b>             | 13,170,000 hours and \$60,000,000  |
| <b>Statute Title and Public Law:</b> | Junk Fax Prevention Act of 2005 (Pub. L. No. 109-76)   |
| <b>Agency:</b>                       | Federal Communications Commission  |
| <b>OMB Control Number:</b>           | 3060-1088  |
| <b>Title:</b>                        | Rules and Regulations implementing the Telephone Consumer Protection Act of 1991, Notice of Proposed Rulemaking (NPRM), CG Docket No. 02-278 and Junk Fax Prevention Act of 2005, CG Docket No. 05-338   |
| <b>Purpose of the Collection:</b>    | The Report and Order finalized the Commission's rules on unsolicited facsimile advertisements to implement the Junk Fax Prevention Act of 2005.  |
| <b>Why Increase Occurred:</b>        | New requirement that companies against which complaints are filed must provide documentation that the fax recipient voluntarily provided a telephone number.   |
| <b>Change in Burden:</b>             | 10,000 hours   |
| <b>Statute Title and Public Law:</b> | Junk Fax Prevention Act of 2005 (Pub. L. No. 109-76)   |
| <b>Agency:</b>                       | Federal Deposit Insurance Corporation  |
| <b>OMB Control Number:</b>           | 3064-0152  |
| <b>Title:</b>                        | ID theft Red Flags   |
| <b>Purpose of the Collection:</b>    | Provides guidelines to financial institutions for preventing identity theft.   |
| <b>Why Increase Occurred:</b>        | New collection mandated by statute.  |
| <b>Change in Burden:</b>             | 204,555 hours  |
| <b>Statute Title and Public Law:</b> | Fair and Accurate Credit Transactions Act of 2003 (FACT Act) (Pub. L. No. 108-159)   |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | 1902-0225  |
| <b>Title:</b>                        | Certification of Electric Reliability Organization (ERO) and Procedures for Electric Reliability Standards (FERC-725)  |
| <b>Purpose of the Collection:</b>    | In Order No. 672, FERC created the Electric Reliability Organization and Regional Entities.  |
| <b>Why Increase Occurred:</b>        | EPAct 2005 required a FERC-certified ERO to develop mandatory and enforceable Reliability Standards, which are subject to FERC review and approval.  |

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| <b>Change in Burden:</b>             | 25,800 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | 1902-0231  |
| <b>Title:</b>                        | Cogeneration and Small Power Production Tariff Filings (FERC-914/516)  |
| <b>Purpose of the Collection:</b>    | To encourage small power and cogeneration production, the Public Utility Regulatory Policies Act of 1978 (PURPA) confers benefits on small power and cogeneration facilities that meet certain ownership and technical criteria.   |
| <b>Why Increase Occurred:</b>        | On August 8, 2005, Energy Policy Act of 2005 (EPA 2005), was enacted into law. EPA 2005 section 1253 revises section 210 of PURPA to establish new criteria for Qualifying Facilities (QF). Because of FERC's revisions to the exemption provisions, new qualifying facilities will be subject to filings under sections 203 and 205 of the EPA. |
| <b>Change in Burden:</b>             | 43,400 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Trade Commission   |
| <b>OMB Control Number:</b>           | 3084-0069  |
| <b>Title:</b>                        | The Appliance Labeling Rule  |
| <b>Purpose of Collection:</b>        | To disclose energy information about major household appliances in order to compare energy (or water use) of competing models.   |
| <b>Why Increase Occurred:</b>        | Expanded coverage in response to a new statutory mandate.  |
| <b>Change in Burden:</b>             | 15,000 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Trade Commission   |
| <b>OMB Control Number:</b>           | 3084-0137  |
| <b>Title:</b>                        | The Red Flags Regulations  |
| <b>Purpose of Collection:</b>        | To require financial institutions and creditors to establish guidelines to identify identity theft; to establish policies and procedures for implementing the guidelines; and to provide guidance regarding notices of address discrepancies.  |
| <b>Why Increase Occurred:</b>        | New collection in response to a statutory mandate.   |
| <b>Change in Burden:</b>             | 7,110,000 hours  |
| <b>Statute Title and Public Law:</b> | Fair and Accurate Credit Transactions (FACT) Act of 2003 (Pub. L. No. 108-159)   |
| <b>Agency:</b>                       | Social Security Administration   |
| <b>OMB Control Number:</b>           | 0960-0706  |
| <b>Title:</b>                        | Help America Vote Act (HAVA)   |
| <b>Purpose of the Collection:</b>    | HAVA places requirements on the Social Security Administration to verify information to be used for each state's voter registration process.   |
| <b>Why Increase Occurred:</b>        | New Information Collection   |
| <b>Change in Burden:</b>             | 33,333 hours   |
| <b>Statute Title and Public Law:</b> | Help America Vote Act (HAVA) (Pub. L. No. 107-252)   |
| <b>Agency:</b>                       | Social Security Administration   |
| <b>OMB Control Number:</b>           | 0960-0723  |
| <b>Title:</b>                        | Redetermination of Eligibility for Help with Medicare Prescription Drug Plan Costs; SSA forms SSA-L1026; SSA-1026-REDE; SSA-1026-SCE.  |
| <b>Purpose of the Collection:</b>    | This form is used to conduct eligibility redeterminations for recipients of the Medicare Part D subsidy.   |
| <b>Why Increase Occurred:</b>        | This form was created to comply with the Medicare Part D subsidy redetermination requirement of the Medicare Modernization Act of 2003.  |
| <b>Change in Burden:</b>             | 250,333 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug Improvement and Modernization Act   |

(Pub. L. No. 108-173)

**FY 2006 Burden Increases from Miscellaneous Actions**

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| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0505-0022  |
| <b>Title:</b>                     | USDA PIV Request for Credential  |
| <b>Purpose of the collection:</b> | To obtain a secure identity proofing, registration, and issuance process before granting federal employees and contractors access to federally controlled facilities and or information systems. |
| <b>Why Increase Occurred:</b>     | New collection.  |
| <b>Change in Burden:</b>          | 10,330 hours   |
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| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0505-0022  |
| <b>Title:</b>                     | USDA PIV Request for Credential  |
| <b>Purpose of the collection:</b> | To obtain a secure identity proofing, registration, and issuance process before granting federal employees and contractors access to federally controlled facilities and or information systems. |
| <b>Why Increase Occurred:</b>     | Reevaluation of per response time for PIV I forms and implementation of PIV II.  |
| <b>Change in Burden:</b>          | 82,350 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0579-0237  |
| <b>Title:</b>                     | Chronic Wasting Disease (CWD), Herd Certification Program  |
| <b>Purpose of the Collection:</b> | To establish interstate movement requirements for the movement of cervids to prevent the interstate movement of elk and deer that pose a risk of spreading CWD.                                  |
| <b>Why Increase Occurred:</b>     | A new collection. Will require owners of elk and deer herds to follow program requirements for animal ID, testing, herd management, and movement of animals.                                     |
| <b>Change in Burden:</b>          | 399,602 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0579-0286  |
| <b>Title:</b>                     | Importation of Tomatoes from Certain Central American Countries  |
| <b>Purpose of the Collection:</b> | To allow pink and red tomatoes grown in approved registered production sites in Certain Central American Countries to be imported to the United States without treatment.                        |
| <b>Why Increase Occurred:</b>     | New collection. APHIS is amending the regulations governing the importation of fruits and vegetables.  |
| <b>Change in Burden:</b>          | 25,936 hours   |
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| <b>Agency:</b>                    | Department of Agriculture  |
| <b>OMB Control Number:</b>        | 0584-0535  |
| <b>Title:</b>                     | MyPyramid Tracker Information Collection for Registration, Login, and Food Intake and Physical Activity Assessment   |
| <b>Purpose of the Collection:</b> | To obtain food consumption and physical activity data input from individuals in the public in order to use the MyPyramid Tracker Internet-based food and physical activity assessment tool.      |
| <b>Why Increase Occurred:</b>     | This is a new collection. This is voluntary personalized nutrition and physical activity assessment tool.  |
| <b>Change in Burden:</b>          | 2,082,905 hours  |
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| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0607-0919  |

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| <b>Title:</b>                     | 2006 Census Test  |
| <b>Purpose of the collection:</b> | Test of forms and procedures for the 2010 Census.   |
| <b>Why Increase Occurred:</b>     | Collection to be submitted as new.  |
| <b>Change in Burden:</b>          | 37,808 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Commerce  |
| <b>OMB Control Number:</b>        | 0607-0924   |
| <b>Title:</b>                     | 2007 Economic Census, Classification Report NC-99023  |
| <b>Purpose of the collection:</b> | To collect classifying information from select businesses prior to mailing the 2007 Economic Census.  |
| <b>Why Increase Occurred:</b>     | Collection to be submitted as new.  |
| <b>Change in Burden:</b>          | 33,333 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Commerce  |
| <b>OMB Control Number:</b>        | 0651-0031   |
| <b>Title:</b>                     | Patent Processing (Updating)  |
| <b>Purpose of the Collection:</b> | Permits the submission of additional information during the pendency of a patent application or the period of enforceability of a patent so that the USPTO can continue processing the patent or application.                                 |
| <b>Why Increase Occurred:</b>     | Requirements for claims and information disclosure statements from proposed rulemakings were added and a new form was introduced.   |
| <b>Change in Burden:</b>          | 468,600 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of Commerce  |
| <b>OMB Control Number:</b>        | 0651-0043   |
| <b>Title:</b>                     | Patent and Trademark Financial Transactions   |
| <b>Purpose of the Collection:</b> | Allows customers to pay patent and trademark fees by credit card, to manage a USPTO deposit account, to establish a profile for electronic funds transfers (EFT), and to request refunds.   |
| <b>Why Increase Occurred:</b>     | New electronic filing initiatives increased electronic payment options and new information was collected to support deposit accounts, EFT payments, and refund requests.  |
| <b>Change in Burden:</b>          | 25,912 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1840-0114   |
| <b>Title:</b>                     | Application for Grants Under the Strengthening Institutions Program, American Indian Tribally Controlled Colleges and Universities Program, and Alaska Native and Native Hawaiian-Serving Institutions Program                                |
| <b>Purpose of the Collection:</b> | This application is used by institutions of higher education that apply for grants.   |
| <b>Why Increased Occurred:</b>    | The application was reinstated after not being in use.  |
| <b>Change in Burden:</b>          | 12,100 hours  |
|                                   |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1840-0550   |
| <b>Title:</b>                     | Application for the Upward Bound and Upward Bound Math and Science Centers Program  |
| <b>Purpose of the Collection:</b> | This application is used by institutions of higher education, public and private agencies and organizations, combinations of institutions and agencies and in exceptional cases, secondary schools to apply for federal financial assistance. |
| <b>Why Increase Occurred:</b>     | The application was reinstated after not being in use.  |
| <b>Change in Burden:</b>          | 21,463 hours  |
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| <b>Agency:</b>                    | Department of Education   |

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| <b>OMB Control Number:</b>        | 1850-0750  |
| <b>Title:</b>                     | Early Childhood Longitudinal Study-Kindergarten Cohort, Eighth Grade Follow-up (ECLS-K)  |
| <b>Purpose of the Collection:</b> | The ECLS-K will provide descriptive data on a national basis of children's status at entry into kindergarten and at different points in their elementary and secondary school careers, children's transition into school and into the middle and secondary grade levels, and children's school progress through the tenth grade. |
| <b>Why Increase Occurred:</b>     | This collection moved from a field test period to full-scale implementation.   |
| <b>Change in Burden:</b>          | 18,756 hours   |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1850-0805  |
| <b>Title:</b>                     | Early Childhood Longitudinal Study - Birth (ECLS-B)  |
| <b>Purpose of the Collection:</b> | The ECLS-B is part of a longitudinal studies program and follows a nationally representative sample of children born in 2001 from 9 months of age through kindergarten.  |
| <b>Why Increase Occurred:</b>     | This collection moved from a field test period to full-scale implementation.   |
| <b>Change in Burden:</b>          | 13,573 hours   |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1850-0814  |
| <b>Title:</b>                     | Impact Evaluation of a School-Based Violence Prevention Program  |
| <b>Purpose of the Collection:</b> | This national study examines the effectiveness of several violence prevention/intervention programs that combine a classroom-based curriculum with a whole-school approach. The study will implement and evaluate the programs using a rigorous randomized control trial design.   |
| <b>Why Increase Occurred:</b>     | This is a new study.   |
| <b>Change in Burden:</b>          | 15,599 hours   |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1875-0240  |
| <b>Title:</b>                     | Annual Mandatory Collection of Elementary and Secondary Education Data for the <i>EDFacts</i>  |
| <b>Purpose of the Collection:</b> | The Education Data Exchange Network (EDEN) is a multiple year effort to consolidate the collection of education information about States, Districts, and Schools in a way that improves data quality and reduces paperwork burden for all of the national education partners.  |
| <b>Why Increase Occurred:</b>     | This increase results from the inclusion of new reporting requirements and the addition of the 7 territories to the reporting system.  |
| <b>Change in Burden:</b>          | 182,224 hours  |
| <b>Agency:</b>                    | Department of Education  |
| <b>OMB Control Number:</b>        | 1890-0009  |
| <b>Title:</b>                     | Generic Grant Application  |
| <b>Purpose of the Collection:</b> | To allow discretionary grant programs to use this generic application to solicit applications for funding.   |
| <b>Why Increase Occurred:</b>     | Additional discretionary grant programs were included under the generic application.   |
| <b>Change in Burden:</b>          | 20,498 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0910-0284  |
| <b>Title:</b>                     | Records and Reports Concerning Experience With Approved New Animal Drugs   |
| <b>Purpose of the Collection:</b> | These information collection requirements concern the timing and content of certain reports regarding adverse events experienced with new animal drugs. This regulation  |

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|                                   | will provide for protection of public and animal health and reduce unnecessary recordkeeping and reporting requirements.  |
| <b>Why Increase Occurred:</b>     | 0910-0012 was merged into 0190-0284. Due to an oversight the burden was not increased on 0910-0284 to reflect this merge. Based on public comments following a May 19, 2006 Federal Register Notice, the burden was increased for additional reporting and recordkeeping burden.  |
| <b>Change in Burden:</b>          | 68, 621 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-0572   |
| <b>Title:</b>                     | Labeling for Human Prescription Drugs; Revised Format Final Rule  |
| <b>Purpose of the Collection:</b> | Amends regulations 21 CFR 201.56 and 201.57 governing the format and content of prescription drug labeling.   |
| <b>Why Increase Occurred:</b>     | New rulemaking required new information collection from drug applicants on the labeling needed for submissions.   |
| <b>Change in Burden:</b>          | 501,383   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-0578   |
| <b>Title:</b>                     | Voluntary Hazard Analysis and Critical Control Point Manuals for Operators and Regulators of Retail and Food Service Establishments   |
| <b>Purpose of the Collection:</b> | Based on Healthy People 2010 objectives, FDA has established as a goal a 25% reduction in the occurrence of foodborne illness risk factors in the retail sector by 2010. In order to assist state and local regulators and the retail industry with achieving this objective, FDA has written two manuals. These documents advocate voluntary implementation of HACCP principles. |
| <b>Why Increase Occurred:</b>     | New data collection   |
| <b>Change in Burden:</b>          | 5,400,000 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0920-0134   |
| <b>Title:</b>                     | Foreign Quarantine Regs (42 CFR 71)   |
| <b>Purpose of the Collection:</b> | 2 CFR Part 71 authorizes quarantine officers and other personnel to inspect and undertake necessary control measures with respect to conveyances, persons, and shipment of animals and etiologic agents in order to protect the public's health.  |
| <b>Why Increased Occurred:</b>    | Change due to addition of the passenger locator forms.  |
| <b>Change in the Burden:</b>      | 225,015 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0920-0445   |
| <b>Title:</b>                     | School Health Policies and Programs Study 2006 (SHPPS 2006)   |
| <b>Purpose of the Collection:</b> | Personnel from all 50 state education agencies, a nationally representative sample of school districts, a nationally representative sample of schools, and a nationally representative sample of health education and physical education classes will be interviewed about school health policies and programs. Interviews will be conducted using standardized questionnaires.   |
| <b>Why Increased Occurred:</b>    | Change due to revision of instruments   |
| <b>Change in the Burden:</b>      | 22,812 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0920-0621   |
| <b>Title:</b>                     | National Youth Tobacco Survey (NYTS)  |

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| <b>Purpose of the Collection:</b> | The NYTS is a biennial national survey of public and private school students enrolled in grades 6-12. NYTS questions cover usage of a broad range of tobacco products   |
| <b>Why Increased Occurred:</b>    | Reinstatement of prior collection   |
| <b>Change in the Burden:</b>      | 18,644 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0920-0696   |
| <b>Title:</b>                     | Program Evaluation and Monitoring System (PEMS)   |
| <b>Purpose of the collection:</b> | The purpose of this data collection will be to collect standardized data from grantees regarding 1) agency or organizational identifiers and descriptors; 2) intervention activities; 3) behavioral or service outcomes at the client level; and 4) program performance indicators.   |
| <b>Why Increase Occurred:</b>     | New collection  |
| <b>Change in the Burden:</b>      | 122,172 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0920-0700   |
| <b>Title:</b>                     | Collection of Assessment Information about the Centers for Disease Control and Prevention Publications  |
| <b>Purpose of the Collection:</b> | Evaluating CDC-wide communications on a regular, ongoing basis will help ensure the timeliness, effectiveness, and credibility of communications to maximize the health impact of the information, resulting in optimum benefit for public health. The evaluations will ensure that these platforms (i.e., communication methods or channels) meet the customer and partner priorities, build CDC's brand, and contribute to the health impact goals. |
| <b>Why Increased Occurred:</b>    | New Collection  |
| <b>Change in the Burden:</b>      | 18,970 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0925-0299   |
| <b>Title:</b>                     | NIH Intramural Research Training Program Applications   |
| <b>Purpose of the Collection:</b> | To collect data related to the availability of Training Fellowships in the NIH Intramural Research Program. This information must be submitted in order to receive due consideration for a fellowship and will be used to determine the eligibility and quality of potential awardees.  |
| <b>Why Increase Occurred:</b>     | New NIH application forms (CEP, NGSRF, BESIP, Year-Off Program) were added to the clearance. Several forms were omitted from the last clearance application (the various Modify Application forms and the Race/Gender/Ethnicity surveys).   |
| <b>Change in Burden:</b>          | 38,154 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0925-0368   |
| <b>Title:</b>                     | American Stop Smoking Intervention Study for Cancer Prevention Final Evaluation: "Tobacco Use Supplement to the 1998-99 Current Population Survey"  |
| <b>Purpose of the Collection:</b> | To evaluate and monitor changes in smoking and tobacco use prevalence (by specific type of tobacco product), quit attempts and successful quit rates; the impact of intervention dissemination and penetration; changes in and effects of exposure to ETS; and changes in social norms influencing tobacco use within a community for each of the U.S. states.  |
| <b>Why Increase Occurred:</b>     | A reinstatement with change was submitted and hours are reported from the previous submission.  |
| <b>Change in Burden:</b>          | 11,106 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |

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| <b>OMB Control Number:</b>        | 0925-0406  |
| <b>Title:</b>                     | Agricultural Health Study (A Prospective Cohort Study of Cancer and Other Diseases Among Men and Women in Agriculture)   |
| <b>Purpose of the Collection:</b> | To update occupational and environmental exposure information as well as medical history information for subjects enrolled in the in the Agricultural Health Study. The primary objectives of the study are to determine the health effects resulting from occupational and environmental exposures in the agricultural environment  |
| <b>Why Increase Occurred:</b>     | The number of respondents recruited for the study increased from 2,458 to 24,733.  |
| <b>Change in Burden:</b>          | 40,563 hours   |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0925-0557  |
| <b>Title:</b>                     | Health Behaviors in School- Age Children Survey  |
| <b>Purpose of the Collection:</b> | To determine the influence of school, family, peers, and the supportive environment on behaviors associated with both positive and negative health outcomes  |
| <b>Why Increase Occurred:</b>     | New Collection.  |
| <b>Change in Burden:</b>          | 10,875 hours   |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0930-0276  |
| <b>Title:</b>                     | The Cross-Site Evaluation of the National Child Traumatic Stress Initiative (NCTSI)  |
| <b>Purpose of the Collection:</b> | The Cross-Site Evaluation of the National Child Traumatic Stress Initiative (NCTSI) Network centers serves several purposes. It 1) collects and analyzes descriptive, outcome, and service experience information about the children and families served by the NCTSI; 2) assess NCTSI research, training, and dissemination activities and their impact; 3) assess Network collaboration; and 4) assess the Network's broader impact. |
| <b>Why Increase Occurred:</b>     | This is a new project.   |
| <b>Change in Burden:</b>          | 11,322 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0935-0118  |
| <b>Title:</b>                     | Medical Expenditure Panel Survey Household Component and Medical Provider Component (MEPS-HC and MEPS-MPC - 2004 and 2005)   |
| <b>Purpose of the Collection:</b> | For nearly thirty years, the results of MEPS and its predecessor surveys (the 1977 National Medical Care Expenditure Survey, the 1980 National Medical Care Utilization and Expenditure Survey and the 1987 National Medical Expenditure Survey) have been used by OMB, DHHS, Congress and a wide number of health services researchers to analyze health care use, expenses, and health policy.                                       |
| <b>Why Will Increase Occur:</b>   | Change request submitted because of an omission in the originally submitted Supporting Statement. The supporting statement did not reflect the addition of household field elements.   |
| <b>Change in Burden:</b>          | 67,356 hours   |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0935-0127  |
| <b>Title:</b>                     | Privacy and Security Solutions for Interoperable Health Information Exchange   |
| <b>Purpose of the Collection:</b> | The use of health information technology (IT) and the adoption of electronic health records (EHRs) will enable health information to follow patients throughout their care in a seamless and secure manner.  |
| <b>Why Will Increase Occur:</b>   | New Collection   |
| <b>Change in Burden:</b>          | 164,392 hours  |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0938-0685  |



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| <b>Title:</b>                     | Medicare Enrollment Application   |
| <b>Purpose of the Collection:</b> | The Medicare enrollment application is used to gather information from providers and suppliers that want to participate in the Medicare program and to furnish services to Medicare beneficiaries. The information submitted is used uniquely to identify the providers and suppliers for the purpose of enumeration and payment. |
| <b>Why Increase Occurred:</b>     | The increased burden is the result of an increased number of applicants combined with revisions to the applications.  |
| <b>Change in Burden:</b>          | 358,000 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0907   |
| <b>Title:</b>                     | Hospital Wage Index Occupational Mix Survey and Supporting Regulations in 42 FR Sections 412.230, 412.304 and 413.65  |
| <b>Purpose of the Collection:</b> | CMS collects wage data on hospital employees by occupational category, at least once every 3 years in order to construct an occupational mix adjustment to the wage index.  |
| <b>Why Increase Occurred:</b>     | To comply with a court order from the Court of Appeals for the Second Circuit in <u>Bellevue Hosp. Ctr v. Leavitt</u> , CMS increased the collection burden to collect data that are sufficiently robust to permit full application of the occupational mix adjustment.   |
| <b>Change in Burden:</b>          | 496,000 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0875   |
| <b>Title:</b>                     | Durable Medical Equipment Regional Carrier, Certificate of Medical Necessity (CMN) and Supporting Documentation Requirements  |
| <b>Purpose of the Collection:</b> | This form contains medical information necessary to make an appropriate claim determination and to ensure that claims are properly paid.  |
| <b>Why Increase Occurred:</b>     | Collection temporarily reinstated for use during a wheelchair transition period for submitting manual wheelchair and power operated vehicle documentation requirements.   |
| <b>Change in Burden:</b>          | 31,167 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0981   |
| <b>Title:</b>                     | National Implementation of Hospital Consumer Assessment of Health Providers and System (HCAHPS)   |
| <b>Purpose of the Collection:</b> | To provide a standardized survey instrument and data collection methodology for measuring patients' perspectives on hospital care.  |
| <b>Why Increase Occurred:</b>     | By introducing a standard measurement approach, HCAHPS is meant to complement the data hospitals currently collect to support improvements in internal customer services and quality related activities.  |
| <b>Change in Burden:</b>          | 285,200 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0989   |
| <b>Title:</b>                     | Individuals Authorized Access to the CMS Computer Services (IACS)   |
| <b>Purpose of the Collection:</b> | To implement a unified framework for managing user information and access rights for those individuals who apply for and are granted access across multiple CMS systems and business contexts.  |
| <b>Why Increase Occurred:</b>     | The IACS system provides identification and authentication (I&A) of users gaining access to the CMS systems. This is a new collection.  |
| <b>Change in Burden:</b>          | 15,000,000 hours  |

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0994  
**Title:** Medicare Uniform Institutional Provider Bill and Supporting Regulations in 42 CFR 424.5  
**Purpose of the Collection:** This standardized form is used in the Medicare/Medicaid program to apply for reimbursement of covered services by all providers that accept Medicare/Medicaid assigned claims and that do not bill Medicare/Medicaid electronically.  
**Why Increase Occurred:** The CMS-1450 (UB-04) is a new form that will ultimately replace the CMS-1450 (12-90).  
**Change in Burden:** 308,237 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0999  
**Title:** Insurance Common Claims Form and Supporting Regulations at 42 CFR Part 424 Subpart C  
**Purpose of the Collection:** This form is a standardized claim form for use in the Medicare/Medicaid programs to apply for reimbursement for covered services.  
**Why Increase Occurred:** The CMS-1500 (08-05) is a new form that will ultimately replace the CMS-1500 (12-90) and the associated burden approved under 0938-0008.  
**Change in Burden:** 46,383,364 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0970-0139  
**Title:** ACF Uniform Project Description (UPD)  
**Purpose of the Collection:** Generic clearance for discretionary grant programs.  
**Why Increase Occurred:** Additional ACF programs requested use of the forms cleared under 0970-0139. Therefore, the increase in burden is due to the increase to the number of respondents.  
**Change in Burden:** 20,000 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0970-0186  
**Title:** Methodology for Determining Whether an Increase in a State's Child Poverty Rate is the Result of the TANF program - NPRM  
**Purpose of the Collection:** Congress amended the Social Security Act (Act) to add section 413(i). This section requires each State to submit an annual statement of the child poverty rate in the State and a corrective action plan if the rate exceeds a certain threshold as a result of the State's TANF program.  
**Why Increase Occurred:** Reinstatement with no change. Package was discontinued earlier which zeroed the burden out. Package was reinstated with the original burden since the last clearance.  
**Change in Burden:** 15,552 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0970-0308  
**Title:** Mentoring Children of Prisoners Relationship Quality Survey  
**Purpose of the Collection:** Pursuant to GAPRA and the authorizing statute within the Family Youth Service Bureau, the program under its oversight authority is required to monitor outputs and outcomes.  
**Why Increase Occurred:** New data collection  
**Change in Burden:** 24,940 hours

**Agency:** Department of Homeland Security  
**OMB Control Number:** 1625-0040  
**Title:** Continuous Discharge Book, Application, Physical Exam Report, Sea Service Report, Chemical Testing, Entry Level Physical

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| <b>Purpose of the Collection:</b> | To determine competency, character & physical qualifications for the issuance of U.S. Coast Guard licenses, certificates of registry & merchant mariner documents.   |
| <b>Why Increase Occurred:</b>     | Regulatory changes to Title 46 CFR, Parts 10 and 12 require that applicants for U.S. Coast Guard issued merchant mariner credentials appear in person at one of the Coast Guard's Regional Examination Centers.                    |
| <b>Change in Burden:</b>          | 307,481 hours and \$16,258, 000  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1600-0006  |
| <b>Title:</b>                     | US Visitor Immigrant Status and Indicator Technology   |
| <b>Purpose of the Collection:</b> | To collect biographic and biometric information – digital finger print scans and photographs – from “covered” visitors when they enter and leave through U.S. airports, seaports and land border ports of entry, around the world. |
| <b>Why Increase Occurred:</b>     | The population of individuals “covered” by US-VISIT continually increases.   |
| <b>Change in Burden:</b>          | 14,288 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0001  |
| <b>Title:</b>                     | Petition for Alien Fiancée   |
| <b>Purpose of the Collection:</b> | For petitioning your fiancée and their children to enter the country   |
| <b>Why Increase Occurred:</b>     | Form Change  |
| <b>Change in Burden:</b>          | 200,000 hours and \$32,100,000   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0023  |
| <b>Title:</b>                     | Application to Register Permanent Residence or Adjust Status   |
| <b>Purpose of the Collection:</b> | This form is used by individuals wishing to obtain permanent resident status.  |
| <b>Why Increase Occurred:</b>     | Form Change  |
| <b>Change in Burden:</b>          | 1,485,402 hours and \$44,902,000   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0048  |
| <b>Title:</b>                     | Request for Premium Processing Service   |
| <b>Purpose of the Collection:</b> | Employers may use this form to request faster processing of certain employment-based petitions and applications.   |
| <b>Why Increase Occurred:</b>     | Reinstatement/Regulation change  |
| <b>Change in Burden:</b>          | 40,000 hours and \$100,000,000   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0086  |
| <b>Title:</b>                     | Application for Authorization to Issue Certification for Health Care Workers   |
| <b>Purpose of the Collection:</b> | For an organization to apply for authorization to issue certificates to health care workers.   |
| <b>Why Increase Occurred:</b>     | Form Change  |
| <b>Change in Burden:</b>          | 51,240 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0095  |
| <b>Title:</b>                     | Notice of Appeal to the Administrative Appeals Unit (AAU)  |
| <b>Purpose of the Collection:</b> | This form is used to notify USCIS that you are appealing an adverse decision regarding your case to the Administrative Appeals Unit  |
| <b>Why Increase Occurred:</b>     | New Form   |
| <b>Change in Burden:</b>          | 15,000 hours   |

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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0097  |
| <b>Title:</b>                     | Sworn Statement of Refugee Applying for Admission into the United States   |
| <b>Purpose of the Collection:</b> | To make a determination that the admissibility grounds and conditions are met by the applicant   |
| <b>Why Increase Occurred:</b>     | Other/Transfer from Customs and Border Protection  |
| <b>Change in Burden:</b>          | 24,975 hours   |
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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0099  |
| <b>Title:</b>                     | Application for T Nonimmigrant Status  |
| <b>Purpose of the Collection:</b> | To provide temporary immigration benefits to aliens who are victims of severe forms of trafficking in persons, and to their immediate family members, as appropriate.  |
| <b>Why Increase Occurred:</b>     | Other/Transfer from Immigration and Customs Enforcement  |
| <b>Change in Burden:</b>          | 41,938 hours   |
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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0101  |
| <b>Title:</b>                     | Verification Request (Non-SAVE agencies)   |
| <b>Purpose of the Collection:</b> | To verify the status of an alien for official purposes of a government agency which does not participate in the SAVE program   |
| <b>Why Increase Occurred:</b>     | Transfer from Immigration and Customs Enforcement  |
| <b>Change in Burden:</b>          | 41,500 hours   |
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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1615-0102  |
| <b>Title:</b>                     | Freedom of information/Privacy Act Request   |
| <b>Purpose of the Collection:</b> | For requesting access to information under the Freedom of Information and Privacy Acts.  |
| <b>Why Increase Occurred:</b>     | Transfer from Immigration and Customs Enforcement  |
| <b>Change in Burden:</b>          | 25,000 hours   |
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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1625-0110  |
| <b>Title:</b>                     | Maritime Identification Credentials  |
| <b>Purpose of the Collection:</b> | To control access to certain waterfront facilities and ensure individuals before entering one of these facilities--(1) possesses an identification credential listed or approved pursuant to Title 33 CFR Part 125, and (2) that the identity information is vetted by the TSA.  |
| <b>Why Increase Occurred:</b>     | The information is necessary because the Commandant of USCG, in coordination with DHS, has determined that there is a need to restrict access to port facilities regulated under 33 CFR Part 105.  |
| <b>Change in Burden:</b>          | 43,796 hours   |
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| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1640-0001  |
| <b>Title:</b>                     | SAFETY Act Application Kit   |
| <b>Purpose of the Collection:</b> | SAFETY Act Applications are received from business institutions, for-profit organizations, and State, Local and Tribal Government entities to collect critical information regarding technologies that could benefit Homeland Security Initiatives. Applications are reviewed for benefits, technology/program evaluations, and regulatory compliance. |
| <b>Why Increased Occurred:</b>    | All SAFETY Act information collection instruments (1640-0001 thru 1640-0006) were consolidated into one information collection.  |

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| <b>Change in Burden:</b>          | 108,000 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1652-0005  |
| <b>Title:</b>                     | Security Programs for Foreign Air Carriers   |
| <b>Purpose of the Collection:</b> | To determine compliance with 49 CFR part 1546 and to ensure passenger safety by monitoring foreign air carrier security procedures.  |
| <b>Why Increase Occurred:</b>     | Implementation of additional security enhancements in order to protect the flying public.  |
| <b>Change in Burden:</b>          | 455,422 hours  |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1660-0016  |
| <b>Title:</b>                     | Revision to National Flood Insurance Program Maps: Application Forms and Instructions for LOMRs and CLOMRs.  |
| <b>Purpose of the Collection:</b> | To determine whether a certain property is likely to be flooded during a flood event that has a 1 percent chance of being equaled or exceeded in any given year (base flood).  |
| <b>Why Increase Occurred:</b>     | As noted in OMB's TERMS OF CLEARANCE the cost estimated for the last Information Collection Request package was improperly calculated.   |
| <b>Change in Burden:</b>          | \$25,200,000   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1660-0017  |
| <b>Title:</b>                     | Public Assistance Progress Report and Program Forms  |
| <b>Purpose of the Collection:</b> | FEMA regulation 44 CFR, Section 206.204(f) requires grantees to submit quarterly progress reports for large disaster response projects. Traditionally, information received from this collection has been used to fund qualified projects.   |
| <b>Why Increase Occurred:</b>     | Increase in the number of participating State, Local, and Tribal governments and qualifying Not-for-Profit organizations, due to an increase in disaster events. The Progress Report has been expanded to include respondents from similar programs (OMB 1660-0058) and (OMB 1660-0063).   |
| <b>Change in Burden:</b>          | 40,927 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Control Number:</b>        | 1670-0002  |
| <b>Title:</b>                     | General Admissions Application (Long and Short) and Stiped Forms   |
| <b>Purpose of the Collection:</b> | This data collection will enable Citizen Corps to operate effectively and efficiently to regularize and coordinate activities between Citizen Corps and those groups active in educating, training, and coordinating volunteers in crime prevention, disaster preparedness, mitigation, response, public health and safety issues. |
| <b>Why Increase Occurred:</b>     | Collection transferred to ODP from FEMA (1660-0007).   |
| <b>Change in Burden:</b>          | 34,166 hours and \$727,500,000.  |
| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2502-0527  |
| <b>Title:</b>                     | Rehabilitation Mortgage Insurance Underwriting Program   |
| <b>Purpose of the Collection:</b> | This information collection covers application, qualification, and certification processes for participants in HUD-FHA's Rehabilitation Mortgage Insurance Program.  |
| <b>Why Increase Occurred:</b>     | Additional forms have been added to the collection as a result of additional reporting requirements.   |
| <b>Change in Burden:</b>          | 11,520 hours   |

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| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2502-0253  |
| <b>Title:</b>                     | Manufactured Home Construction and Safety Standards Act Reporting Requirements   |
| <b>Purpose of the Collection:</b> | The Federal Standards and Procedural Regulations require manufactured home producers to place labels and notices in and on manufactured homes. These Standards protect homebuyers' interests by requiring certain features of design and construction.   |
| <b>Why Increase Occurred:</b>     | HUD published a final rule to amend Federal Manufactured Home Construction and Safety Standards  |
| <b>Change in Burden:</b>          | 11,782 hours   |
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| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2506-0077  |
| <b>Title:</b>                     | Community Development Block Grant (CDBG) Entitlement Program   |
| <b>Purpose of the Collection:</b> | Under the Community Development Block Grant (CDBG) Program, entitlement communities are required to keep records of activities. These records include definitions of conditions, certifications of activities/plans and other items. Record keeping reporting requirements are for slum/blight activities and business assistance. |
| <b>Why Increase Occurred:</b>     | HUD published the Brownfield's and Anti-Pirating rule. The increase accounts for the hours associated with the performance measurement system.   |
| <b>Change in Burden:</b>          | 36,466 hours   |
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| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2506-0171  |
| <b>Title:</b>                     | HOME Investment Partnerships Program   |
| <b>Purpose of the Collection:</b> | This information describes the eligibility of HOME Investment Partnerships Program (HOME) beneficiaries, the eligibility of proposed HOME activities, HOME program agreements, and the HOME performance report.  |
| <b>Why Increase Occurred:</b>     | Based on the 2006 Performance Measurement Notice, participating jurisdictions are required to report on performance measures, including outcomes, objectives and performance indicators.   |
| <b>Change in Burden:</b>          | 140,716 hours  |
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| <b>Agency:</b>                    | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>        | 2577-0244  |
| <b>Title:</b>                     | Emergency Preparedness Plan Survey   |
| <b>Purpose of the Collection:</b> | The Emergency Preparedness Plan Survey will determine the degree of readiness of public housing agencies (PHAs) and Tribes/Tribally Designated Housing Entities (TDHEs) in the case of a natural disaster.   |
| <b>Why Increase Occurred:</b>     | HUD will use the result of this survey to ensure that PHAs and Tribes/TDHEs are provided pertinent information and technical assistance to establish viable and executable Emergency Preparedness Plans.   |
| <b>Change in Burden:</b>          | 13,228 hours   |
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| <b>Agency:</b>                    | Department of Justice  |
| <b>OMB Control Number:</b>        | 1121-0306  |
| <b>Title:</b>                     | State Court Processing Statistics, 2006  |
| <b>Purpose of the Collection:</b> | Program tracks felony defendants from initial charge until disposition for a maximum of 12 months.   |
| <b>Why Increase Occurred:</b>     | New Collection   |
| <b>Change in Burden:</b>          | 13,850 hours   |
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| <b>Agency:</b>                    | Department of Labor  |
| <b>OMB Control Number:</b>        | 1218-0252  |

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| <b>Title:</b>                     | Chromium (VI) Standards for General Industry (29 CFR 1910.1026), Shipyard employment (29 CFR 1915.1026), and Construction (29 CFR 1926.1126)  |
| <b>Purpose of the Collection:</b> | The collection of information requirements in the rule assist employers in identifying and controlling workplace exposures to hexavalent chromium (Cr(VI)), and in addressing Cr(VI)-related adverse health effects. OSHA also uses records developed in response to this standard to determine compliance.   |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 1,214,085 hours and \$133,218,000   |
| <b>Agency:</b>                    | Department of Labor   |
| <b>OMB Control Number:</b>        | 1219-0141   |
| <b>Title:</b>                     | Emergency Mine Evacuation   |
| <b>Purpose of the Collection:</b> | The Emergency Temporary Standard revises 30 CFR Parts 48, 50, and 75 improving miner safety in the event of an accident that requires the emergency evacuation and rescue in underground coal mines.  |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 17,547 hours  |
| <b>Agency:</b>                    | Department of Labor   |
| <b>OMB Control Number:</b>        | 1293-0011   |
| <b>Title:</b>                     | Uniformed Services Employment and Reemployment Rights Act of 1994   |
| <b>Purpose of the Collection:</b> | This collection fulfills the public notification requirements of the Final Rule for 20 CFR Part 1002. This rule serves to protect the employment and reemployment rights of veterans and employees who take leave from their civilian jobs in order to fulfill their Reserve or National Guard obligations.   |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 66,250 hours  |
| <b>Agency:</b>                    | Department of State   |
| <b>OMB Control Number:</b>        | 1405-0170   |
| <b>Title:</b>                     | Training/Internship Placement Plan  |
| <b>Purpose of the Collection:</b> | This program enables U.S. Government agencies and public and private organizations to conduct a variety of educational and cultural exchange activities whereby foreign nationals enter the United States to work, study, train or engage in research. Current regulations require program sponsors to develop a training plan for each trainee or group of trainees. |
| <b>Why Increase Occurred:</b>     | New Collection.   |
| <b>Change in Burden:</b>          | 30,000  |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2137-0622   |
| <b>Title:</b>                     | Public Awareness Program  |
| <b>Purpose of the Collection:</b> | Information collection related to final rule requiring operators to establish public awareness programs (see 49 CFR 192.616 and 195.440). .   |
| <b>Why Increase Occurred:</b>     | New collection  |
| <b>Change in Burden:</b>          | 517,480 hours   |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2132-0563   |
| <b>Title:</b>                     | Job Access and Reverse Commute Programs   |
| <b>Purpose of the Collection:</b> | Information is used to determine eligibility for financial assistance and ensure compliance with federal requirements.  |
| <b>Why Increase Occurred:</b>     | Increased reporting requirements.   |
| <b>Change in Burden:</b>          | 78,609 hours  |

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| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2130-0010   |
| <b>Title:</b>                     | Track Safety Standards (Inspection of Continuous Welded Rail)   |
| <b>Purpose of Collection:</b>     | To ensure that qualified railroad personnel conduct required track inspections and take necessary actions to allow the safe passage of trains, as well as to confirm compliance with Federal Track Safety Standards.  |
| <b>Why Increase Occurred:</b>     | Expanded regulatory requirements (Interim Final Rule) ( <i>see</i> 49 CFR 213.119(g)) to improve the inspection of rail joints in continuous welded rail.   |
| <b>Change in Burden:</b>          | 27,485 hours  |
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| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2130-0544   |
| <b>Title:</b>                     | Passenger Equipment Safety Standards  |
| <b>Purpose of Collection:</b>     | To ensure compliance with Federal safety regulations so that railroad daily inspections will detect and correct equipment problems before they become the source of an accident or incident resulting in personal injuries or damage to property.   |
| <b>Why Increase Occurred:</b>     | Expanded regulatory requirements (NPRM) ( <i>see</i> 49 CFR 238.229, 238.230, 238.231, 238.303, 238.313, and 238.321) to improve the inspection of passenger equipment safety appliances and the correction of any problems discovered.   |
| <b>Change in Burden:</b>          | 10,031 hours  |
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| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2130-0568   |
| <b>Title:</b>                     | FRA Emergency Order No. 24  |
| <b>Purpose of Collection:</b>     | To ensure railroads and their employees fulfill the requirements of 49 CFR 217 and this Emergency Order, specifically that railroads modify their operating rules so that employees operate hand-operated main track switches in non-signaled territory safely.   |
| <b>Why Increase Occurred:</b>     | Because of a 2005 spate of train accidents due to employees improperly setting hand-operated main track switches, FRA determined public safety compelled the issuance of this Emergency Order and associated collection of information.   |
| <b>Change in Burden:</b>          | 106,250 hours   |
|                                   |   |
| <b>Agency:</b>                    | Department of the Treasury  |
| <b>OMB Control Number:</b>        | 1505-0186   |
| <b>Title:</b>                     | American Customer Satisfaction Index (ACSI)   |
| <b>Purpose of Collection:</b>     | To survey the satisfaction of citizen users of Federal agency websites to improve program administration and performance.   |
| <b>Why Increase Occurred:</b>     | The patented American Customer Satisfaction Index and related survey is now a "best practice" in the Federal Government web community and use of the ACSI survey has grown dramatically in recent years. The survey is now being used on approximately 150 of the largest and most important Government websites. |
| <b>Change in Burden:</b>          | 31,667 hours  |
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| <b>Agency:</b>                    | Department of the Treasury  |
| <b>OMB Control Number:</b>        | 1506-0014   |
| <b>Title:</b>                     | Report of International Transportation of Currency or Monetary Instruments (CMIR)   |
| <b>Purpose of the Collection:</b> | Reporting requirements for individuals and businesses transporting \$10,000 or more over borders.   |
| <b>Why Increase Occurred:</b>     | Increased reporting   |
| <b>Change in Burden:</b>          | 107,000 hours   |
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| <b>Agency:</b>                    | Department of the Treasury  |



**OMB Control Number:** 1506-0019  
**Title:** Suspicious Activity Report – Future and Securities Industries  
**Purpose of the Collection:** Reports filed by broker or dealer of securities and futures of suspicious transactions relevant to possible violation of any law or regulation.  
**Why Increase Occurred:** Increased reporting  
**Change in Burden:** 12,000 hours

**Agency:** Department of the Treasury  
**OMB Control Number:** 1506-0029  
**Title:** Suspicious Activity Report, Insurance  
**Purpose of the Collection:** Reports filed by insurance companies of suspicious transactions relevant to possible violation of any law or regulation.  
**Why Increase Occurred:** Increased reporting  
**Change in Burden:** 14,399 hours

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-0074  
**Title:** U.S. Individual Income Tax Return  
**Purpose of the Collection:** For individuals to file their federal income tax return.  
**Why Increase Occurred:** IRS changed its way of figuring burden from the Arthur D. Little methodology to the Individual Taxpayer Burden Model (ITBM). In so doing, IRS combined the burden of over 200 related forms under OMB number 1545-0074, which accounts for much of the 1.44 billion hour increase. Chapter 3 provides a brief explanation of how IRS eliminated the separate burden figures for the related forms, and thus avoided double counting. Since the increase is the result of 1545-0074 absorbing the 200 plus forms, as well as the change in methodology, the actual adjustment increase is approximately 343 million hours, as we explain in Chapter 3. It should be noted that this adjustment increase is due to a new estimate of burden, not an actual change in the burden imposed on individual taxpayers..  
**Change in Burden:** 1,444,311,066 hours

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-2007  
**Title:** Form 944, Employer's Annual Federal Tax Return  
**Purpose of the Collection:** This new form must be used by small employers beginning in 2006 for the January 31, 2007 filing of employment taxes.  
**Why Increase Occurred:** To reduce burden on small employers, the Internal Revenue Service has simplified the rules for filing employment tax returns to report social security, Medicare, and withheld federal income taxes. The simplification resulted in the creation of this new form.  
**Change in Burden:** 14,212,000 hours

**Agency:** Department of Veterans Affairs  
**OMB Control Number:** 2900-0674  
**Title:** Notice of Disagreement  
**Purpose of the Collection:** The Board of Veterans' Appeals uses the information in the notice of disagreement to determine whether it has jurisdiction over the appeal, and to identify the issues on appeal.  
**Why Increase Occurred:** New collection  
**Change in Burden:** 108,931 hours

**Agency:** Department of Veteran Affairs  
**OMB Control Number:** 2900-0680  
**Title:** Veterans Disability Benefits Surveys  
**Purpose of the Collection:** To provide survey data from veterans and their survivors for the Veterans' Disability Benefits Commission report on VA Disability Compensation benefits.

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| <b>Why Increase Occurred:</b>     | New collection  |
| <b>Change in Burden:</b>          | 12,703 hours  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0042   |
| <b>Title:</b>                     | Underground Injection Control Program Information   |
| <b>Purpose of the Collection:</b> | This ICR estimates the burden and cost to owners and operators of Class I - V injection wells to submit information to demonstrate compliance with Underground Injection Control regulations. Respondents submit permit applications, wastewater monitoring data, mechanical integrity testing reports, and well closure reports. Primacy states submit information to EPA on permits, compliance and enforcement, inspections, and inventory for all well classes. |
| <b>Why Increases Occurred:</b>    | Changes to Class II and Class V UIC Programs  |
| <b>Change in burden:</b>          | 127,843 hours and \$57,587,000  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0265   |
| <b>Title:</b>                     | Disinfectants/Disinfection By-products, National Primary Drinking Water Regulations: Stage 2 Disinfection Byproducts Rule   |
| <b>Purpose of the Collection:</b> | The information collected as a result of this rule will allow the States and EPA to determine appropriate requirements for specific systems, and to evaluate compliance with the rule. For the first three years after Stage 2 DBPR promulgation, the major information requirements involve monitoring activities, which include conducting the Initial Distribution System Evaluation (IDSE), submission of the IDSE report, and tracking compliance.             |
| <b>Why Increases Occurred:</b>    | New Regulatory Monitoring, Reporting, and Public Notification Requirements  |
| <b>Change in Burden:</b>          | 222,576 hours and \$9,083,000   |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0266   |
| <b>Title:</b>                     | Microbial Rules; National Primary Drinking Water Regulations: Long Term 2 Enhanced Surface Water Treatment Rule   |
| <b>Purpose of the Collection:</b> | The information collected as a result of this rule will allow the States and EPA to determine appropriate requirements for specific public water systems and to evaluate compliance with the rule. For the first 3 years after promulgation, the major information requirements concern monitoring activities and compliance tracking.  |
| <b>Why Increases Occurred:</b>    | New Regulatory Monitoring, Reporting, and Public Notification Requirements  |
| <b>Change in burden:</b>          | 141,295 hours and \$7,016,000   |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0267   |
| <b>Title:</b>                     | Survey of Airport Deicing Operations  |
| <b>Purpose of the Collection:</b> | This is a one-time questionnaire for airports and airlines to support development of wastewater discharge regulations (effluent guidelines). The airport questionnaire collects technical and economic information on pavement deicing, wastewater collection, and treatment systems. The airline questionnaire collects similar information on aircraft operations.  |
| <b>Why Increase Occurred:</b>     | New collection  |
| <b>Change in Burden:</b>          | 39,496 hours  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0268   |
| <b>Title:</b>                     | Cooling Water Intake Structures at Phase III Facilities (Final Rule)  |
| <b>Purpose of the Collection:</b> | The final regulation for Cooling Water Intake Structures Phase III facilities requires the collection of information from new offshore oil and gas extraction facilities which use a cooling water intake structure(s) that use at least 25 percent of its withdrawals for cooling purposes, and have design intake flows greater than 2 MGD.   |

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| <b>Why Increase Occurred:</b>     | Collection of new information from new offshore oil and gas extraction facilities associated with rulemaking.  |
| <b>Change in Burden:</b>          | 11,238 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency  |
| <b>OMB Control Number:</b>        | 2050-0053  |
| <b>Title:</b>                     | Revision of RCRA Wastewater Treatment Exclusions for Hazardous Waste Mixtures  |
| <b>Purpose of the Collection:</b> | The recordkeeping and reporting requirements necessary for respondents to comply with identification, listing and rulemaking petitions and various exemption procedures under 40 CFR Parts 260 and 261.  |
| <b>Why Increase Occurred:</b>     | The addition of benzene and 2-ethoxyethanol to the list of solvents whose mixtures with wastewaters are exempted from the definition of hazardous waste.   |
| <b>Change in Burden:</b>          | 49,202 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency  |
| <b>OMB Control Number:</b>        | 2060-0571  |
| <b>Title:</b>                     | Information Collection Activities Associated with the Best Workplaces for Commuters Program  |
| <b>Purpose of Collection:</b>     | This ICR covers the reporting activities associated with an employer's participation in the Best Workplaces for Commuters (BWC) program. The ICR covers two forms: BWC Application form and BWC Annual Check-in form.  |
| <b>Why Increase Occurred:</b>     | New collection   |
| <b>Change in Burden:</b>          | 14,338 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency  |
| <b>OMB Control Number:</b>        | 2060-0587  |
| <b>Title:</b>                     | Reformulated Gasoline Commingling Provisions   |
| <b>Purpose of Collection:</b>     | To accept notifications from gasoline retailers and wholesale purchaser-consumers related to commingling of ethanol blended and non-ethanol blended reformulated gasoline under Section 1513 of the Energy Policy Act of 2005 and to provide for a compliance option whereby a retailer of wholesale purchaser-consumer may demonstrate compliance via test results. |
| <b>Why Increase Occurred:</b>     | New collection.  |
| <b>Change in Burden:</b>          | 27,675 hours   |
| <b>Agency:</b>                    | Environmental Protection Agency  |
| <b>OMB Control Number:</b>        | 2060-0590  |
| <b>Title:</b>                     | Standards of Performance for Stationary Compression Ignition Internal Combustion Engines   |
| <b>Purpose of the Collection:</b> | The respondents to the information collection are owners or operators of facilities subject to the standards of performance for new stationary compression ignition internal combustion engines. Respondents also include manufacturers who will be required to certify their stationary CI engines to the emission standards established in the rule.               |
| <b>Why Increased Occurred:</b>    | New regulation   |
| <b>Change in Burden:</b>          | 145,135 hours  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0678  |
| <b>Title:</b>                     | Part 25 of the Commission's Rules Governing the Licensing of, and Spectrum Usage by, Satellite Network Stations and Space Stations   |
| <b>Purpose of the Collection:</b> | On March 15, 2005, the Federal Communications Commission released a Third Further Notice of Proposed Rulemaking proposing to combine power level   |

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| <b>Why Increase Occurred:</b>     | requirements and antenna diameter requirements into one power requirement. If adopted, this would give earth station operators more flexibility in their operations. Additional information collection requirements would be placed on earth station license applicants.  |
| <b>Change in Burden:</b>          | \$76,526,000  |
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| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0718   |
| <b>Title:</b>                     | Part 101, Governing the Terrestrial Microwave Fixed Radio Service   |
| <b>Purpose of the Collection:</b> | Part 101 requires information to be filed and maintained by licensees to determine their technical, legal and other qualifications to operate a station in the public and private operational fixed services. The information is also used to determine whether the public interest, convenience, and necessity are being served and to ensure that applicants and licensees comply with ownership and transfer restrictions. |
| <b>Why Increase Occurred:</b>     | Revised estimate based on updated licensing data.   |
| <b>Change in Burden:</b>          | 16,096 hours  |
|                                   |   |
| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0754   |
| <b>Title:</b>                     | Children's Television Programming Report  |
| <b>Purpose of the Collection:</b> | Commercial television broadcast stations and Class A television broadcast stations are required to file Federal Communications Commission Form 398, providing a consistent format for reporting information as well as facilitating efforts by the public and the FCC to monitor compliance with the Children's Television Act.   |
| <b>Why Increase Occurred:</b>     | Form was revised to reflect revised programming information required from digital broadcasters.   |
| <b>Change in Burden:</b>          | 47,376 hours  |
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| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0761   |
| <b>Title:</b>                     | Section 79.1 Closed Captioning of Video Programming   |
| <b>Purpose of the Collection:</b> | On July 21, 2005, the Commission issued a Notice of Proposed Rulemaking, FCC 05-142, seeking comment on several aspects of the closed captioning rules, and seeking comment on whether certain measures, including information collections, should be adopted to monitor compliance with these rules.   |
| <b>Why Increase Occurred:</b>     | Information collection requirements adjusted based on changes in regulations.   |
| <b>Change in Burden:</b>          | 198,500 hours   |
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| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0855   |
| <b>Title:</b>                     | Telecommunications Reporting Worksheet, CC Docket No. 96-45   |
| <b>Purpose of the Collection:</b> | The FCC requires all telecommunications providers, including providers of interconnected voice over internet protocol (VoIP) services to contribute to the Universal Service Fund. The Commission adopted reporting requirements to collect information necessary to evaluate individual carriers' contributions.   |
| <b>Why Increase Occurred:</b>     | The addition of interconnected voice over internet protocol (VoIP) providers as respondents.  |
| <b>Change in Burden:</b>          | 87,743 hours  |
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| <b>Agency:</b>                    | Federal Communications Commission   |
| <b>OMB Control Number:</b>        | 3060-0874   |
| <b>Title:</b>                     | Federal Communications Commission Consumer Complaint Form 475 and 475B  |
| <b>Purpose of the Collection:</b> | Form 475 collects detailed information from consumers on the practices of telecommunications companies to assist in resolving complaints and to collect data as a part of the investigative work performed by federal and state law enforcement   |

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|                                   | agencies. Form 475B facilitates the filing of informal complaints by listeners and viewers of radio and television programming who believe that obscene, profane, and/or indecent material has been aired.  |
| <b>Why Increase Occurred:</b>     | Form 475B is a new form.  |
| <b>Change in Burden:</b>          | 317,833 hours   |
| <b>Agency:</b>                    | Federal Trade Commission  |
| <b>OMB Control Number:</b>        | 3084-0047   |
| <b>Title:</b>                     | FTC Administrative Activities   |
| <b>Purpose of the Collection:</b> | Telephone complaint hotlines and online complaint forms to handle consumer grievances.  |
| <b>Why Increase Occurred:</b>     | Implementation of the Do Not Call Registry; revision of the FTC identity theft complaint form; new applicant background in accordance with directives from the U.S. Equal Employment Opportunity Commission; and two new FTC program evaluations. |
| <b>Change in Burden:</b>          | 24,000 hours  |
| <b>Agency:</b>                    | Nuclear Regulatory Commission   |
| <b>OMB Control Number:</b>        | 3150-0011   |
| <b>Title:</b>                     | 10 CFR 50, Domestic Licensing of Production and Utilization Facilities  |
| <b>Purpose of the Collection:</b> | To allow licensees to adopt risk-informed, performance-based National Fire Protection Association Standard 805 as a voluntary alternative to deterministic fire protection information collection requirements.                                   |
| <b>Why Increase Occurred:</b>     | New agency rule.  |
| <b>Change in Burden:</b>          | 49,116 hours  |
| <b>Agency:</b>                    | Nuclear Regulatory Commission   |
| <b>OMB Control Number:</b>        | 3150-0011   |
| <b>Title:</b>                     | 10 CFR 50, Domestic Licensing of Production and Utilization Facilities  |
| <b>Purpose of the Collection:</b> | To assess potential health, safety or environmental problems at a particular plant or series of plant and require licensees to submit information to evaluate the particular situation.   |
| <b>Why Increase Occurred:</b>     | Burden increased based on NEI comments associated with spent fuel pool assessments in which the burden was vastly underestimated to complete this assessment.   |
| <b>Change in Burden:</b>          | 40,960 hours  |
| <b>Agency:</b>                    | Securities and Exchange Commission  |
| <b>OMB Control Number:</b>        | 3235-0620   |
| <b>Title:</b>                     | Investment Company Act rule 22c-2, 17 CFR 270.22c-2 Mutual Fund Redemption Fees   |
| <b>Purpose of the Collection:</b> | To enable funds to ensure that shareholders comply with the fund's policies on abusive short-term trading of fund shares.   |
| <b>Why Increase Occurred:</b>     | The Commission adopted amendments to clarify the operation of the rule.   |
| <b>Change in Burden:</b>          | 647,050 hours \$253,040,000   |
| <b>Agency:</b>                    | Securities and Exchange Commission  |
| <b>OMB Control Number:</b>        | 3235-0063 (Form 10-K)   |
| <b>Title:</b>                     | Executive Compensation and Related Party Disclosure   |
| <b>Purpose of the Collection:</b> | To improve the disclosure of executive and director compensation, related party transactions, and director independence and other corporate governance matters.   |

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| <b>Why Increase Occurred:</b>     | The Commission adopted amendments to existing forms, and rules that would require new disclosure on the proxy or information statement, annual reports and registration statements.  |
| <b>Change in Burden:</b>          | 432,251 hours \$43,225,000   |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0420 (Form 10-KSB)  |
| <b>Title:</b>                     | Executive Compensation and Related Party Disclosure  |
| <b>Purpose of the Collection:</b> | The Commission proposed rule changes intended to update and improve the disclosure of executive and director compensation, related party transactions, and director independence and other corporate governance matters.   |
| <b>Why Increase Occurred:</b>     | The Commission adopted amendments to existing forms, and rules that would require new disclosure on the proxy or information statement, annual reports and registration statements.  |
| <b>Change in Burden:</b>          | 91,980 hours   |
| <b>Agency:</b>                    | Small Business Administration  |
| <b>OMB Control Number:</b>        | 3245-0356  |
| <b>Title:</b>                     | Small Business Innovation Research (SBIR); and Small Business Technology Transfer (STTR) Tech Net Database   |
| <b>Purpose of the Collection:</b> | SBA is required to maintain searchable, electronic databases that include pertinent information concerning each SBIR and STTR award made through the programs.   |
| <b>Why Increase Occurred:</b>     | New collection   |
| <b>Change in Burden:</b>          | 20,000 hours   |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0643  |
| <b>Title:</b>                     | Statement for Determining Continuing Eligibility for Supplemental Security Income Payments—Adult and Child   |
| <b>Purpose of the Collection:</b> | The SSA-3988 and SSA-3989 are used to determine whether SSI recipients have met and continue to meet all statutory and regulatory non-medical requirements for SSI eligibility, and whether they have been and are still receiving the correct payment amount.   |
| <b>Why Increase Occurred:</b>     | Revised Reinstated Forms.  |
| <b>Change in Burden:</b>          | 309,834 hours  |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0730  |
| <b>Title:</b>                     | Identifying Information for Possible Direct Payment of Authorized Fees   |
| <b>Purpose of the Collection:</b> | Information collected on the SSA-1695 will facilitate the direct payment of authorized fees related to the representation of claimants for benefits before SSA and to issue a Form 1099 MISC, as required. The information will also be used to establish a link between each claim for benefits and the data that will be collected on the SSA-1699 and stored on an Appointed Representative Database. |
| <b>Why Increase Occurred:</b>     | New Collection.  |
| <b>Change in Burden:</b>          | 41,667 hours   |
| <b>Agency:</b>                    | E-Gov (Grants.gov)   |
| <b>OMB Control Number:</b>        | 4040-0004  |
| <b>Title:</b>                     | SF-424 Application for Federal Assistance (Discretionary)  |
| <b>Purpose of the Collection:</b> | Data is collected with established government-wide standard data elements definitions for grant related applications. The established core data sets are used on both paper and electronic applications. Use of the electronic process of Grants.gov was deployed in October 2003 as part of the implementation of the Federal Financial Assistance Management Improvement Act of 1999 (P.L. 106-107).   |

**Why Increase Occurred:**

The data collection was transferred from 0348-0043 to 4040-0004. After the transition period Grants.gov phased out the use of the old forms and adapted the revised application forms and systems.

**Change in Burden:**

146,757 hours

## FY 2007 Changes in Burden

### FY 2007 Burden Reduction from Changing Regulations

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0530  
**Title:** Requirements for Submission of Labeling in Electronic Format  
**Purpose of the Collection:** Requires that the content of labeling be submitted electronically for certain approved drugs.  
**How Reduction Achieved:** Decrease due to the elimination of one time information required under the final rule.  
**Change in the Burden:** -48,666 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0033  
**Title:** Request for Review of Part B Medicare Claim and Supporting Regulations in 42 CFR Sec.405.807  
**Purpose of the Collection:** The CMS-1964 is a form which is used nationally to request review of an initial determination made on a Part B health insurance claim.  
**How Reduction Achieved:** Collection discontinued. The agency revised its reconsideration request process in the regulation CMS-4064-IFC. The collection is now exempt under 5 CFR 1320.4.  
**Change in Burden:** -1,629,000 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0798  
**Title:** Provider-Based Status Regulations in 42 CFR 413.24 and 413.65  
**Purpose of the Collection:** To determine whether a facility or organization acquired by a main provider should be treated as provider-based for Medicare certification, coverage, and payment purposes or whether a main provider has had a material change in its relationship to a provider-based facility or organization that affects the provider-based status of the facility or organization.  
**How Reduction Achieved:** Eliminated information collection associated with 42 CFR 419.42 (b) and (c).  
**Change in Burden:** -15,000 hours

**Agency:** Department of Labor  
**OMB Control Number:** 1218-0190  
**Title:** Electrical Protective Equipment (1910.137), and Electric Power Generation, Transmission, and Distribution  
**Purpose of the Collection:** The Electrical Protective Equipment Standard at 29 CFR 1910.137 and the Electric Power Generation, Transmission, and Distribution Standard at 29 CFR 1910.269 prevents employee exposure to electrical hazards.  
**How Reduction Achieved:** Final Rule will remove of the training certification record.  
**Change in Burden:** -11,520 hours

**Agency:** Department of Transportation/FMCSA  
**OMB Control Number:** 2126-0001  
**Title:** Hours of Service of Drivers Regulations  
**Purpose of the Collection:** This information is required for the Record of Duty Status (RODS) of drivers of commercial motor vehicle (CMVs) drivers to help ensure that motor carriers and CMV drivers comply with the limitations on maximum driving and duty time prescribed in the Federal Motor Carrier Safety Regulations (FMCSRs).



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| <b>How Reduction Achieved:</b>    | Certain motor carriers will be required to use Electronic On-Board Recorders (EOBRs) to document and manage their drivers' compliance with Federal hour-of-service at the final rule stage of FY 2006 Notice of Proposed Rulemaking (NPRM).   |
| <b>Change in Burden:</b>          | -655,000 hours  |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2126-0013   |
| <b>Title:</b>                     | Motor Carrier Identification Report   |
| <b>Purpose of the Collection:</b> | Motor carriers are required to apply for a DOT number before beginning to operate in interstate commerce and certify that they are aware of the safety provisions prescribed in the Federal Motor Carrier Safety Regulations (FMCSRs).  |
| <b>How Reduction Achieved:</b>    | The agency intends to eliminate the requirement for 47,535 new entrant motor carriers to complete the Form MCS-150A (Safety Certification for Applications for USNOT Number) because it does not provide the certification needed. This proposal is contained in a FY 2006 Notice of Proposed Rulemaking (NPRM) titled "New Entrant Safety Assurance Process."  |
| <b>Change in Burden:</b>          | -7,953 hours  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2040-0250   |
| <b>Title:</b>                     | Final NPDES and ELG Regulatory Revisions for CAFOs: CAFO Rule Revisions Based on Second Circuit Court Decision in Waterkeeper Alliance et al. v. EPA  |
| <b>Purpose of the Collection:</b> | Addresses the reporting burden for Concentrated Animal Feeding Operations (CAFOs) as required by the NPDES and ELG regulations. The regulations regulate land application of manure, litter and wastewater generated at these facilities. Permit application activities for permittees involve completing and submitting either an NOI or an application for an individual permit. Manure samples must be collected annually and analyzed for nutrient content. Manure and soil sampling results must be retained and the manure content results must be made available to off-site users. Records of the volume of manure and process wastewater produced must also be maintained, and large CAFOs must create and maintain off-site transfer records. |
| <b>How Reduction Achieved:</b>    | The CAFO rule revisions will result in fewer CAFO operations being required to seek coverage under an NPDES permit.   |
| <b>Change in Burden:</b>          | -777,000 hours  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2050-0021   |
| <b>Title:</b>                     | Revision of Information Collection Request (ICR) for the Oil Pollution Prevention Regulation for Certain Facilities to Prepare and Maintain an Oil Spill Prevention, Control, and Countermeasure (SPCC) Plan  |
| <b>Purpose of the Collection:</b> | To implement requirements related to Spill Prevention, Control, and Countermeasure (SPCC) Plans pursuant to the Oil Pollution Prevention Regulation at 40 CFR part 112. These requirements included regulatory relief in several ways: by providing streamlined options for facilities and oil-filled operational equipment that meet qualifying criteria; by exempting certain onboard bulk storage containers and ancillary onboard oil-filled operational equipment from the requirements; and, by exempting mobile refuelers from certain secondary containment requirements for bulk storage containers.   |
| <b>How Reduction Achieved:</b>    | The Agency issued a rule to amend SPCC Plan requirements to reduce the regulatory burden.   |
| <b>Change in Burden:</b>          | -504,260 hours and -\$36,024,000  |
| <b>Agency:</b>                    | Environmental Protection Agency   |
| <b>OMB Control Number:</b>        | 2060-0084   |
| <b>Title:</b>                     | Ambient Air Quality Surveillance (Final Rule)   |

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| <b>Purpose of the Collection:</b> | Revises requirements for existing monitoring stations to provide more flexibility to their operators as well as requiring each State to operate one to three new (or expanded) monitoring stations that take an integrated, multi-pollutant approach to ambient air monitoring. The amendments modify the requirements for ambient air monitors by focusing requirements on populated areas with air quality problems and significantly reducing the requirements for criteria pollutant monitors that have measured ambient air concentrations well below the applicable NAAQS. Other amendments revise the requirements for monitoring network descriptions and periodic assessments, quality assurance, and data certification. |
| <b>How Reduction Achieved:</b>    | New regulation   |
| <b>Change in burden:</b>          | -48,588 hours and -\$11,161,019  |
| <b>Agency:</b>                    | Federal Acquisition Regulation   |
| <b>OMB Control Number:</b>        | 9000-0075  |
| <b>Title:</b>                     | Government Property  |
| <b>Purpose of the Collection:</b> | This collection of information contains the reporting, recordkeeping and disclosure requirements for the management of Government property in the possession of Federal contractors. These regulatory requirements affect contracting officers, property administrators, and contractors responsible for the management of Government property.  |
| <b>How Reduction Achieved:</b>    | FAR will amend its regulations to simplify procedures, clarify language, and eliminate obsolete requirements. Significant proposed changes include: combining selected property clauses into a single clause; deleting outdated clauses and other language; and establishing a life-cycle approach to property management.   |
| <b>Change in Burden:</b>          | -348,959 hours   |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0292  |
| <b>Title:</b>                     | Part 69 – Access Charges (Section 69.605, Reporting and Distribution of Pool Access Revenues)  |
| <b>Purpose of the Collection:</b> | Local telephone companies are required to submit information used to compute charges for access service and to compute revenue pool distributions.   |
| <b>How Reduction Achieved:</b>    | Certain reporting requirements were eliminated.  |
| <b>Change in Burden:</b>          | -16,452 hours  |
| <b>Agency:</b>                    | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>        | 1902-0058  |
| <b>Title:</b>                     | Application for License/Relicense for Water Projects with Greater than 5 MW Capacity (FERC-500)  |
| <b>Purpose of the Collection:</b> | FERC issues and reviews renewals of non-federal hydropower licenses under the Federal Power Act.   |
| <b>How Reduction Achieved:</b>    | Over the past several years the Commission has provided licensees with the option of using alternative licensing processes, the most recent being the Integrated Licensing Process (ILP), as issued in Order No. 2002. The use of these alternative processes and specifically the ILP, the FERC is estimated to be less burdensome than the traditional licensing process.  |
| <b>Change in Burden:</b>          | -363,324 hours   |
| <b>Agency:</b>                    | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>        | 1902-0115  |
| <b>Title:</b>                     | Application for License/Relicense for Water Projects with Less than 5 MW Capacity (FERC-505)   |
| <b>Purpose of the Collection:</b> | FERC issues and reviews renewals of non-federal hydropower licenses under the Federal Power Act.   |
| <b>How Reduction Achieved:</b>    | Over the past several years the Commission has provided licensees with the option of using alternative licensing processes, the most recent being the Integrated   |

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|                                   | Licensing Process (ILP), as issued in Order No. 2002. The use of these alternative processes and specifically the ILP, the FERC is estimated to be less burdensome than the traditional licensing process.  |
| <b>Change in Burden:</b>          | -45,930 hours   |
| <b>Agency:</b>                    | Nuclear Regulatory Commission   |
| <b>OMB Control Number</b>         | 3150-0044 and 3150-0005   |
| <b>Title:</b>                     | 10 CFR Parts 19, 20, and 50, "Occupational Dose Records, Labeling Containers, and the Total Effective Dose Equivalent," and NRC Form 4, "Cumulative Occupational Exposure History "   |
| <b>Purpose of the Collection:</b> | Protect workers' health by monitoring ongoing and past radiation exposure.  |
| <b>How Reduction Achieved:</b>    | The proposed rule requires that dose reports be provided only to individuals exceeding specified limits or who request the reports and eliminates the need to provide cumulative occupational dose history reports except for planned special exposures. The rule eliminates labeling requirements for containers kept in protected areas.  |
| <b>Change in Burden:</b>          | -10,882 hours (3150-0044) and -9,969 hours (3150-0005)  |
| <b>OMB Control Number</b>         | 3150-0011   |
| <b>Title:</b>                     | 10 CFR 50, Domestic Licensing of Production and Utilization Facilities  |
| <b>Purpose of the Collection:</b> | Provisions pertaining to early site permits, standard design certifications, and combined licenses are being amended to move these requirements to Part 52. This action places all procedural requirements in Part 52 and all technical requirements in Part 50. The proposed modifications to 10 CFR 50.34, 50.34a, and Appendices B & E transfer reporting and recordkeeping requirements for the technical content of applications for early site permits, design certifications, and combined licenses to 10 CFR Part 52. |
| <b>How Reduction Achieved:</b>    | The transfer of some reporting and recordkeeping requirements to 10 CFR Part 52 will reduce the burden for 10 CFR Part 50.  |
| <b>Change in Burden:</b>          | -173,667 hours  |

### **FY 2007 Burden Reduction from Cutting Redundancy**

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| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0607-0444  |
| <b>Title:</b>                     | Company Organization Survey (COS)  |
| <b>Purpose of the Collection:</b> | The Census Bureau conducts the annual COS in order to maintain and update a centralized, multipurpose Business Register (BR). In particular, the COS supplies critical information on the organizational structure, operating characteristics, and employment and payroll of multi-location enterprises. |
| <b>How Reduction Achieved:</b>    | The Census Bureau will conduct the 2007 COS in conjunction with the 2007 Economic Census and will coordinate these collections so as to minimize response burden. The decrease in response burden is the result of obtaining most multi-location establishment data as part of the Economic Census.      |
| <b>Change in Burden:</b>          | -111,834 hours   |
| <b>Agency:</b>                    | Department of Labor  |
| <b>OMB Control Number:</b>        | 1205-0422  |
| <b>Title:</b>                     | Financial and Program Reporting and Performance Standards System for Indian and Native American Programs Under Title I, Section 166 of the Workforce Investment Act (WIA)  |
| <b>Purpose of the Collection:</b> | ETA forms 9084 and 9085 collect information relating to the operation of employment and training programs for Indians and Native Americans. They also  |

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|                                   | include standard data elements for participants, the basis of the current performance standards system for WIA section 166 grantees.   |
| <b>How Reduction Achieved:</b>    | Streamlining the collection by providing a common case management and reporting system.  |
| <b>Change in Burden:</b>          | -26,252 hours  |
| <b>Agency:</b>                    | Department of Labor  |
| <b>OMB Control Number:</b>        | 1205-NEW   |
| <b>Title:</b>                     | Workforce Investment Act Streamlines Performance Reporting System  |
| <b>Purpose of the Collection:</b> | Streamlines performance reporting and recordkeeping requirements for seven Workforce Investment Act programs.  |
| <b>How Reduction Achieved:</b>    | New collection will result in 813,241 new burden hours; however, will eliminate the following existing collections: 1205-0420, Workforce Investment Act (WIA) Management Information and Reporting System (-709,145); 1205-0240, Labor Exchange Reporting System (-527,020); and 1205-0392, Trade Act Participant Report (-9,500). |
| <b>Change in Burden:</b>          | -432,424 hours   |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0703  |
| <b>Title:</b>                     | Application for Help with Medicare Prescription Drug Plan Costs (Subsidy Change Redeterminations)  |
| <b>Purpose of the Collection:</b> | This collection was used in FY 2006 to conduct eligibility redeterminations for Medicare Part D subsidy recipients who underwent life-changing events.   |
| <b>Why Reduction Occurred:</b>    | This form was discontinued since a new, permanent redetermination form was approved by OMB in 2006.  |
| <b>Change in Burden:</b>          | -44,333 hours  |

### **FY 2007 Burden Reduction from Changing Forms**

|                                   |   |
|-----------------------------------|---|
| <b>Agency:</b>                    | Department of Commerce  |
| <b>OMB Control Number:</b>        | 0607-0905   |
| <b>Title:</b>                     | Survey of Income and Program Participation 2004 Panel   |
| <b>Purpose of the Collection:</b> | This survey provides improved statistics for the executive and legislative branches on income distribution and data previously available on eligibility for and participation in government programs. Changes in status and participation will be measured over time. These data support policy and program planning. |
| <b>How Reduction Achieved:</b>    | As part of a reengineering project, Census is limiting the sample for the 2004 Wave 10, 11, and 12 interviews to 21,292 households per wave, and is not including any topical modules (supplemental questions).   |
| <b>Change in Burden:</b>          | -80,426 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0008   |
| <b>Title:</b>                     | Health Insurance Common Claims Form and Supporting Regulations at 42 CFR Part 424, Subpart C  |
| <b>Purpose of the Collection:</b> | As a standardized claim form for use in the Medicare/Medicaid programs to apply for reimbursement for covered services, use of this form reduces cost and administrative burdens associated with professional claims because only one format need be used and maintained.   |
| <b>How Reduction Achieved:</b>    | Form discontinued and the information will be collected under 0938-0999.  |
| <b>Change in Burden:</b>          | -46,383,364 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |

**OMB Control Number:** 0938-0279  
**Title:** Medicare Uniform Institutional Provider Bill and Supporting Regulations in 42 CFR 424.5  
**Purpose of the Collection:** This standardized form is used in the Medicare/Medicaid program to apply for reimbursement of covered services by all providers that accept Medicare/Medicaid assigned claims and that do not bill Medicare/Medicaid electronically.  
**How Reduction Achieved:** Form discontinued and the information will be collected on 0938-0997.  
**Change in Burden:** -1,997,581 hours

**Agency:** Department of Housing and Urban Development  
**OMB Control Number:** 2506-0145  
**Title:** Annual Progress Report (APR) for Competitive Homeless Assistance Programs  
**Purpose of the Collection:** The Annual Progress Report (APR) tracks competitive homeless assistance program progress and is used to provide grant recipients and HUD with information necessary to assess program and grantee performance.  
**How Reduction Achieved:** The number of burden hours for this information has decreased because the instructions and form have been more clearly defined reducing the time it takes to complete the APR.  
**Change in Burden:** -33,500 hours

**Agency:** Department of Labor  
**OMB Control Number:** 1215-0188  
**Title:** Labor Organization and Auxiliary Reports  
**Purpose of the Collection:** Statutory reporting and public disclosure by labor organizations, their officers and key employees, and certain employers.  
**How Reduction Achieved:** Final Rule amended 29 CFR Part 403 curtailing the use of the Form T-1.  
**Change in Burden:** - 988,656 hours

**Agency:** Federal Communications Commission  
**OMB Control Number:** 3060-0874  
**Title:** FCC Consumer Complaint Form 475  
**Purpose of the Collection:** Form 475 collects detailed information from consumers on the practices of telecommunications companies to assist in resolving complaints and to collect data as a part of the investigative work performed by federal and state law enforcement.  
**How Reduction Achieved:** The form will require less data to be collected as it will be amended slightly to reflect that it will no longer be used for certain types of complaints.  
**Change in Burden:** -12,300 hours

### **FY 2007 Burden Reduction from Using Information Technology**

**Agency:** Department of Education  
**OMB Control Number:** 1865-0002  
**Title:** Gun-Free Schools Act Report  
**Purpose of the Collection:** Gun-Free Schools Act (GFSA) requires each State to provide annual reports to the Secretary concerning implementation of the Act's requirements regarding expulsions from schools resulting from firearms violations.  
**How Reduction Achieved:** The Department has begun to collect this data electronically through the Education Data Exchange Network.  
**Change in Burden:** -12,286 hours

**Agency:** Federal Bureau of Investigations  
**OMB Control Number:** 1110-0001

|                                   |   |
|-----------------------------------|---|
| <b>Title:</b>                     | Return A-Monthly Return of Offenses Known to Police; and Supplement to Return A-Monthly Return of Offenses Known to Police  |
| <b>Purpose of Collection:</b>     | To acquire, collect, classify, and preserve national data on criminal offenses as part of the Uniform Crime Reports.  |
| <b>How Reduction Achieved:</b>    | Increased availability of electronic submissions  |
| <b>Change in Burden:</b>          | -97,927 hours   |
| <br>                              |   |
| <b>Agency:</b>                    | Department of State   |
| <b>OMB Control Number:</b>        | 1405-0152   |
| <b>Title:</b>                     | American Citizen Services Internet Based Registration System (IBRS)   |
| <b>Purpose of the Collection:</b> | Enable U.S. citizens who wish to participate to have the ability to register their whereabouts abroad, so that they may be contacted in the event of an evacuation or other emergency.  |
| <b>How Reduction Achieved:</b>    | Electronic system implementation allowed more accurate calculations   |
| <b>Change in Burden:</b>          | -450,000 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>        | 1902-0174 and 1902-0154   |
| <b>Title:</b>                     | Standards for Business Practices of Interstate Natural Gas Pipelines (0174) and Gas Pipeline Rates: Non-Formal (0154)   |
| <b>Purpose of the Collection:</b> | Standardize electronic communication and business practices between pipelines and their customers.  |
| <b>How Reduction Achieved:</b>    | These regulations require interstate pipelines to amend their business standards to incorporate version 1.7 of the standards ratified by the North American Energy Standards Board (NAESB) on June 25, 2004. The standards enable partial or flowing gas day recalls by establishing the method by which capacity would be allocated between releasing and replacement shippers. They also require pipelines to provide recent gas quality information. |
| <b>Change in Burden:</b>          | -243,102 hours and -\$12,691,327 (1902-0174) and -3,534 hours (1902-0154)   |
| <br>                              |   |
| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0014   |
| <b>Title:</b>                     | Signature Proxy Initiative  |
| <b>Purpose of the Collection:</b> | Signature Proxy is an alternative to the traditional pen and ink or "wet" signature, and it eliminates the need to retain paper applications in most circumstances by allowing the technician to process the application on the claimant's behalf, without a signature.   |
| <b>Why Reduction Occurred:</b>    | SSA's implemented the Signature Proxy for this collection.  |
| <b>Change in Burden:</b>          | -14,167 hours   |
| <br>                              |   |
| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0142   |
| <b>Title:</b>                     | Report of Death By Funeral Home   |
| <b>Purpose of the Collection:</b> | This form is used to provide proof of death for an SSA-insured individual or beneficiary.   |
| <b>Why Reduction Occurred:</b>    | The burden reduction will be accomplished through increased use of the Electronic Death Registry (EDR) system, which is faster than the paper 0960-0142 process.  |
| <b>Change in Burden:</b>          | -21,953 hours   |
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| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0508   |
| <b>Title:</b>                     | Request for Self-Employment   |
| <b>Purpose of the Collection:</b> | SSA uses the information collected by these forms to credit reported employments earnings to the earnings record for the correct Social Security Number holder.   |

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| <b>Why Reduction Occurred:</b>    | This reduction will be accomplished as part of the Social Security Number Verification Service.   |
| <b>Change in Burden:</b>          | -25,000 hours   |
| <b>Agency:</b>                    | Social Security Administration  |
| <b>OMB Control Number:</b>        | 0960-0555   |
| <b>Title:</b>                     | Generic Clearance of Information Collections Conducted by state Disability Determination Services on Behalf of SSA  |
| <b>Purpose of the Collection:</b> | SSA uses these collections, which are actually consultative examinations (CE) and medical evidence of records (MER), to determine whether a claimant filing for benefits under the Social Security disability programs meets the statutory definition of disability in the Act. |
| <b>Why Reduction Occurred:</b>    | This reduction will be accomplished through use of the new Electronic Records Express system, which allows CE and MERs to be submitted to SSA online.   |
| <b>Change in Burden:</b>          | -116,067 hours  |

### **FY 2007 Burden Reduction from Statutory Requirements**

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|--------------------------------------|--|
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0053  |
| <b>Title:</b>                        | Federal Direct Consolidation Loan Program Application Documents  |
| <b>Purpose of the Collection:</b>    | These forms are the means by which an applicant applies for and promises to repay a Federal Direct Consolidation Loan and a lender verifies that a loan is eligible to be consolidated.  |
| <b>How Reduction Achieved:</b>       | The statute changed the eligibility requirements for consolidation loans, which will decrease the number of consolidation borrowers.   |
| <b>Change in Burden:</b>             | -331,800 hours   |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
| <b>Agency:</b>                       | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>           | 2502-0500  |
| <b>Title:</b>                        | Housing Finance Agency Risk-Sharing Program  |
| <b>Purpose of the Collection:</b>    | The purpose of the Risk-Sharing Program is to provide new forms of Federal credit enhancement for multifamily loans. Under this program, HUD provides full mortgage insurance on multifamily housing projects whose loans are underwritten, processed, and serviced by housing finance agencies (HFAs). The HFAs reimburse HUD a percentage of any loss under an insured loan depending upon the level of risk the HFA contracts to assume |
| <b>Why Increase Occurred:</b>        | This previously canceled collection will be reinstated. In 2000, there were fewer than 10 new applications annually by HFAs to join in the program.  |
| <b>Change in Burden:</b>             | 18,243 hours   |
| <b>Statute Title and Public Law:</b> | Housing and Community Development Act of 1992 (Pub. L. No. 102-550)  |
| <b>Agency:</b>                       | Department of Justice  |
| <b>OMB Control Number:</b>           | 1140-0041  |
| <b>Title:</b>                        | Implementation of Public Law 103-322, The Violent Crime Control and Law Enforcement Act of 1994  |
| <b>Purpose of the Collection:</b>    | To document compliance with the Act, which restricts the manufacture, transfer, and possession of certain semiautomatic assault weapons and large capacity ammunition feeding devices.   |
| <b>How Reduction Achieved:</b>       | The provisions covering semiautomatic assault weapons and large capacity ammunition feeding devices are no longer in effect. However, any records required to be obtained prior to September 13, 2004 pertaining to the sale or transfer of semiautomatic assault weapons must still be retained for a period of 5 years.  |
| <b>Change in Burden:</b>             | -310,040 hours   |

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| <b>Statute Title and Public Law:</b> | The Violent Crime Control and Law Enforcement Act of 1994 (Pub. L. No. 103-322)   |
| <b>Agency:</b>                       | Department of the Treasury  |
| <b>OMB Control Number:</b>           | 1550-0075   |
| <b>Title:</b>                        | Loans to Executive Officers, Directors, and Principal Shareholders of Savings Associations  |
| <b>Purpose of the Collection:</b>    | This information collection enables the Department to ensure that savings associations comply with regulatory provisions that require savings associations to maintain detailed records of their extensions of credit to executive officers, directors, and principal shareholders. The regulations also require that savings associations report certain loans and indebtedness to the Department. |
| <b>Why Decrease Will Occur:</b>      | New statute eliminated certain insider lending reporting requirements imposed on insured depository institutions and their insiders that the Federal banking agencies have not found useful in monitoring or preventing abusive insider lending practices.  |
| <b>Change in Burden:</b>             | -12,705 hours   |
| <b>Statute Title and Public Law:</b> | Financial Services Regulatory Relief Act of 2006 (Pub. L. No. 109-351).   |
| <b>Agency:</b>                       | Federal Deposit Insurance Corporation   |
| <b>OMB Control Number:</b>           | 3064-0023; 3064-0052  |
| <b>Title:</b>                        | Reports on Indebtedness of Executive Officers and Principal Shareholders to Correspondent Banks and to Own Bank; Call Report  |
| <b>Purpose of the Collection:</b>    | To detect preferential lending by insured financial institutions.   |
| <b>How Reduction Achieved:</b>       | Rescission of statutory requirement.  |
| <b>Change in Burden:</b>             | -68,986 hours   |
| <b>Statute Title and Public Law:</b> | Financial Services Regulatory Relief Act of 2006 (Pub. L. No. 109-351)  |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>           | 1902-0060 and 1902-0128   |
| <b>Title:</b>                        | Gas Pipeline Certificates: Construction, Acquisition and Abandonment (0060) and Gas Pipeline Certificates: Environmental Impact Statement (0128)  |
| <b>Purpose of the Collection:</b>    | FERC is expanding its blanket permitting authority for natural gas.   |
| <b>How Reduction Achieved:</b>       | FERC is expanding its blanket certificate to include additional types of natural gas facilities. Because this change will permit projects that are now processed under the case-specific NGA section 7 procedures to go forward under the streamlined blanket certificate program, the burden under the blanket certificate program will increase but the overall burden on industry will decrease. |
| <b>Change in Burden:</b>             | 7,727 hours (1902-0060) and -11,997 hours (1902-0128)   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)  |
| <b>Agency:</b>                       | National Science Foundation   |
| <b>OMB Control Number:</b>           | 3145-0060   |
| <b>Title:</b>                        | National Science Foundation Proposal Review Process   |
| <b>Purpose of the Collection:</b>    | The information collected is used to support grant programs of the Foundation. The information collected on the proposal evaluation forms is used by the Foundation to determine the following criteria when awarding or declining proposals submitted to the Agency: (1) What is the intellectual merit of the proposed activity? (2) What are the broader impacts of the proposed activity?       |
| <b>Why Decrease Occurred:</b>        | NSF is combining the Proposal Review Process with the Grant Proposal Guide information collection (3145-0058; see below for "increases") to streamline the proposal and award management policies and procedures; this collection will be discontinued.   |
| <b>Change in Burden:</b>             | -600,000 hours  |



**FY 2007 Burden Reduction from Miscellaneous Actions**

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-0924  
**Title:** 2007 Economic Census General Classification Report  
**Purpose of the Collection:** The results will be used to update the classification codes in the Business Register.  
**How Reduction Achieved:** Census will mail this information collection to fewer respondents than originally projected.  
**Change in Burden:** -23,333 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0537  
**Title:** Bar Code Label Requirement for Human Drug and Biological Products  
**Purpose of the Collection:** The bar code and machine-readable information is used by hospitals to ensure that the right product (including the right dose and right route of administration for the product) is reaching the right patient at the right time.  
**How Reduction Achieved:** Most of the information collection burden was a one-time burden that does not occur after the rule's compliance date of April 26, 2006.  
**Change in Burden:** -1,777,503 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0915-0289  
**Title:** Health Care Infrastructure Application Forms for Funding Opportunities  
**Purpose of the collection:** This application form is part of a set of application forms that are used to provide information needed to make funding decisions for health center grant applicants applying for certain infrastructure funding opportunities.  
**How Reduction Achieved:** This form was used for applicants to the Healthy Communities Access Program (HCAP), which has been eliminated as one of the funding opportunities from the Bureau of Primary Health Care.  
**Change in Burden:** -10,890 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0920-0428  
**Title:** PHS Supplement to Application for Federal Assistance (SF 424)  
**Purpose of the Collection:** The information collected in the supplemental HHS forms will be moved to the Office of the Secretary for use HHS-wide. Each agency's financial assistance program evaluates the information provided by the applicants to select the ones most likely to meet program objectives and to determine that satisfactory progress is being made on funded projects.  
**How Reduction Achieved:** Data collection will be transferred to a separate OMB number and will be used HHS wide.  
**Change in Burden:** -49,691 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0925-0405  
**Title:** Generic Clearance to Collect Medical Outcome and Risk Factor Data from a Cohort of U.S. Radiologic Technologists  
**Purpose of the Collection:** The primary aim of this project is to substantially increase knowledge about the long-term health effect associated with protracted low-to-moderate-dose radiation exposures.  
**How Reduction Achieved:** The decrease is due to the fact that the Agency plans to conduct only one survey under this approval rather than the three requested originally under the generic clearance.  
**Change in Burden:** -23,870 hours

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|-----------------------------------|--|
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0925-0484  |
| <b>Title:</b>                     | National Epidemiologic Survey on Alcohol and Related Conditions  |
| <b>Purpose of the Collection:</b> | To acquire reliable estimates of alcohol use disorders and related disabilities.   |
| <b>How Reduction Achieved</b>     | Two waves of the NESARC were executed successfully as planned and budgeted for and the data collection activity is over.   |
| <b>Change in Burden:</b>          | -43,093 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0935-0118  |
| <b>Title:</b>                     | Medical Expenditure Panel Survey Household Component and Medical Provider Component (MEPS-HC and MEPS-MPC - 2004 and 2005)   |
| <b>Purpose of the Collection:</b> | For nearly thirty years, the results of MEPS and its predecessor surveys (the 1977 National Medical Care Expenditure Survey, the 1980 National Medical Care Utilization and Expenditure Survey and the 1987 National Medical Expenditure Survey) have been used by OMB, DHHS, Congress and a wide number of health services researchers to analyze health care use, expenses and health policy.  |
| <b>How Reduction Achieved:</b>    | The burden hours previously submitted were not annualized properly. The total burden hours for the full three years of the clearance were entered by mistake. The amount entered was 249,848, but should have been entered as $249848/3 = 83,283$ . This change request corrected the original error.  |
| <b>Change in Burden:</b>          | -164,664 hours   |
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| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0935-0127  |
| <b>Title:</b>                     | Privacy and Security Solutions for Interoperable Health Information Exchange   |
| <b>Purpose of the Collection:</b> | The use of health information technology (IT) and the adoption of electronic health records (EHRs) will enable health information to follow patients throughout their care in a seamless and secure manner. This privacy and security project is a key part of the Department of Health and Human Services' health IT plan. Information collected by this effort is critical for the advancement of health IT and will be used to achieve the goal of developing seamless and secure electronic health records nationwide. |
| <b>How Reduction Achieved:</b>    | Data collection under the emergency clearance is complete and will be discontinued on the scheduled date of February 28, 2007.   |
| <b>Change in Burden:</b>          | -164,392 hours   |
|                                   |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0938-0045  |
| <b>Title:</b>                     | Request for Reconsideration of Part A Medicare Claim and Supporting Regulations  |
| <b>Purpose of the Collection:</b> | To request a reconsideration of an initial determination made on a Part A health insurance claim.  |
| <b>How Reduction Achieved:</b>    | CMS discontinued use of this collection.   |
| <b>Change in Burden:</b>          | -15,000 hours  |
|                                   |  |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0938-0791  |
| <b>Title:</b>                     | A Project to Develop an Outcome-Based Continuous Quality Improvement System and Core Outcome and Comprehensive Assessment Data Set for PACE  |
| <b>Purpose of the Collection:</b> | The purpose of this collection was to develop an out-come based quality improvement approach for the PACE program.   |
| <b>How Reduction Achieved:</b>    | CMS considers this collection obsolete therefore it will be discontinued.  |
| <b>Change in Burden:</b>          | -16,960 hours  |
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| <b>Agency:</b>                    | Department of Health and Human Services  |

**OMB Control Number:** 0938-1001  
**Title:** Voluntary Sample AMP Collection  
**Purpose of the Collection:** The data collection allows CMS and OIG to analyze the current definition of AMP and to determine whether or not the definition should be revised.  
**How Reduction Achieved:** The data collection is complete and the collection will be discontinued in February 2007.  
**Change in Burden:** -11,000 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0970-0223  
**Title:** State Self-Assessment Review and Report - NPRM  
**Purpose of the Collection:** Periodic report of State performance.  
**How Reduction Achieved:** The number of data elements on the data collection forms was decreased.  
**Change in Burden:** -208,549 hours

**Agency:** Department of Justice  
**OMB Control Number:** 1110-0022  
**Title:** Cost Recovery Regulations, Communications Assistance for Law Enforcement Act of 1994  
**Purpose of Collection:** To allows telecommunications carriers to recover the costs associated with complying with the Act.  
**How Reduction Achieved:** Agreements were made with telecommunications manufacturers that allowed for distribution among multiple carriers, which made it unnecessary to make individual cost recovery agreements.  
**Change in Burden:** -45,920 hours

**Agency:** Department of Justice  
**OMB Control Number:** 1140-0054  
**Title:** Commerce in Firearms and Ammunition - Annual Inventory of Firearms  
**Purpose of the Collection:** Annual inventory of federal firearms  
**How Reduction Achieved:** ATF decided not to proceed with final rule given comments received during the notice of proposed rulemaking.  
**Change in Burden:** -15,483 hours

**Agency:** Department of Labor  
**OMB Control Number:** 1220-0032  
**Title:** Annual Refiling Survey (ARS)  
**Purpose of the Collection:** Verifies the accuracy of industry codes for business establishments covered by State Unemployment Insurance (U.I.) programs.  
**How Reduction Achieved:** The decrease is due to the removal of employers with two or less employees.  
**Change in Burden:** -22,792 hours

**Agency:** Department of Transportation  
**OMB Control Number:** 2130-0008  
**Title:** Inspection Brake System Safety Standards for Freight and Other Non-Passenger Trains and Equipment (Power Brakes and Drawbars)  
**Purpose of Collection:** To ensure that locomotives are inspected, tested, and properly maintained in accordance with Federal safety regulations, as well as to ensure that locomotive engineers and train crews verify that the terminal air brake test has been performed in a satisfactory manner.  
**How Reduction Achieved:** There were nine one-time requirements under 49 CFR 232 that have been fulfilled.  
**Change in Burden:** -57,412 hours

**Agency:** Department of the Treasury

**OMB Control Number:** 1559-0021  
**Title:** Financial Assistance (FA) Component Application-CDFI Program  
**Purpose of the Collection:** To determine certified Community Development Financial Institutions eligibility for assistance in the forms of grants, loans, equity investments and deposits through a competitive review process.  
**How Reduction Achieved:** The burden was reduced by combining the Financial Assistance and the Technical Assistance applications, reducing the number of questions and tables within the application.  
**Change in Burden:** -16,400 hours

**Agency:** National Science Foundation  
**OMB Control Number:** 3145-0141  
**Title:** 2006 National Survey of College Graduates (NSCG)  
**Purpose of Collection:** These data enable government agencies to assess the scientific and engineering resources available in the United States relative to the needs of business, industry, and academia, and to provide a basis for the formulation of the Nation's science and engineering policies.  
**How Reduction Achieved:** The first survey in the decade is much more expensive and burdensome than the following ones because of the large size of the sample needed to screen respondents to determine whether they meet the Scientists and Engineers Statistical Data System SESTAT definition of a scientist or engineer. On subsequent surveys in the decade, only those identified as scientists and engineers are followed. Thus the sample size for the 2006 survey will drop to 68,000, which greatly reduces both the cost and burden.  
**Change in Burden:** -49,377 hours

**Agency:** Social Security Administration  
**OMB Control Number:** 0960-0145  
**Title:** Statement for Determining Continuing Eligibility for Supplemental Security Income (for Low-Error Probability and Middle-Error Probability cases)  
**Purpose of the Collection:** This collection is used to determine whether SSI recipients have met and continue to meet all statutory and regulatory requirements for SSI eligibility, and whether they have been and are still receiving the correct payment amount.  
**Why Reduction Occurred:** SSA will be conducting significantly fewer redeterminations this year due to budgetary constraints.  
**Change in Burden:** -208,000 hours

**Agency:** Social Security Administration  
**OMB Control Number:** 0960-0416  
**Title:** Statement for Determining Continuing Eligibility for Supplemental Security Income (for High-Error Probability cases)  
**Purpose of the Collection:** This collection is used to determine whether SSI recipients have met and continue to meet all statutory and regulatory requirements for SSI eligibility, and whether they have been and are still receiving the correct payment amount.  
**Why Reduction Occurred:** SSA will conduct significantly fewer redeterminations this year because of budget constraints.  
**Change in Burden:** -228,606 hours

### **FY 2007 Burden Increases from Statutory Requirements**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0563-0053  
**Title:** Multiple Peril Crop Insurance  
**Purpose of the Collection:** To improve and expand existing crop programs, develop new crop products and study new insurance concepts for possible implementation.

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| <b>Why Increase Occurred:</b>        | New pilot crop insurance programs were added; and there were not enough hours accounted for in this package to allow the producers and agents to read the insurance documents.  |
| <b>Change in Burden:</b>             | 406,192 hours   |
| <b>Statute Title and Public Law:</b> | Federal Crop Insurance Act (Pub. L. No. 106-224)  |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-0026   |
| <b>Title:</b>                        | 7 CFR Part 245, Determining Eligibility for Free and Reduced Priced Meals   |
| <b>Purpose of the Collection:</b>    | Determination and verification of eligibility for free and reduced price meal.  |
| <b>Why Increase Occurred:</b>        | Child Nutrition and WIC Reauthorization Act of 2004 requires direct certification with the Food Stamp Program and implements provisions relating to verification of applicants approved for free or reduced price meals.  |
| <b>Change in Burden:</b>             | 700,889 hours   |
| <b>Statute Title and Public Law:</b> | Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)   |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-0043   |
| <b>Title:</b>                        | WIC Program Regulation – Reporting and Recordkeeping Burden   |
| <b>Purpose of the Collection:</b>    | To determine program eligibility and to ensure compliance with program requirements for the purpose of providing food, nutrition counseling and access to health service to low-income women, infants, and children.  |
| <b>Why Increase Occurred:</b>        | Statute mandates certain discretionary WIC vendor provisions.   |
| <b>Change in Burden:</b>             | 63,270  |
| <b>Statute Title and Public Law:</b> | Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)   |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-0055   |
| <b>Title:</b>                        | 7 CFR Part 226, Child and Adult Care Food Program   |
| <b>Purpose of Collection:</b>        | To assure that the program is administered according to statutory and agency implementing regulations.  |
| <b>Why Increase Occurred:</b>        | Statute requires consent by the applicant of the disclosure of eligibility information may be shared with interest programs.  |
| <b>Change in Burden:</b>             | 80,752  |
| <b>Statute Title and Public Law:</b> | Richard B. Russell National School Lunch Act (Pub. L. No. 108-498)  |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-0280   |
| <b>Title:</b>                        | 7 CFR Part 225, Summer Food Service Program   |
| <b>Purpose of Collection:</b>        | To assure that the program is administered according to statutory and agency implementing regulations.  |
| <b>Why Increase Occurred:</b>        | Statute requires consent by the applicant of the disclosure of eligibility information may be shared with interest programs.  |
| <b>Change in Burden:</b>             | 11,214  |
| <b>Statute Title and Public Law:</b> | Richard B. Russell National School Lunch Act (Pub. L. No. 108-498)  |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-0541   |
| <b>Title:</b>                        | Senior Farmers' Market Nutrition Program (SFMNP) Regulations  |
| <b>Purpose of the Collection:</b>    | To determine program eligibility and to ensure compliance with program requirements for the purpose of providing fresh, locally grown fruits and vegetables from farmers' markets, roadside stands, and community-supported agriculture programs to low-income seniors. |

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| <b>Why Increase Occurred:</b>        | New program mandated by Congress.   |
| <b>Change in Burden:</b>             | 412,362 hours   |
| <b>Statute Title and Public Law:</b> | Farm Security and Rural Investment Act of 2002 (Pub. L. No. 107-171)  |
| <b>Agency:</b>                       | Department of Agriculture   |
| <b>OMB Control Number:</b>           | 0584-NEW  |
| <b>Title:</b>                        | School food Safety Program Based on Hazard Analysis and Critical Control Point (HACCP) Principles   |
| <b>Purpose of Collection:</b>        | Incorporates requirements regarding food safety programs in schools participating in the National School Lunch Program (NSLP) and School Breakfast Program (SBP).   |
| <b>Why Increase Occurred:</b>        | This is a new collection authorized by Pub. L. No. 108-265 which requires school food authorities participating in the NSLP and SBP to implement food safety programs for the preparation and service of school meals served to children.                   |
| <b>Change in Burden:</b>             | 6,093,352   |
| <b>Statute Title and Public Law:</b> | Child Nutrition and WIC Reauthorization Act of 2004 (Pub. L. No. 108-265)   |
| <b>Agency:</b>                       | Department of Commerce  |
| <b>OMB Control Number:</b>           | 0660-New  |
| <b>Title:</b>                        | Digital-to-Analog Converter Box Coupon Program – Information Collection from Consumers  |
| <b>Purpose of the Collection:</b>    | To determine eligibility for the coupon program, to coordinate activities, and to prevent fraud, waste, and abuse in the administration of the coupon program.  |
| <b>Why Increase Occurred:</b>        | New collection.   |
| <b>Change in Burden:</b>             | 5,250,000 hours   |
| <b>Statute Title and Public Law:</b> | Digital Television Transition and Public Safety Act of 2005 (Pub. L. No. 109-171)   |
| <b>Agency:</b>                       | Department of Commerce  |
| <b>OMB Control Number:</b>           | 0651-0021   |
| <b>Title:</b>                        | Patent Cooperation Treaty   |
| <b>Purpose of the Collection:</b>    | To process, search, and examine international patent applications under the provisions of the Patent Cooperation Treaty, which allows applicants to file one standardized application to seek protection for an invention in over 100 designated countries. |
| <b>Why Increase Occurred:</b>        | A revision of the fee structure for international applications entering the national stage to provide for separate national, search, and examination fees.  |
| <b>Change in Burden:</b>             | \$41,549,248  |
| <b>Statute Title and Public Law:</b> | Digital Television Transition and Public Safety Act of 2005 (Pub. L. No. 109-171)   |
| <b>Agency:</b>                       | Department of Commerce  |
| <b>OMB Control Number:</b>           | 0651-0032   |
| <b>Title:</b>                        | Initial Patent Applications   |
| <b>Purpose of the Collection:</b>    | Allows applicants to submit initial filing and other applications to the USPTO to obtain patents.   |
| <b>Why Increase Occurred:</b>        | An increase in the number of filings due to a reduced filing fee for small entities filing utility applications electronically, and a new fee for applications in which the specification and drawings exceed 100 sheets of paper.                          |
| <b>Change in Burden:</b>             | \$24,307,575  |
| <b>Statute Title and Public Law:</b> | Consolidated Appropriations Act, 2005 (Pub. L. No. 108-447)   |
| <b>Agency:</b>                       | Department of Commerce  |
| <b>OMB Control Number:</b>           | 0651-0033   |
| <b>Title:</b>                        | Post Allowance and Refiling   |
| <b>Purpose of the Collection:</b>    | Permits applicants to pay the issue fee to receive their patent and avoid abandonment of the application, to request <i>ex parte</i> and <i>inter partes</i> reexaminations, to correct errors in a patent, and to request reissue patents.                 |

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| <b>Why Increase Occurred:</b>        | The fee structure was revised for reissue applications to provide for separate national, search, and examination fees, and patent issue fees were adjusted to reflect fluctuations in the Consumer Price Index (CPI).  |
| <b>Change in Burden:</b>             | \$15,676,455   |
| <b>Statute Title and Public Law:</b> | Consolidated Appropriations Act, 2005 (Pub. L. No. 108-447)  |
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0020  |
| <b>Title:</b>                        | Federal Family Education Loan (FFEL) Program Regulations   |
| <b>Purpose of the Collection:</b>    | The Federal Family Education Loan Program interim final regulations revise the current regulations in areas of program administration. The regulations assure the Secretary that the integrity of the program is protected from fraud and other misuse of program funds.       |
| <b>Why Increased Occurred:</b>       | The Higher Education Reconciliation Act of 2005 (HERA) established new requirements in disbursing a loan for foreign schools, establishing a plan for postsecondary access, and the delivery of loan proceeds.   |
| <b>Change in Burden:</b>             | 52,114 hours   |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0068  |
| <b>Title:</b>                        | Federal Direct PLUS Loan Application, Master Promissory Note (MPN), and Endorser Addendum  |
| <b>Purpose of the Collection:</b>    | The PLUS MPN is the means by which an individual applies for and agrees to repay a Federal Direct PLUS Loan.   |
| <b>Why Increased Occurred:</b>       | The Higher Education Reconciliation Act of 2005 (HERA) extended eligibility for PLUS loans in the FFEL and Direct Loan programs to graduate and professional students.   |
| <b>Change in Burden:</b>             | 11,875 hours   |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
| <b>Agency:</b>                       | Department of Education  |
| <b>OMB Control Number:</b>           | 1845-0069  |
| <b>Title:</b>                        | Federal PLUS Loan Application, Master Promissory Note, Endorser Addendum, and School Certification   |
| <b>Purpose of the Collection:</b>    | The Federal PLUS Loan Application and Master Promissory Note is the means by which an eligible parent borrower applies for and agrees to repay a Federal PLUS Loan.  |
| <b>Why Increased Occurred:</b>       | The Higher Education Reconciliation Act of 2005 (HERA) extended eligibility for PLUS loans in the FFEL and Direct Loan programs to graduate and professional students.   |
| <b>Change in Burden:</b>             | 123,750 hours  |
| <b>Statute Title and Public Law:</b> | Higher Education Reconciliation Act of 2005 (Pub. L. No. 109-171)  |
| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0910-NEW   |
| <b>Title:</b>                        | Cosmetic Labeling Regulations  |
| <b>Purpose of the Collection:</b>    | The Federal Food, Drug, and Cosmetic Act (the FFDC Act) and the Fair Packaging and Labeling Act (the FPLA) require that cosmetic manufacturers, packers, and distributors disclose information about themselves or their products on the labels or labeling of their products. |
| <b>Why Increase Occurred:</b>        | This is a new collection and corrects a violation.   |
| <b>Change in Burden:</b>             | 140,620 hours  |
| <b>Statute Title and Public Law:</b> | Fair Packaging and Labeling Act (Pub. L. No. 89-755) and the Federal Food, Drug, and Cosmetic Act (Pub. L. No. 101-629)  |

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| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0910-NEW  |
| <b>Title:</b>                        | Dietary Supplements CGMP final rule   |
| <b>Purpose of the Collection:</b>    | Section 402(g) of the Federal Food, Drug, and Cosmetic Act (the act) (21 U.S.C. 342 (g)) gives the Food and Drug Administration (FDA) explicit authority to issue a rule establishing Current Good Manufacturing Practice for dietary supplements. For this final rule, recordkeeping is necessary to provide the type of documentation that will demonstrate that dietary supplements are manufactured, packaged, labeled and held in a manner that will ensure the quality of the dietary supplement as specified in the master manufacturing record. |
| <b>Why Increase Occurred:</b>        | New rulemaking requires additional data collection.   |
| <b>Change in Burden:</b>             | 933,000   |
| <b>Statute Title and Public Law:</b> | Dietary Supplement and Health Education Act (DSHEA) of 1994 (Pub. L. No. 103-417)   |
|                                      |   |
| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0910-NEW  |
| <b>Title:</b>                        | Supplements and Other Changes to Approved New Animal Drug Applications; Final Rule and Guidance   |
| <b>Purpose of the Collection:</b>    | This collection is in response to the requirements of the Food and Drug Administration Modernization Act of 1997 (Pub. L. No. 105-115). This section revises current FDA procedures for approving manufacturing changes for new animal drugs. Small entities are not likely to be significantly affected by this regulation.  |
| <b>Why Increase Occurred:</b>        | The publication of this final rule is an important step in the process of adopting a risk-based approach to the regulation of new drugs. This approach provides for a continued high level of scrutiny by FDA of changes that are most likely to affect the performance of a new animal drug and decrease scrutiny of changes that are not likely to affect a new animal drug's performance.  |
| <b>Change in Burden:</b>             | 63,560  |
| <b>Statute Title and Public Law:</b> | Food and Drug Administration Modernization Act of 1997 (Pub. L. No. 105-115)  |
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| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0915-NEW  |
| <b>Title:</b>                        | Expansion of the National Practitioner Data Bank for Adverse Information on Physicians and Other Health Care Practitioners: Section 1921 of the Social Security Act.  |
| <b>Purpose of the Collection:</b>    | Section 1921 authorizes an expansion of the collection of information contained in the National Practitioner Data Bank.   |
| <b>Why Increase Occurred:</b>        | Implementation of Section 1921 expands the type of information currently collected by the National Practitioner Data Bank.  |
| <b>Change in Burden:</b>             | 11,518 hours.   |
| <b>Statute Title and Public Law:</b> | Section 1921 of the Social Security Act, as amended by Section 5(b) of the Medicare and Medicaid Patient and Program Protection Act of 1987 (Pub. L. No. 100-93)  |
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| <b>Agency:</b>                       | Department of Health and Human Services   |
| <b>OMB Control Number:</b>           | 0915-NEW  |
| <b>Title:</b>                        | The Stem Cell Therapeutic Outcomes Database   |
| <b>Purpose of the Collection:</b>    | To collect and maintain information related to patients who have received stem cell therapeutic products in a standardized format that will be used to monitor the clinical status of transplantation and for analysis of transplant outcomes.  |
| <b>Why Increase Occurred:</b>        | Section 3 of the Stem Cell Therapeutic and Research Act of 2005 requires the Secretary of HHS establish and maintain a stem cell therapeutic outcomes database.   |
| <b>Change in Burden:</b>             | 88,615 hours  |
| <b>Statute Title and Public Law:</b> | Section 2 of the Stem Cell Therapeutic and Research Act of 2005 (Pub. L. No. 109-129)   |



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| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-NEW   |
| <b>Title:</b>                        | Medicare Health Outcomes Survey (HOS) and Supporting Regulations at 42 CFR 422.152   |
| <b>Purpose of the Collection:</b>    | To monitor health plan performance and reward top performing health plans with regulatory relief, to construct a frailty adjuster for payment purposes, and to inform other agency programs and priorities (e.g. disabled, poor, chronically ill, etc.). |
| <b>Why Increase Occurred:</b>        | Formally, the Health Plan Employer Data and Information Set (HEDIS) and HOS comprised a single ICR. For administrative simplification, two separate OMB clearance packages are being submitted for HEDIS and HOS.  |
| <b>Change in Burden:</b>             | 105,613 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (MMA) (Pub. L. No. 108-173)   |
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| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-0918  |
| <b>Title:</b>                        | National Voluntary Hospital Reporting Initiative--Hospital Quality Measures  |
| <b>Purpose of the Collection:</b>    | To collect data to produce valid, reliable, comparable and salient quality measures to provide a potent stimulus for clinicians and providers to improve the quality of care they provide.   |
| <b>Why Increase Occurred:</b>        | The DRA revises the current hospital reporting initiative by stipulating new data collection requirements. The Act also requires that we expand the "starter set" of 10 quality measures that we have used since 2003.                                   |
| <b>Change in Burden:</b>             | 238,000 hours  |
| <b>Statute Title and Public Law:</b> | The Deficit Reduction Act of 2005 (Pub. L. No. 109-171)  |
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| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-NEW   |
| <b>Title:</b>                        | Hospital Reporting Initiative—Hospital Quality Measures (Surgical Care Improvement (SCIP) Measures/Mortality Measures)   |
| <b>Purpose of the Collection:</b>    | To collect data to produce valid, reliable, comparable and salient quality measures to provide a potent stimulus for clinicians and providers to improve the quality of care they provide.   |
| <b>Why Increase Occurred:</b>        | The DRA revises the current hospital reporting initiative by stipulating new data collection requirements. The Act also requires that we expand the "starter set" of 10 quality measures that we have used since 2003.                                   |
| <b>Change in Burden:</b>             | 587,500 hours  |
| <b>Statute Title and Public Law:</b> | The Deficit Reduction Act of 2005 (Pub. L. No. 109-171)  |
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| <b>Agency:</b>                       | Department of Health and Human Services  |
| <b>OMB Control Number:</b>           | 0938-NEW   |
| <b>Title:</b>                        | Payment Error Rate Measurement of Eligibility for Medicaid and the State Children's Health Insurance Program (SCHIP)   |
| <b>Purpose of the Collection:</b>    | To provide some Federal overview of state eligibility determinations to ensure correctness and consistency among states and to use the State-specific error rates as the basis for calculating national eligibility error rates for Medicaid and SCHIP.  |
| <b>Why Increase Occurred:</b>        | Collection required by The Improper Payments Information Act of 2002 (IPIA)  |
| <b>Change in Burden:</b>             | 535,670 hours  |
| <b>Statute Title and Public Law:</b> | IPIA of 2002 (Pub. L. No. 107-300)   |
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| <b>Agency:</b>                       | Department of Health and Human Services  |

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| <b>OMB Control Number:</b>           | 0938-New   |
| <b>Title:</b>                        | Creditable Coverage Disclosure to CMS On-Line Form and Instructions  |
| <b>Purpose of the Collection:</b>    | Certain entities that provide prescription drug coverage to Medicare Part D eligible individuals must meet specified CMS actuarial requirements. The on-line form was developed to disclose this information to CMS.                                     |
| <b>Why Increase Occurred:</b>        | This is a new collection mandated by statute.  |
| <b>Change in Burden:</b>             | 37,555 hours   |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Pub. L. No. 108-173)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1652-NEW   |
| <b>Title:</b>                        | Aircraft Repair Station Security Requirements  |
| <b>Purpose of the Collection:</b>    | To require repair stations to conduct vulnerability assessments as well as create and maintain a security program.   |
| <b>Why Increase Occurred :</b>       | Statutory requirement to implement security measures at aircraft repair stations.  |
| <b>Change in burden:</b>             | 14,350 hours and \$140,000   |
| <b>Statute Title and Public Law:</b> | Vision 100-Century of Aviation Reauthorization Act (Pub. L. No. 108-176)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Number:</b>                   | 1652-SF  |
| <b>Title:</b>                        | Secure Flight  |
| <b>Purpose of the Collection:</b>    | Requires air carriers to provide passenger name records to TSA for comparison against government-maintained terrorist watch lists.   |
| <b>Why Increase Occurred:</b>        | New program.   |
| <b>Change in Burden:</b>             | 1,141,000 hours and \$60,787,000   |
| <b>Statute Title and Public Law:</b> | Aviation and Transportation Security Act (Pub. L. No. 107-71)  |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Number:</b>                   | 1652-TWIC  |
| <b>Title:</b>                        | Transportation Worker Identification Credential  |
| <b>Purpose of the Collection:</b>    | To perform background checks and to provide for a single, uniform credential nationwide for transportation workers who require access to secure transportation areas. Initial implementation (via an Final Rule) will begin with the maritime community. |
| <b>Why Increase Occurred:</b>        | New program.   |
| <b>Change in Burden:</b>             | 1,353,100 hours and \$21,348,000   |
| <b>Statute Title and Public Law:</b> | Maritime Transportation Security Act of 2002 (Pub. L. No. 107-295)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1651-NEW   |
| <b>Title:</b>                        | Free Trade Agreements  |
| <b>Purpose of Collection:</b>        | To verify preferential tariff treatment in accordance with each Free Trade Agreement.  |
| <b>Why Increase Occurred:</b>        | Free Trade Agreements with Singapore and Australia will be implemented during FY 2007. CBP will be collecting the same data elements for both of these Agreements.   |
| <b>Change in Burden:</b>             | 13,000 hours   |
| <b>Statute Title and Public Law:</b> | U.S. Australian Free Trade Implementation Act (Pub. L. No. 108-286) and United States-Singapore Free Trade Agreement Implementation Act (Pub. L. No. 108-78)   |
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| <b>Agency:</b>                       | Department of Homeland Security  |
| <b>OMB Control Number:</b>           | 1652-0019  |
| <b>Title:</b>                        | Registered Traveler Interoperability Pilot (RTIP) Program  |

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| <b>Purpose of the Collection:</b>    | To collect information from airports and air carriers, Service Providers, individuals who agreed to participate in the program, and to verify identity, complete a name-based security threat assessment and issue an identification token.  |
| <b>Why Increase Occurred:</b>        | TSA is extending the current pilot program.  |
| <b>Change in Burden:</b>             | 78,884 hours and \$10,000,000  |
| <b>Statute Title and Public Law:</b> | Aviation and Transportation Security Act (Pub. L. No. 107-71)  |
| <b>Agency:</b>                       | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>           | 2502-0565  |
| <b>Title:</b>                        | Servicemembers Civil Relief  |
| <b>Purpose of the Collection:</b>    | Federal law requires lenders to send a statement or notice to homeowners in default explaining the mortgage and foreclosure rights of service members and their dependents, including the toll-free military one source number to call if service members, or their dependents require further assistance. |
| <b>Why Increase Occurred:</b>        | All conventional mortgage loans and loans insured by HUD are subject to the new notification requirements.   |
| <b>Change in Burden:</b>             | 533,744 hours  |
| <b>Statute Title and Public Law:</b> | National Defense Authorization Act for Fiscal Year 2006 (Pub. L. No. 109-163)  |
| <b>Agency:</b>                       | Department of Housing and Urban Development  |
| <b>OMB Control Number:</b>           | 2502-New   |
| <b>Title:</b>                        | Manufactured Housing Installation Program  |
| <b>Purpose of the Collection:</b>    | HUD is authorized to establish and enforce construction and safety standards for manufactured homes and model manufactured home installation standards.  |
| <b>Why Increase Occurred:</b>        | The installation program has to meet Statutory requirements of the National Manufactured Housing Construction and Safety Standards Act, as amended by the Manufactured Housing Improvement Act of 2000.  |
| <b>Change in Burden:</b>             | 157,256 hours  |
| <b>Statute Title and Public Law:</b> | Manufactured Housing Improvement Act of 2000 (Pub. L. No. 106-569)   |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1010-New   |
| <b>Title:</b>                        | 30 CFR Part 285–Requirements for Alternative Energy or Alternative Use   |
| <b>Purpose of the Collection:</b>    | To develop a new program for alternative energy developments and alternate uses of existing facilities on the Outer Continental Shelf.   |
| <b>Why Increase Occurred:</b>        | This new program is required by new statute.   |
| <b>Change in Burden:</b>             | 10,000 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-190)  |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1010-New   |
| <b>Title:</b>                        | Coastal Impact Assistance Program (CIAP)   |
| <b>Purpose of the Collection:</b>    | The CIAP legislation appropriates money for eligible states and coastal political subdivisions for coastal restoration/improvement projects – a grant program. The ICR addresses the narrative portion only of the Minerals Management Service CIAP grant program.   |
| <b>Why Increase Occurred:</b>        | This new program is required by new statute.   |
| <b>Change in Burden:</b>             | 12,600 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-190)  |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1076-New   |
| <b>Title:</b>                        | Tribal Energy Resource Agreements  |
| <b>Purpose of the Collection:</b>    | This information collection will provide a means of developing a plan whereby the Secretary of Interior will grant authority to a tribe to review and approve leases,  |

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|                                      | business agreements and rights-of-way for specific energy development activities on tribal lands   |
| <b>Why Increase Occurred:</b>        | New law required the Department to develop regulations to implement this program in furtherance of economic independence for Indian tribes.  |
| <b>Change in Burden:</b>             | 10,752 hours   |
| <b>Statute Title and Public Law:</b> | Indian Energy Resource Development and Self-Determination Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1076-New   |
| <b>Title:</b>                        | Probate of Indian Estates, Except for Members of the Five Civilized Tribes   |
| <b>Purpose of the Collection:</b>    | This collection is used to settle probate cases for Indian decedents.  |
| <b>Why Increase Occurred:</b>        | The new law allowed more options in settling estates, among them the ability of an individual Indian to self certify a will with two witnesses. Changes have been made to submissions to verify death.   |
| <b>Change in Burden:</b>             | 1,037,362 hours  |
| <b>Statute Title and Public Law:</b> | American Indian Probate Reform Act of 2004 (Pub. L. No. 108-374)   |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1076-New   |
| <b>Title:</b>                        | Land Records and Title Documents   |
| <b>Purpose of the Collection:</b>    | The information collected allows the Land Title Records Office (LTRO) to provide services to the public and allows the public to address any errors they may discover, providing a mechanism for the LTRO to update its records. A fee may be charged for certain services.  |
| <b>Why Increase Occurred:</b>        | The LTRO services and products available to tribes and individuals are increased.  |
| <b>Change in Burden:</b>             | 19,014 hours   |
| <b>Statute Title and Public Law:</b> | Indian Land Consolidation Act of 2000 (Pub. L. No. 106-462)  |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1076-New   |
| <b>Title:</b>                        | Conveyances of Trust or Restricted Land, 25 CFR 152  |
| <b>Purpose of the Collection:</b>    | The information collected allows DOI to review and approve conveyances of Indian trust and restricted land and to remove trust and restricted status from Indian land. It clarifies the duties and responsibilities of respondents when any actions affecting land status are requested or approved.   |
| <b>Why Increase Occurred:</b>        | To implement the integrated approach to trust records management reform.   |
| <b>Change in Burden:</b>             | 10,907 hours   |
| <b>Statute Title and Public Law:</b> | Indian Land Consolidation Act of 2000 (Pub. L. No. 106-462)  |
| <b>Agency:</b>                       | Department of the Interior   |
| <b>OMB Control Number:</b>           | 1029-NEW   |
| <b>Title:</b>                        | 30 CFR Part 786 – Requirements for Permits for Abandoned Coal Refuse Re-mining (CFR) Operations.   |
| <b>Purpose of the Collection:</b>    | In response to the Energy Policy Act of 1992, this part will establish new permit systems applicable to eligible operations for on-site reprocessing, as well as eligible operations for the removal of abandoned coal refuse. Part 786 will allow coal companies to submit a less extensive permit application than under the current requirements. |
| <b>Why Increase Occurred:</b>        | Creates a new part to the CFR to streamline permitting provisions for abandoned coal refuse re-mining operations.  |
| <b>Change in Burden:</b>             | 10,542 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 1992, as amended (Pub. L. No. 102-486)  |

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| <b>Agency:</b>                         | Department of Labor  |
| <b>OMB Control Number:</b>             | 1210-NEW   |
| <b>Title:</b>                          | Default Investment Alternatives under Participant Directed Individual Account Plans  |
| <b>Purpose of the Collection:</b>      | Congress required regulations on default investments and appropriate notices to participants. The Department also proposed that plans pass through to participants certain information plans receive concerning the default investments. Accordingly, DOL proposed new standards for default investments for individual account pension plan participants who fail to provide investment directions. |
| <b>Why Increase Occurred:</b>          | New collection.  |
| <b>Change in Burden:</b>               | 749,784 hours and \$28,874,701   |
| <b>Statute Title &amp; Public Law:</b> | Pension Protection Act of 2006 (Pub. L. No. 109-280)   |
| <br>                                   |  |
| <b>Agency:</b>                         | Department of Labor  |
| <b>OMB Control Number:</b>             | 1220-0011  |
| <b>Title:</b>                          | Report on Current Employment Statistics  |
| <b>Purpose of the Collection:</b>      | The Current Employment Statistics (CES) program provides current monthly statistics on employment, hours, and earnings, by industry and geography. CES estimates are fundamental inputs in economic decision processes at all levels of government, private enterprise, and organized labor.   |
| <b>Why Increase Occurred:</b>          | Increase is due to the reintroduction of Women Workers program as required by Congress.  |
| <b>Change in Burden:</b>               | 28,184 hours   |
| <b>Statute Title &amp; Public Law:</b> | Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2006 (Pub. L. No. 109-149)  |
| <br>                                   |  |
| <b>Agency:</b>                         | Department of Transportation   |
| <b>OMB Control Number:</b>             | 2125-0025  |
| <b>Title:</b>                          | Highway Safety Improvement Programs  |
| <b>Purpose of the Collection:</b>      | Requirement of State DOTs to report hazardous highway and railroad crossings on public roads.  |
| <b>Why Increase Occurred:</b>          | New report mandated by Congress  |
| <b>Change in Burden:</b>               | 25,500 hours   |
| <b>Statute Title and Public Law:</b>   | Safe, Accountable, Flexible, and Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)  |
| <br>                                   |  |
| <b>Agency:</b>                         | Department of Transportation   |
| <b>OMB Control Number:</b>             | 2125-NEW   |
| <b>Title:</b>                          | Evaluation of Mileage Based User Charge  |
| <b>Purpose of the Collection:</b>      | Required by law for study of mileage user charge as alternative to gas tax.  |
| <b>Why Increase Occurred:</b>          | New program required by Congress   |
| <b>Change in Burden:</b>               | 9,400 hours  |
| <b>Statute Title and Public Law</b>    | Safe, Accountable, Flexible, and Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)  |
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| <b>Agency:</b>                         | Department of Transportation   |
| <b>OMB Control Number:</b>             | 2126-0003  |
| <b>Title:</b>                          | Inspection, Repair and Maintenance   |
| <b>Purpose of the Collection:</b>      | This information collection is used to ensure that motor carriers have adequate documentation of their systematic inspection, repair and maintenance programs necessary to reduce the likelihood of commercial motor vehicle accidents.  |
| <b>Why Increase Occurred:</b>          | The agency in a FY 2007 Notice of Proposed Rulemaking (NPRM) title "Requirements for Intermodal Equipment Providers and Motor Carriers and Drivers Operating Intermodal Equipment," would require 808,200 chassis controlled by non-motor carrier intermodal equipment providers to maintain records documenting their safety programs and deficiency reporting process.                             |
| <b>Change in Burden:</b>               | 121,230 hours  |

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| <b>Statute Title and Public Law:</b> | Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)   |
| <b>Agency:</b>                       | Department of Transportation   |
| <b>OMB Control Number:</b>           | 2126-0025  |
| <b>Title:</b>                        | Transportation of Household Goods; Consumer Protection   |
| <b>Purpose of the Collection:</b>    | This information collection is used to oversee how motor carriers transporting household goods by commercial motor vehicle in interstate commerce must assist their individual customers who ship household goods.   |
| <b>Why Increase Occurred:</b>        | The agency in a proposed FY 2007 Omnibus final rule will implement various provisions of existing law regarding household goods transportation that will affect 6,000 respondents.   |
| <b>Change in Burden:</b>             | 278,333 hours  |
| <b>Statute Title and Public Law:</b> | Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)   |
| <b>Agency:</b>                       | Department of Transportation   |
| <b>OMB Control Number:</b>           | 2126-New   |
| <b>Title:</b>                        | Practices of Household Goods Brokers   |
| <b>Purpose of the Collection:</b>    | This information collection will be used by prospective household goods shippers to make informed decisions about contracts and services to be ordered, executed, and settled within the interstate household goods motor carrier industry.  |
| <b>Why Increase Occurred:</b>        | FMCSA intends to require 690 household goods brokers to comply with the same regulations as household goods motor carriers.  |
| <b>Change in Burden:</b>             | 156,675 hours  |
| <b>Statute Title and Public Law:</b> | Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Pub. L. No. 109-59)   |
| <b>Agency:</b>                       | Department of the Treasury   |
| <b>OMB Control Number:</b>           | 1545-0074  |
| <b>Title:</b>                        | U.S. Individual Income Tax Return  |
| <b>Purpose of the Collection:</b>    | For individuals to file their federal income tax return.   |
| <b>Why Increase Occurred:</b>        | This increase is the result of various statutory changes.  |
| <b>Change in Burden:</b>             | 71,510,000 hours   |
| <b>Agency:</b>                       | Department of Veterans Affairs   |
| <b>OMB Control Number:</b>           | 2900-0355  |
| <b>Title:</b>                        | Verification of Pursuit of Course (Leading to a Standard College Degree)   |
| <b>Purpose of the Collection:</b>    | VA Form 22-6553 serves to verify the student's enrollment and report of change in enrollment status.   |
| <b>How Reduction Achieved:</b>       | VA is discontinuing this information collection, effective May 31, 2007 as a result of changing Dept. regulations. Schools will continue to submit relevant enrollment information to VA under OMB control number 2900-0074.   |
| <b>Change in Burden:</b>             | -14,000 hours  |
| <b>Agency:</b>                       | Environmental Protection Agency  |
| <b>OMB Control Number:</b>           | 2070-0057  |
| <b>Title:</b>                        | Data Call-Ins for Special Review Chemicals & Registration Review   |
| <b>Purpose of the Collection:</b>    | Section 3(g) of the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA) requires EPA to periodically review pesticide registrations. Recently issued regulations (RIN 2070-AD29) address various procedural aspects of the program. One aspect is calling in data under FIFRA sec. 3(c)(2)(B) that are needed to conduct the review. Each pesticide will be reviewed every 15 years to assure that it |

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|                                      | continues to meet the FIFRA standard for registration and the first group of registration review cases is expected to be initiated in 2007.  |
| <b>Why Increase Occurred:</b>        | Statutory Change   |
| <b>Change in Burden:</b>             | 55,301 hours   |
| <b>Statute Title and Public Law:</b> | Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) (Pub. L. No. 108-199)  |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | To be assigned   |
| <b>Title:</b>                        | Electric Transmission Facilities, (FERC-729)   |
| <b>Purpose of the Collection:</b>    | To issue permits to construct or modify electric transmission facilities in electric transmission corridor under certain circumstances.  |
| <b>Why Increase Occurred:</b>        | Section 1221 of EPOA 2005 amended the Federal Power Act (FPA) to provide for federal siting of electric transmission facilities. Section 216 of the FPA requires that the Secretary of the Energy identify transmission constraints and designate any geographic area experiencing electric energy transmission capacity constraints or congestion that adversely affects consumers as a national interest electric transmission corridor. |
| <b>Change in Burden:</b>             | 96,000 hours   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | To be assigned   |
| <b>Title:</b>                        | Mandatory Reliability Standards for the Bulk-Power System, (FERC-725A)   |
| <b>Purpose of the Collection:</b>    | Enforce the voluntary standards issued by the North American Electric Reliability Council to improve the reliability of the North American Bulk-Power System.  |
| <b>Why Increase Occurred:</b>        | The EPOA 2005 added a new section 215 to the Federal Power Act requiring that a FERC-certified Electric Reliability Organization develop mandatory and enforceable Reliability Standards, which are subject to FERC review and approval. FERC has approved 83 of 107 proposed Reliability Standards.   |
| <b>Change in Burden:</b>             | 200,000 hours and \$19,200,000   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | 1902-0215 and to be assigned   |
| <b>Title:</b>                        | Annual Report of Centralized Service Companies (FERC Form 60) and Preservation of Records for Service Companies Subject to PUHCA 2005 (FERC-555A)  |
| <b>Purpose of the Collection:</b>    | Prescribe uniform accounting requirements and records retention requirements for service companies and holding companies.  |
| <b>Why Increase Occurred:</b>        | Holding and service companies must now generally maintain and make available to FERC their books and records. FERC's is also modifying its Uniform System of Accounts and records retention requirements to adopt the relevant parts of the Securities and Exchange Commission's and provisions of the 2005 Energy Policy Act.   |
| <b>Change in Burden:</b>             | 2,330 hours (1902-0215) and 324,000 hours and \$6,696,000 (To be assigned)   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)   |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>           | 1902-0096 and to be assigned   |
| <b>Title:</b>                        | Electric Rate Schedule Filings (FERC-516) and Report of Transmission Investment Activity, (FERC-730)   |
| <b>Purpose of the Collection:</b>    | Provides price reforms applicable to the entire electric grid to promote greater capital investment.   |
| <b>Why Increase Occurred:</b>        | Section 1241 of The Energy Policy Act of 2005 (EPOA 2005) established incentive-based rates for electrical transmissions by public utilities to improve reliability and reduce cost by reducing transmission congestion.   |
| <b>Change in Burden:</b>             | 6,000 hours (To be assigned) and 45,080 hours (1902-0096)  |

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| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)  |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>           | To be assigned  |
| <b>Title:</b>                        | Gas Pipeline Certificates: Construction, Acquisition and Abandonment, (FERC-537); Gas Pipeline Certificates: Import/Export related (FERC-539); Notification of Request for Federal Authorization and Request for Further Information (FERC-606); Report on Decision or Action on Request for Federal Authorization (FERC-607)   |
| <b>Purpose of the Collection:</b>    | Coordinate federal agencies' approval of proposed new natural gas projects.   |
| <b>Why Increase Occurred:</b>        | Section 313 of EPAct 2005 authorized FERC to coordinate the approval of certain proposed natural gas projects and maintain a consolidated record of decisions of federal authorizations.  |
| <b>Change in Burden:</b>             | 7,727 hours (1902-0060) and 10,423 hours (to be assigned)   |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)  |
| <b>Agency:</b>                       | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>           | 1902-0128   |
| <b>Title:</b>                        | Gas Pipeline Certificates: Environmental Impact Statement (FERC-577) (RM05-31-000) Regulations Implementing the Energy Policy Act of 2005; Pre-Filing Procedures for Review of LNG Terminals and Other Natural Gas Facilities.  |
| <b>Purpose of the Collection:</b>    | Require applicants to begin FERC's pre-filing at least 6 months prior to applying for authorization to site and construct a liquefied natural gas (LNG) terminal.   |
| <b>Why Increase Occurred:</b>        | FERC requires prospective applicants to submit information for pre-filing review of the LNG terminal as well as any natural gas facilities necessary to transport regassified LNG from the terminal to an existing natural gas pipeline.  |
| <b>Change in Burden:</b>             | 14,460 hours  |
| <b>Statute Title and Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)  |
| <b>Agency:</b>                       | Nuclear Regulatory Commission   |
| <b>OMB Control Number:</b>           | 3150-0001 and 3150-0010   |
| <b>Title:</b>                        | 10 CFR 32, Specific Licenses to Manufacture or Transfer Certain Items Containing Byproduct Material and 10 CFR 35, Medical Use of Byproduct Material  |
| <b>Purpose of the Collection:</b>    | To ensure that the new licenses placed under NRC's regulatory authority by the EPAct are licensed and maintain necessary records and reports.   |
| <b>Why Increase Occurred:</b>        | NRC now regulates licensees that operate certain linear accelerators or cyclotrons for the purpose of producing radionuclides, licensees that possess, manufacture, or transfer certain items containing discrete radium-226, and existing licensees that may have additional testing, labeling, or reporting requirements due to their possession of radioactive material that fits the newly expanded definition of byproduct material. |
| <b>Change in Burden:</b>             | 43,019 hours for 10 CFR Part 32 (3150-0001); 25,245 hours for 10 CFR Part 35 (3150-0010)  |
| <b>Statute Title And Public Law:</b> | Energy Policy Act of 2005 (Pub. L. No. 109-58)  |
| <b>Agency:</b>                       | Social Security Administration  |
| <b>OMB Control Number:</b>           | 0960-NEW  |
| <b>Title:</b>                        | Medicare Part B Income-Related Monthly Adjustment Amount  |
| <b>Purpose of the Collection:</b>    | This collection represents the burden posed by multiple provisions of these new Final Rules.  |
| <b>Why Increase Occurred:</b>        | New regulations were written to codify provisions of the Medicare Modernization Act of 2003.  |
| <b>Change in Burden:</b>             | 26,293 hours  |
| <b>Statute Title and Public Law:</b> | Medicare Prescription Drug Improvement and Modernization Act of 2003 (Pub. L. No. 108-173)  |



**Agency:** Social Security Administration  
**OMB Control Number:** 0960-NEW  
**Title:** Medicare Part B Income-Related Premium--Life-Changing Event Form  
**Purpose of the Collection:** SSA will use the information collected by Form SSA-44 to determine if the initial IRS tax data it used to determine a premium increase for a Medicare Part B beneficiary is the most current information available.  
**Why Increase Occurred:** This is a new form required by the provisions of the Medicare Modernization Act of 2003.  
**Change in Burden:** 79,905 hours  
**Statute Title and Public Law:** Medicare Prescription Drug Improvement and Modernization Act of 2003 (Pub. L. No. 108-173)

**Agency:** Social Security Administration  
**OMB Control Number:** 0960-NEW  
**Title:** Representative Payment Policies and Administrative Procedures for Imposing Penalties for false or Misleading Statements or Withholding of Information (Final Rules)  
**Purpose of the Collection:** This collection represents the multiple public reporting burdens for these new rules.  
**Why Increase Occurred:** New rules were written to codify the provisions of the Social Security Protection Act; this increase represents the public reporting burdens cited in those regulations.  
**Change in Burden:** 71,258 hours  
**Statute Title and Public Law:** Social Security Protection Act of 2004 (Pub. L. No. 108-203)

### **FY 2007 Burden Increases from Miscellaneous Actions**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-NEW  
**Title:** General Program Administration  
**Purpose of the Collection:** The Farm Service Agency (FSA) is reengineering and streamlining regulations that will move regulations from 7 CFR Chapter XVIII to 7 CFR Chapter VII affecting parts 761, 764, 765, 766 and 767 for its Farm Loan Programs (FLP). This consolidation will also impact FLPs intertwined with Rural Utilities Service, (RUS) Rural Business & Cooperative Services (RBCS) and Rural Housing Service (RHS). These programs provide supervised credit in the form of loans to family farmers to purchase real estate and equipment and finance agricultural production.  
**Why Increased Occurred:** The consolidation of twelve FSA collections and the transferring of FLP information collected under seven RUS, RBCS and RHS collections will increase as a result of adding additional information, and new collections created by regulations.  
**Change in Burden:** 123,633 hours

**Agency:** Department of Agriculture  
**OMB Control Number:** 0575-0172  
**Title:** Direct Single Family Housing Loan and Grant Programs  
**Purpose of the Collection:** This regulation prescribes the policies and responsibilities necessary to administer the direct sections 502 and 504 programs.  
**Why Increase Occurred:** The Rural Housing Service is implementing a new homeownership education requirement.  
**Change in Burden:** 121,768 hours

**Agency:** Department of Agriculture  
**OMB Control Number:** 0579-NEW  
**Title:** Animal Welfare; Identification of Dogs and Cats  
**Purpose of Collection:** To amend the Animal Welfare regulations regarding identification and recordkeeping requirements for puppies and kittens less than 16 weeks of age.

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| <b>Why Increase Occurred:</b> | This is a new program to help regulated entities safely identify puppies and kittens while eliminating unnecessary duplication of records. APHIS is also changing the regulations in order to update and clarify the identification requirements for dogs and cats.                   |
| <b>Change in Burden:</b>      | 23,370 hours  |
| <b>Agency:</b>                | Department of Agriculture   |
| <b>OMB Control Number:</b>    | 0579-0305   |
| <b>Title:</b>                 | Low Pathogenic Avian Influenza; Voluntary Control Program and Payment of Indemnity  |
| <b>Purpose of Collection:</b> | To implement the National Poultry Improvement Plan for the control of the H5/H7 subtypes of low pathogenic avian influenza in commercial poultry.   |
| <b>Why Increase Occurred:</b> | This is a new program for controlling certain poultry diseases and for improving poultry breeding flocks and products through disease control techniques.   |
| <b>Change in Burden:</b>      | 54,946 hours  |
| <b>Agency:</b>                | Department of Agriculture   |
| <b>OMB Control Number:</b>    | 0579-NEW  |
| <b>Title:</b>                 | National Veterinary Accreditation Program   |
| <b>Purpose of Collection:</b> | The purpose of this collection is to certify private veterinary practitioners to work cooperatively with Federal veterinarians and State animal health authorities to perform competent health certifications and to maintain extensive disease surveillance and monitoring.          |
| <b>Why Increase Occurred:</b> | This is a new program to require accredited veterinarians to complete supplemental training modules and receive written approval from APHIS prior to performing accredited duties in a different State and require renewal of accreditation every 3 years.                            |
| <b>Change in Burden:</b>      | 15,000 hours  |
| <b>Agency:</b>                | Department of Agriculture   |
| <b>OMB Control Number:</b>    | 0579-NEW  |
| <b>Title:</b>                 | Trichinae Certification Program   |
| <b>Purpose of Collection:</b> | The purpose of the collection is to observe the swine housing and feeding facilities, review specific on-farm records and gather responses from the person responsible for care of the swine at the production sites.   |
| <b>Why Increase Occurred:</b> | This is a new program to collect information regarding the methods and production practices used on-farm to produce pork in a more efficient and practical manner.  |
| <b>Change in Burden:</b>      | 32,964 hours  |
| <b>Agency:</b>                | Department of Agriculture   |
| <b>OMB Control Number:</b>    | 0579-NEW  |
| <b>Title:</b>                 | Voluntary Bovine Johne's Disease Control Program (VBJDCP)   |
| <b>Purpose of Collection:</b> | To consistently manage this program across the States using medical records, annual and quarterly reports, laboratory test results and risk assessment and management plans for dairy and beef cattle to assist field veterinary medical officers or Johne's certified veterinarians. |
| <b>Why Increase Occurred:</b> | This is a new program.  |
| <b>Change in Burden:</b>      | 70,515 hours  |
| <b>Agency:</b>                | Department of Agriculture   |
| <b>OMB Control Number:</b>    | 0579-NEW  |
| <b>Title:</b>                 | Import of Tomatoes from Certain Central American Countries  |
| <b>Purpose of Collection:</b> | To amend the regulations governing the import of pink and red tomatoes grown in approved registered production sites in Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, and Panama to be imported into the United States without treatment.                                  |
| <b>Why Increase Occurred:</b> | This is a new program to provide minimum national standards for the control of Johne's disease.   |
| <b>Change in Burden:</b>      | 27,431 hours  |
| <b>Agency:</b>                | Department of Agriculture   |

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| <b>OMB Control Number:</b>        | 0579-NEW  |
| <b>Title:</b>                     | Import of Fruits and Vegetables   |
| <b>Purpose of Collection:</b>     | To amend the fruits and vegetables regulations to list a number of fruits and vegetables from certain parts of the world as eligible, under specific conditions, for importation into the United States.  |
| <b>Why Increase Occurred:</b>     | This is a new program to improve transparency of APHIS' regulations while continuing to protect against the introduction of quarantine pests through imported fruits and vegetables.  |
| <b>Change in Burden:</b>          | 121,541 hours   |
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| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0579-NEW  |
| <b>Title:</b>                     | Swine 2006 Large and Small Enterprises  |
| <b>Purpose of Collection:</b>     | A study questionnaire of health and management practices of the nation's swine industry to measure change over time from previous Swine studies. The information collected through the Swine 2006 study will be analyzed and organized into a descriptive report  |
| <b>Why Increase Occurred:</b>     | This is a new program to investigate current issues and examine general health and management practices of swine used on farms to identify the prevalence of risk factors for reintroduction of diseases in the population at risk.   |
| <b>Change in Burden:</b>          | 11,769 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0579-NEW  |
| <b>Title:</b>                     | Cut Flowers from Countries with Chrysanthemum White Rust  |
| <b>Purpose of Collection:</b>     | To ensure that each shipment of cut flowers is accompanied by a phytosanitary certificate issued by the National Plant Protection Organization of the country of origin that contains an additional declaration stating that the place of production as well as the consignment have been inspected and found free of puccinia horiana. |
| <b>Why Increase Occurred:</b>     | This is a new program to establish specific requirements for the importation of cut flowers that are hosts of chrysanthemum white rust from countries where the disease is known to occur.  |
| <b>Change in Burden:</b>          | 81,704 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0583-New  |
| <b>Title:</b>                     | Performance Standards for the Production of Processed Meat and Poultry Products   |
| <b>Purpose of the Collection:</b> | FSIS is planning to establish food safety performance standards for certain ready-to-eat and all partially heated-treated meat and poultry products.  |
| <b>Why Increase Occurred:</b>     | Because of potential rulemaking establishments producing processed meat and poultry products may have to reassess their hazard analyses and HACCP plans and some may have to institute verification sampling programs.  |
| <b>Change in Burden:</b>          | 74,758 hours  |
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| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0583-New  |
| <b>Title:</b>                     | Nutritional Labeling of Ground or Chopped Meat and Poultry Products and Single Ingredient Products  |
| <b>Purpose of the Collection:</b> | To provide consumers with easily obtainable nutritional information.  |
| <b>Why Increase Occurred:</b>     | New regulation will provide additional nutrition labeling information.  |
| <b>Change in Burden:</b>          | 186,588 hours   |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0583-New  |
| <b>Title:</b>                     | Food Security Plans   |
| <b>Purpose of the Collection:</b> | To ensure that official establishments and plants, warehouses, and import houses have food security plans.  |

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| <b>Why Increase Occurred:</b>     | New regulation will require establishments and plants to develop and maintain a Food Security Plan to help ensure the security of their food production and to safeguard the nation's food supply from the threat of terrorism.   |
| <b>Change in Burden:</b>          | 26,272 hours  |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0583-New  |
| <b>Title:</b>                     | Electronic Animal Disease Reporting System  |
| <b>Purpose of the Collection:</b> | FSIS uses this information to plan inspection activities, to develop sampling plans for testing, to target establishments for testing, for Agency budget planning, and in its reports to Congress.  |
| <b>Why Increase Occurred:</b>     | FSIS is requesting a new information collection of establishments by shift of plant slaughter totals in number of heads and weight by animal category.  |
| <b>Change in Burden:</b>          | 23,180 hours  |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0584-NEW  |
| <b>Title:</b>                     | WIC Food Packages   |
| <b>Purpose of Collection:</b>     | To require medical documentation for the issuance of supplemental foods as specified in WIC food packages regulations.  |
| <b>Why Increase Occurred:</b>     | Regulations are being revised to align the WIC Food Packages with the 2005 Dietary Guidelines for Americans.  |
| <b>Change in Burden:</b>          | 14,598 hours  |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0584-NEW  |
| <b>Title:</b>                     | Data Collection Related to Institutions and Organizations   |
| <b>Purpose of Collection:</b>     | The purpose is allow FNS to identify the faith-based and community organizations participating in Federal nutrition assistance programs, determine the level of their participation, ensure that FNS' programs are open to all eligible organizations, and evaluate the effectiveness of its technical assistance and outreach efforts. |
| <b>Why Increase Occurred:</b>     | In effort to fulfill its responsibilities under E.O.'s 13279 13280, and 13279, State agencies will submit data for organizations that signed an agreement with the State agencies to participate in FNS' nutrition assistance programs during 2006 through 2009.  |
| <b>Change in Burden:</b>          | 131,966 hours   |
| <b>Agency:</b>                    | Department of Agriculture   |
| <b>OMB Control Number:</b>        | 0596-New  |
| <b>Title:</b>                     | Public Attitudes, Beliefs, and Values About National Forest System Land Management  |
| <b>Purpose of the Collection:</b> | To provide the Forest Service Southwestern Region natural forest land managers and planners with scientifically credible information and analytical results from a broad and diverse representation of the public, as well as from specific stakeholder groups related to national forest/grassland use and management.                 |
| <b>Why Increase Occurred:</b>     | New collection. The information and analytical results will assist and support the Forest Plan revision process under the NFMA Planning Rule.   |
| <b>Change in Burden:</b>          | 10,101 hours  |
| <b>Agency:</b>                    | Department of Commerce  |
| <b>OMB Control Number:</b>        | 0651-0012   |
| <b>Title:</b>                     | Admittance to Practice and Roster of Registered Patent Attorneys and Agents Admitted to Practice Before the United States Patent and Trademark Office   |
| <b>Purpose of the Collection:</b> | Applicants use this collection to apply for the examination for registration and to demonstrate their eligibility to be a registered attorney or agent before the USPTO.  |

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| <b>Why Increase Occurred:</b>     | New requirements and forms for the registration of practitioners.  |
| <b>Change in Burden:</b>          | 62,234 hours   |
| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0651-0031  |
| <b>Title:</b>                     | Patent Processing (Updating)   |
| <b>Purpose of the Collection:</b> | Permits the submission of additional information during the pendency of a patent application or the period of enforceability of a patent so that the USPTO can continue processing the patent or application.  |
| <b>Why Increase Occurred:</b>     | Additional costs related to new requirements and increased fees for existing requirements based on fluctuations in the Consumer Price Index (CPI).   |
| <b>Change in Burden:</b>          | \$14,122,315   |
| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0651-0032  |
| <b>Title:</b>                     | Initial Patent Application   |
| <b>Purpose of the Collection:</b> | Allows applicants to submit initial filing and other applications to the USPTO to obtain patents.  |
| <b>Why Increase Occurred:</b>     | Introduction of electronic filing for design applications and additional costs associated with the electronic filing option.   |
| <b>Change in Burden:</b>          | 66,220 hours and \$8,630,555   |
| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0607-0932  |
| <b>Title:</b>                     | 2007 Economic Census, Commodity Flow Survey (CFS)  |
| <b>Purpose of the Collection:</b> | The 2007 CFS provides a crucial, unique set of transportation statistics. Results will show the origin, destination, mode of transportation, value, weight, ton-miles, and average miles per shipment of commodities. These data are needed by transportation decision makers at the Federal and local levels to analyze, maintain, and improve the transportation infrastructure. |
| <b>Why Increase Occurred:</b>     | The collection was submitted as new during FY 2007.  |
| <b>Change in Burden:</b>          | 800,000 hours  |
| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0607-0422  |
| <b>Title:</b>                     | Service Annual Survey  |
| <b>Purpose of the Collection:</b> | The survey produces estimates of revenue and expenses, and, for selected industries, products, inventories, and revenue from exported services. These data are needed for national income accounting, productivity, and price measurement. The program addresses the highest priority needs of multiple Federal agencies and private users.  |
| <b>Why Increase Occurred:</b>     | The Census Bureau has increased the sample size and expanded expense detail items.   |
| <b>Change in Burden:</b>          | 37,420 hours   |
| <b>Agency:</b>                    | Department of Commerce   |
| <b>OMB Control Number:</b>        | 0607-0175  |
| <b>Title:</b>                     | Survey of Plant Capacity Utilization   |
| <b>Purpose of the Collection:</b> | This survey collects data on plant capacity from a sample of manufacturing and publishing establishments in the United States. The data are used by the Federal Reserve Board to measure inflationary pressure, capital changes, and capacity growth. Data are also used by the Defense Logistics Agency to assess demands on plant capacity in case of a national emergency.      |
| <b>Why Increase Occurred:</b>     | Census modified the survey to include a quarterly collection.  |
| <b>Change in Burden:</b>          | 36,000 hours   |

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-NEW  
**Title:** American Community Survey 2007 Methods Panel  
**Purpose of the Collection:** The methods panel is a test of proposed new content and cost containment strategies in the American Community Survey.  
**Why Increase Occurred:** The collection was submitted as new during FY 2007.  
**Change in Burden:** 46,000 hours

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-0810  
**Title:** American Community Survey  
**Purpose of the Collection:** The American Community Survey collects accurate and timely socioeconomic and housing information historically collected during the decennial census.  
**Why Increase Occurred:** Census has re-estimated the sample size based on U.S. population growth.  
**Change in Burden:** 77,090 hours

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-NEW  
**Title:** 2008 Census Dress Rehearsal  
**Purpose of the Collection:** This is a final test of the forms and procedures to be used in conducting the 2010 Decennial Census.  
**Why Increase Occurred:** This collection will be submitted as new during FY 2007  
**Change in Burden:** 33,800 hours

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-0151  
**Title:** Boundary and Annexation Survey  
**Purpose of the Collection:** This survey is used to collect and maintain information about the boundaries, names, and governmental status of counties and equivalent entities, minor civil divisions (MCDs), municipalities, Federally recognized American Indian (AI) reservations, and off-reservation trust lands, and Alaska Native Regional Corporations, and to provide accurate identification of these geographic areas for the decennial and economic censuses, other statistical programs of the Census Bureau, and legislative programs of the Federal Government.  
**Why Increase Occurred:** The increase in burden reflects the Census Bureau's varying requirements to collect this information throughout the decade for its censuses, household surveys, and partnerships.  
**Change in Burden:** 117,500 hours

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-0452  
**Title:** Government Employment Forms  
**Purpose of the Collection:** This program provides government employment and wage data for state and local governments. The data are used to monitor the government sector of the economy and to formulate, develop, and review public policy. Federal agencies, state and local governments and the private sector use these data.  
**Why Increase Occurred:** The forms contained in this information collection are used to conduct both the annual government employment survey and once every five years to collect information from the universe of state and local governments. The increase in burden reflects the broader collection of the five-year census including state and local governments.  
**Change in Burden:** 68,396 hours

**Agency:** Department of Commerce

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| <b>OMB Control Number:</b>        | 0607-0585   |
| <b>Title:</b>                     | Government Finance Forms  |
| <b>Purpose of the Collection:</b> | This program provides government finance data for state and local governments. The Census Bureau provides these data to the Federal Reserve Board for constructing the Nation's Flow of Funds Accounts, and the Bureau of Economic Analysis for their National Income and Product Accounts. The data is also used to monitor the government sector of the economy, and to formulate, develop, and review public policy. Federal agencies, state and local governments, and the private sector all use these data. |
| <b>Why Increase Occurred:</b>     | The forms contained in this information collection are used to conduct both the annual government finance survey and once every five years to collect information from the universe of state and local governments. The increase in burden reflects the broader collection of the five-year census including state and local governments.   |
| <b>Change in Burden:</b>          | 97,869 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1810-0662   |
| <b>Title:</b>                     | Migrant Education Program (MEP) Proposed Regulations  |
| <b>Purpose of the Collection:</b> | The Department will propose regulations to establish the minimum requirements a Stated Education Agency (SEA) must meet for development of a comprehensive needs assessment and plan for service delivery.  |
| <b>Why Increase Occurred:</b>     | New regulations will require specific data on the eligibility of migrant children.  |
| <b>Change in Burden:</b>          | 511,164 hours   |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1810-NEW  |
| <b>Title:</b>                     | Migrant Student Information Exchange (MSIX)   |
| <b>Purpose of the Collection:</b> | To collect educational and health information on students whose parents' migrant work necessitates movement among districts or states each school year and facilitate an interstate data exchange.  |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 80,000 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1830-NEW  |
| <b>Title:</b>                     | Native American Career Technical Education Program  |
| <b>Purpose of the Collection:</b> | To collect evaluation data and semi-annual performance data to analyze project accomplishments and comply with relevant legislation.  |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 12,400 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1840-NEW  |
| <b>Title:</b>                     | Business and International Education  |
| <b>Purpose of the Collection:</b> | To collect data from institutions of higher education on the capacity for international education and training for U.S. business personnel in various stages of professional development.   |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 10,000 hours  |
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| <b>Agency:</b>                    | Department of Education   |

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| <b>OMB Control Number:</b>        | 1840-NEW  |
| <b>Title:</b>                     | Undergraduate International Studies and Foreign Language  |
| <b>Purpose of the Collection:</b> | To assist institutions of higher education in developing and carrying out programs to strengthen and improve undergraduate instruction in international studies and foreign languages.  |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 10,000 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1850-0768   |
| <b>Title:</b>                     | National Household Education Surveys (NHES)   |
| <b>Purpose of the Collection:</b> | Three topical surveys of NHES will be conducted in NHES: 2007: School Readiness (SR), Parent and Family Involvement in Education (PFI), and Adult Education for Work-Related Reasons (AEWR).  |
| <b>Why Increase Occurred:</b>     | The increase is a result of moving from the field test to the full-scale study.   |
| <b>Change in Burden:</b>          | 16,000 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1865-NEW  |
| <b>Title:</b>                     | Information Collection from State Grants Program on Youth Violence  |
| <b>Purpose of the Collection:</b> | To collect data from State Education Agencies (SEAs) about prevalence of violence and drug use among our nation's youth.  |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 11,200 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Education   |
| <b>OMB Control Number:</b>        | 1875-NEW  |
| <b>Title:</b>                     | Guidance on Maintaining, Collecting and Reporting Data on Race and Ethnicity  |
| <b>Purpose of the Collection:</b> | The Department of Education plans to issue final guidance for data on race and ethnicity, to align with OMB's 1997 standards. This guidance will provide educational institutions and other recipients of grants and contracts with straightforward instructions for collection and reporting of data on race and ethnicity.  |
| <b>Why Increase Occurred:</b>     | Some States and educational institutions may choose to conduct a one-time re-identification to comply with the guidance.  |
| <b>Change in Burden:</b>          | TBD   |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Microbiology Draft Guidance for Industry: Guide to Minimize Food Safety Hazards of Fresh-Cut Fruits and Vegetables  |
| <b>Purpose of the Collection:</b> | The methods by which produce is grown, harvested, and processed may contribute to its contamination with pathogens and, consequently, the role of the food in transmitting foodborne illness. In response to the increased consumption of fresh-cut fruits and vegetables and the potential for foodborne illness associated with these products, FDA recognizes the need for guidance specific to the processing of fresh-cut fruits and vegetables. |
| <b>Why Increase Occurred:</b>     | New guidance.   |
| <b>Change in Burden:</b>          | 41,605 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Draft Guidance for Industry: Control of <i>Listeria monocytogenes</i> in Refrigerated or Frozen Ready-to-Eat Foods  |



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| <b>Purpose of the Collection:</b> | <u>L. monocytogenes</u> is a pathogenic bacterium that is widespread in the environment and thus may be introduced into a food processing facility. <u>L. monocytogenes</u> can contaminate foods and cause a mild illness (called listerial gastroenteritis) or a severe, sometimes life-threatening, disease (called invasive listeriosis). The draft <u>Listeria</u> guidance, when finalized, will complement FDA's CGMP regulations in 21 CFR part 110 by providing specific guidance on the control of <u>L. monocytogenes</u> in the processing of RF-RTE foods.                       |
| <b>Why Increase Occurred:</b>     | New guidance.   |
| <b>Change in Burden:</b>          | 464,963 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Draft Voluntary National Retail Food Regulatory Program Standards   |
| <b>Purpose of the Collection:</b> | The Program Standards were developed to address the need for national uniformity among retail food regulatory programs, to promote uniform application of the FDA Food Code, and to reduce the occurrence of foodborne illness risk factors. The Program Standards were developed with input from state, tribal, and local regulatory authorities and are intended to help those authorities design and manage a retail food regulatory program that is focused on the reduction of foodborne illness risk factors.   |
| <b>Why Increase Occurred:</b>     | New program standards document.   |
| <b>Change in Burden:</b>          | 78,500 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Survey of Current Manufacturing Practices in the Food Industry  |
| <b>Purpose of the Collection:</b> | FDA plans to conduct an Internet survey of all FDA-registered facilities that primarily manufacture/process food products, supplemented by extended case study interviews with selected respondents from the survey. The Internet survey will solicit information on five (5) key issues relevant to the food CGMPs modernization effort: employee training, sanitation and personal hygiene, allergen controls, process controls, and recordkeeping.   |
| <b>Why Increase Occurred:</b>     | New data collection.  |
| <b>Change in Burden:</b>          | 26,558 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Human Subject Protection; Foreign Clinical Studies Not Conducted Under an IND Final Rule  |
| <b>Purpose of the Collection:</b> | Use of foreign clinical studies in drug marketing approval applications.  |
| <b>Why Increase Occurred:</b>     | New rulemaking requires additional data collection.   |
| <b>Change in Burden:</b>          | 18,400 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0910-NEW  |
| <b>Title:</b>                     | Requirements for Human Blood and Blood Components Intended for Transfusion or For Further Manufacturing Use   |
| <b>Purpose of the Collection:</b> | The information collected by blood collecting establishments would be used, by the collecting establishment, to determine the eligibility of every donor to donate and the suitability of every donation for use in transfusion or for further manufacturing. FDA is taking this action to help ensure the safety the national blood supply and to help protect donor health by requiring collecting establishments evaluate donors for factors that may adversely affect the safety, purity, and potency of human blood and blood components or the health of a during the donation process. |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 1,196,869 hours   |

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-NEW  
**Title:** Substances Prohibited From Use in Animal Food or Feed; Final Rule  
**Purpose of the Collection:** FDA is amending its regulations to prohibit the use of certain cattle origin materials in the food or feed of all animals. These measures will further strengthen existing safeguards designed to help prevent the spread of bovine spongiform encephalopathy (BSE) in U.S. cattle.  
**Why Increase Occurred:** This final rule is being promulgated to strengthen animal feed protection in the United States and further decrease the already low risk of the spread of BSE if it were introduced into this country.  
**Change in Burden:** 64,940 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-NEW  
**Title:** CGMPs for Positron Emission Tomography drugs Final Rule  
**Purpose of the Collection:** Section 121 of the FDA Modernization Act directs FDA to establish CGMP requirements for PET drugs.  
**Why Increase Occurred:** New rulemaking requires additional data collection.  
**Change in Burden:** 90,191 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-NEW  
**Title:** Expanded Access to Investigational Drugs for Treatment Use Proposed Rule  
**Purpose of the Collection:** Amend the IND regulations to describe the way patients may obtain investigational drugs for treatment use.  
**Why Increase Occurred:** New rulemaking requires additional data collection.  
**Change in Burden:** 19,172 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-NEW  
**Title:** Content and Format of Labeling for Human Prescription Drug and Biological Products; Requirements for Pregnancy and Lactation Labeling Proposed Rule  
**Purpose of the Collection:** Amend regulations concerning the format and content of the "Pregnancy," "Labor and Delivery," and "Nursing mothers" subsections of the "Use in Specific Populations" section of the labeling for human prescription drug and biological products.  
**Why Increase Occurred:** New rulemaking requires additional data collection.  
**Change in Burden:** 140,848 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0460  
**Title:** Current Good Manufacturing Practice for Blood and Blood Components; Notification of Consignees and Transfusion Recipients Receiving Blood and Blood Components at Increased Risk of Transmitting HCV Infection (Lookback); Final Rule  
**Purpose of the Collection:** The purpose of the final rule is to help ensure the continued safety of the blood supply. This collection of information provides important information to consignees and/or recipients of prior collections of blood and blood components from a donor who later returned to donate and tested repeatedly reactive for antibody to HIV or HCV.  
**Why Increase Occurred:** New Collection.  
**Change in Burden:** 495,310 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0920-0607  
**Title:** National Violent Death Reporting System  
**Purpose of the Collection:** This is a data collection continuation. It will establish and/or maintain state violent death information collection systems.  
**Why Increase Occurred:** New collection  
**Change in the Burden:** 25,000 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0920-0666  
**Title:** National Healthcare Safety Network (NHSN)  
**Purpose of the Collection:** The National Healthcare Safety Network will combine 3 surveillance systems into one. The data will be used to determine the magnitude of various healthcare-associated adverse events and trends in the rates of these events among patients and healthcare personnel with similar risks and exposures. Data will be gathered on antibiotic resistance to describe the epidemiology and to understand therapies.  
**Why Increase Occurred:** Change due to addition of forms.  
**Change in the Burden:** 10,780 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0920-NEW  
**Title:** National Centers for Autism and Developmental Disabilities Research and Epidemiology (CADDRE) Study  
**Purpose of the Collection:** The Children's Health Act of 2000 mandated CDC to establish autism surveillance and research programs to address the number, incidence, correlates, and causes of autism and related disabilities.  
**Why Increase Occurred:** New collection  
**Change in the Burden:** 27,648 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0920-NEW  
**Title:** National HIV Behavioral Surveillance System  
**Purpose of the Collection:** The National HIV Behavioral Surveillance System (NHBS) is CDC's new most comprehensive system for conducting behavioral surveillance among persons at highest risk for HIV infection in the United States. The overall strategy for NHBS involves conducting rotating 12-month cycles of surveillance in three different populations at high risk for HIV: men who have sex with men (MSM), injection drug users (IDU) and heterosexuals at risk (HET).  
**Why Increase Occurred:** New collection  
**Change in the Burden:** 15,625 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0923-NEW  
**Title:** Development of a Site Specific Evaluation Protocol for Behavior Outcome Measurement  
**Purpose of the Collection:** ATSDR considers evaluation to be a critical component for enhancing program effectiveness and improving resource management. A standardized methodology to monitor outcomes associated with agency intervention will provide the data needed for demonstrating effectiveness and efficiency as well as identifying areas for improvement.  
**Why Increase Occurred:** New collection  
**Change in the Burden:** 27,250 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0930-NEW

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| <b>Title:</b>                     | The Consumer Level National Outcome Measures(NOMs)  |
| <b>Purpose of the Collection:</b> | This data activity is to provide a level of consistency with the NOMs domain in SAMHSA-wide data collection and reporting of performance measures across all of its Centers and programs. This particular activity will promote the use of consistent measures among Center Mental Health Services (CMHS) programs, grantees and contractors funded through the Programs of Regional and National Significance (PRNS) and the Children's Mental Health Initiative (CMHI) budget lines.  |
| <b>Why Increase Occurred:</b>     | This is a new project.  |
| <b>Change in Burden:</b>          | 14,294 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0930-NEW  |
| <b>Title:</b>                     | The Safe Schools/Healthy Students (SS/HS) National Evaluation   |
| <b>Purpose of the Collection:</b> | This information is to be collected annually via the School Climate Survey as an integral part of the congressionally mandated national cross-site evaluation of the SS/HS Initiative. This Initiative, instituted by Congress following the murderous assaults at Columbine High School in Colorado, is designed to provide LEAs, including school districts and multidistrict regional consortia, with 3 years of funding to simultaneously improve school safety, student access to mental health services, the reduction of violence and substance abuse, school relationships with the larger community, and early childhood preparation for learning. |
| <b>Why Increase Occurred:</b>     | This is a new project.  |
| <b>Change in Burden:</b>          | 33,750 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0930-0280   |
| <b>Title:</b>                     | National Evaluation of the Comprehensive Community Mental Health Services for Children and Their Families Program: Phase V  |
| <b>Purpose of the Collection:</b> | Under this program, CMHS has funded 5- and 6-year grants and cooperative agreements to States and locales to expand the array and capacity of services for children with serious emotional disturbance. The Phase V evaluation has nine study components that will be conducted with all grantees. These study components collect on a major nationwide initiative serving thousands of children and their families. These data used for the National Evaluation as well as for local evaluations by the grantees. The Phase V studies include 9 survey instruments.  |
| <b>Why Increase Occurred:</b>     | This is a new project.  |
| <b>Change in Burden:</b>          | 23,280 hours  |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-NEW  |
| <b>Title:</b>                     | Medicare and Medicare Advantage Programs; Notification Procedures for Hospital Discharges—Generic Notice of Hospital Non-Coverage—Detailed Explanation of Hospital Non-Coverage.  |
| <b>Purpose of the Collection:</b> | Per requirements at proposed §§405.1206 and 422.622, hospitals and Medicare Advantage plans must deliver detailed notices to the QIO and beneficiaries/enrollees upon request for an expedited review of a discharge decision   |
| <b>Why Increase Occurred:</b>     | The requirements of the proposed rule (CMS-4109-P) provide for an expedited appeal when a Medicare beneficiary who is a hospital inpatient receives a notice of discharge from a hospital. The rule allows beneficiaries to request an expedited determination by a Quality Improvement Organization (QIO) on whether such services should continue.  |
| <b>Change in Burden:</b>          | 1,416,498 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services   |
| <b>OMB Control Number:</b>        | 0938-0688   |
| <b>Title:</b>                     | Conditions of Coverage for Organ Procurement  |

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| <b>Purpose of the Collection:</b> | Organizations (OPOs) and Supporting Regulations in 42 CFR 486.304, 486.306, 486.307, 486.310, 486.316, 486.218, and 486.325<br>Organ Procurement Organizations are required to submit accurate data to CMS concerning its organ procurement activities, as well as various OPO business activities, including information on its designated service area; structure; various policies, procedures, and protocols; and its quality assessment and performance improvement (QAPI) program. |
| <b>Why Increase Occurred:</b>     | The ICR implements the new requirements placed on OPOS, as stated in the regulation CMS-3064-F. Collection of this information is necessary for CMS to assess the effectiveness of each OPO and determine whether it should continue to be certified and designated as an OPO by the Secretary or replaced by an OPO that can more effectively procure organs within the service area.   |
| <b>Change in Burden:</b>          | 21,309 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0970-0229  |
| <b>Title:</b>                     | Third Grade Follow-Up to the Head Start Impact Study   |
| <b>Purpose of the Collection:</b> | Examine the degree to which impacts of Head Start on initial school readiness are altered, maintained or perhaps expanded by children's school experiences.  |
| <b>Why Increase Occurred:</b>     | Collection reinstated with the same burden hours that was requested in the original clearance.   |
| <b>Change in Burden:</b>          | 11,308 hours   |
| <b>Agency:</b>                    | Department of Health and Human Services  |
| <b>OMB Control Number:</b>        | 0970-NEW   |
| <b>Title:</b>                     | Evaluation of Head Start Oral Health Initiative  |
| <b>Purpose of the Collection:</b> | Purpose is to determine the magnitude and characteristics of untreated dental disease. The consequences are that it can impede children's ability to eat, speak, and learn and often has a lifelong negative impact on overall health.   |
| <b>Why Increase Occurred:</b>     | New collection.  |
| <b>Change in Burden:</b>          | 10,062 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Number:</b>                | 1652-NEW   |
| <b>Title:</b>                     | Traveler Identity Verification Form  |
| <b>Purpose of the Collection:</b> | So that individuals who have a name similarity to individual on a TSA watch list, and are thus negatively affected when traveling aboard commercial aircraft, can distinguish themselves from the actual individual on a TSA watch list; helps streamline and expedite future check-in experiences.  |
| <b>Why Increase Occurred:</b>     | This is a new program.   |
| <b>Change in Burden:</b>          | 26,000 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Number:</b>                | 1651-0101  |
| <b>Title:</b>                     | FY 2003 State Domestic Preparedness Program  |
| <b>Purpose of the Collection:</b> | To report current jurisdictional needs for equipment, training exercises, and technical assistance; forecast projected needs for this support; and identify gaps at the jurisdictional level   |
| <b>Why Increase Occurred:</b>     | ODP will reinstate this collection in FY2007 and submit a transfer request.  |
| <b>Change in Burden:</b>          | 12,242 hours   |
| <b>Agency:</b>                    | Department of Homeland Security  |
| <b>OMB Number:</b>                | 1670-NEW   |
| <b>Title:</b>                     | Staffing for Adequate Fire and Emergency Response (SAFER)  |

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| <b>Purpose of the Collection:</b> | Information sought under this collection will comprise the grant application for the SAFER grant program. This collection is necessary in order for DHS to effectively implement a competitive grant program. |
| <b>Why Increase Occurred:</b>     | This is a new program.  |
| <b>Change in Burden:</b>          | 77,200 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Homeland Security   |
| <b>OMB Number:</b>                | 1660-0054   |
| <b>Title:</b>                     | Application for Assistance to Firefighters Grants   |
| <b>Purpose of the Collection:</b> | Information sought under this collection will comprise the grant application for the SAFER grant program. This collection is necessary in order for DHS to effectively implement a competitive grant program. |
| <b>Why Increase Occurred:</b>     | ODP will reinstate this collection in FY2007 and submit a transfer request.   |
| <b>Change in Burden:</b>          | 328,000 hours and \$4,920,000.  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Homeland Security   |
| <b>OMB Control Number:</b>        | 1660-NW23 (FEMA Temporary ICR Number)   |
| <b>Title:</b>                     | Property Acquisition and Relocation for Open Space.   |
| <b>Purpose of the Collection:</b> | FEMA and State/local grant recipients will use this information to monitor and enforce the open space requirements for all properties acquired with FEMA mitigation grants.                                   |
| <b>Why Increase Occurred:</b>     | This is a new information collection request.   |
| <b>Change in Burden:</b>          | 20,574 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Interior  |
| <b>OMB Control Number:</b>        | 1010-New  |
| <b>Title:</b>                     | 30 CFR 250, Subparts A, I and J   |
| <b>Purpose of the Collection:</b> | These proposed changes would allow MMS to better regulate the safety of the oil and gas infrastructure and to promptly assess damage resulting from hurricanes or other natural phenomena. (1010-AD18)        |
| <b>Why Increase Occurred:</b>     | New collection due to rule writing.   |
| <b>Change in Burden:</b>          | 87,347 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Interior  |
| <b>OMB Control Number:</b>        | 1010-New  |
| <b>Title:</b>                     | 30 CFR 250, Subpart J, Pipelines and Rights-of-Way  |
| <b>Purpose of the Collection:</b> | This collection provides information to MMS to ensure pipeline installation, maintenance, and operations are performed safely. (1010-AD11)  |
| <b>Why Increase Occurred:</b>     | Complete revision of existing regulations (will supersede 1010-0050 when the rule becomes effective.)   |
| <b>Change in Burden:</b>          | 330,784 hours   |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Interior  |
| <b>OMB Control Number:</b>        | 1010-New  |
| <b>Title:</b>                     | 30 CFR 250, Subpart K, Production Rates   |
| <b>Purpose of the Collection:</b> | This collection provides information to Minerals Management Service to ensure conservation of resources, prevention of waste, and protection of correlative rights. (1010-AD12)                               |
| <b>Why Increase Occurred:</b>     | Complete revision of existing regulations (will supersede 1010-0041 when the rule becomes effective).   |
| <b>Change in Burden:</b>          | 43,127 hours  |
| <br>                              |   |
| <b>Agency:</b>                    | Department of Interior  |
| <b>OMB Control Number:</b>        | 1076-0136   |

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| <b>Title:</b>                     | Indian Self-Determination and Education Assistance Act Programs   |
| <b>Purpose of the Collection:</b> | The information collected allows tribes to contract to manage programs normally provided by the Bureau of Indian Affairs.   |
| <b>Why Increase Occurred:</b>     | The program also allows tribes to combine several programs in one contract. This has reduced the number, but the burden has increased because of the complexity involved.   |
| <b>Change in Burden:</b>          | 28,618 hours  |
| <b>Agency:</b>                    | Department of Justice   |
| <b>OMB Control Number:</b>        | 1103 - NEW  |
| <b>Title:</b>                     | COPS Knowledge Resource Surveys   |
| <b>Purpose of the Collection:</b> | Customer satisfaction survey  |
| <b>Why Increase Occurred:</b>     | To improve knowledge resource products.   |
| <b>Change in Burden:</b>          | 14,609 hours  |
| <b>Agency:</b>                    | Department of Labor   |
| <b>OMB Control Number:</b>        | 1218-0253 (New)   |
| <b>Title:</b>                     | Electric Power Transmission and Distribution Standard for Construction and Electrical Protective Equipment  |
| <b>Purpose of the Collection:</b> | OSHA's final Standard, <i>inter alia</i> , will update the existing standard for the construction of electric power transmission and distribution installations making it consistent with the more recently promulgated general industry standard addressing the maintenance and repair of electric power generation, transmission, and distribution lines and equipment. |
| <b>Why Increase Occurred:</b>     | New collection.   |
| <b>Change in Burden:</b>          | 122,276 hours   |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2120-New  |
| <b>Title:</b>                     | Enhanced Airworthiness Program for Airplane Systems/Fuel Tank Safety (EAPAS/FTS)  |
| <b>Purpose of the Collection:</b> | The rule consists of regulatory changes applying to wiring systems and fuel tank systems in transport category airplanes.   |
| <b>Why Increase Occurred:</b>     | New agency rule   |
| <b>Change in Burden:</b>          | 113,899 hours   |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2120-New  |
| <b>Title:</b>                     | Special Awareness Training for the Washington DC Metropolitan Area  |
| <b>Purpose of the Collection:</b> | This information collection is required for compliance with the direct final rule that establishes training and testing requirements for pilots who fly under Visual Flight Rules within 100 nautical miles of the Washington DC area.  |
| <b>Why Increase Occurred:</b>     | New agency rule   |
| <b>Change in Burden:</b>          | 20,531 hours  |
| <b>Agency:</b>                    | Department of Transportation  |
| <b>OMB Control Number:</b>        | 2120-New  |
| <b>Title:</b>                     | National Air Tour Safety Standards  |
| <b>Purpose of the Collection:</b> | This final rule sets safety and oversight rules for a broad variety of sightseeing and commercial air tour flights.   |
| <b>Why Increase Occurred:</b>     | New agency rule   |
| <b>Change in Burden:</b>          | 33,576 hours  |
| <b>Agency:</b>                    | Department of Transportation  |

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| <b>OMB Control Number:</b>        | 2120-New   |
| <b>Title:</b>                     | Extended Operations (ETOPS) of Multi-Engine Airplanes  |
| <b>Purpose of the Collection:</b> | Rule will codify current practices that permit certificated air carriers to operate two-engine airplanes over long-range routes  |
| <b>Why Increase Occurred:</b>     | New agency rule  |
| <b>Change in Burden:</b>          | 152,330 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Transportation/FMCSA   |
| <b>OMB Control Number:</b>        | 2126-0011  |
| <b>Title:</b>                     | Commercial Driver Licensing and Test Standards   |
| <b>Purpose of the Collection:</b> | This information collection is used to ensure that only qualified drivers are issued a commercial driver's license (CDL) and that problem drivers are removed from our Nation's highways.  |
| <b>Why Increase Occurred:</b>     | FMCSA will revise this information collection due to an increase in the number of CDL driver records from 11.3 to 12.8 million and the need to add three information collection requirements.  |
| <b>Change in Burden:</b>          | 127,792 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2130-0035  |
| <b>Title:</b>                     | Railroad Operating Rules   |
| <b>Purpose of Collection:</b>     | To enhance rail safety and drive down the number and severity of accidents/incidents and corresponding injuries, fatalities, and property damage caused by human factors, such as employees improperly setting hand-operated main track switches in the daily operation of the nation's railroads.   |
| <b>Why Increase Occurred:</b>     | Expanded regulatory requirements (NPRM) ( <i>see</i> 49 CFR 217) to improve railroad employees' familiarity (training) and compliance with their railroad's operating rules.   |
| <b>Change in Burden:</b>          | 3,044,921 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2130-0562  |
| <b>Title:</b>                     | Occupational Noise Exposure for Railroad Operating Employees   |
| <b>Purpose of Collection:</b>     | To ensure that covered railroads establish and implement – by specified dates – noise monitoring, hearing conservation, and audiometric testing programs to protect their employees against the damaging and potentially dangerous effects of excessive noise in the everyday rail work environment.   |
| <b>Why Increase Occurred:</b>     | Expanded regulatory requirements (Final Rule) ( <i>see</i> 49 CFR 227 and 229) to protect the safety and health of railroad employees.   |
| <b>Change in Burden:</b>          | 44,178 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of Transportation   |
| <b>OMB Control Number:</b>        | 2137-New   |
| <b>Title:</b>                     | Distribution Integrity Management Program (DIMP)   |
| <b>Purpose of the Collection:</b> | PHMSA proposes to revise Pipeline Safety Regulations to require operators of gas distribution pipelines to develop and implement pipeline system integrity improvements. The proposed regulations would require distribution pipeline operators to implement a Distribution Integrity Management Program with elements similar to those required for gas transmission pipelines. |
| <b>Why Increase Occurred:</b>     | Pipeline operators would be required to evaluate risks to and performance of the gas distribution system, and add the assessment to existing annual reports to PHMSA.  |
| <b>Change in Burden:</b>          | 680,046 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1506-0003  |



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| <b>Title:</b>                     | Currency Transaction Report by Casinos – (CTR-C)   |
| <b>Purpose of the Collection:</b> | Reporting of suspicious transaction involving more than \$10,000 in cash in any Nevada casino that qualifies as a 6A licensee.   |
| <b>Why Increase Occurred:</b>     | Increased the frequency of reporting by Nevada 6A casinos.   |
| <b>Change in Burden:</b>          | 21,201 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1506-0004  |
| <b>Title:</b>                     | Currency Transaction Report (CTR)  |
| <b>Purpose of the Collection:</b> | Reports filed by financial institutions on transactions involving more than \$10,000.  |
| <b>Why Increase Occurred:</b>     | Increased the frequency of reporting by financial institutions.  |
| <b>Change in Burden:</b>          | 1,289,995 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1545-NEW   |
| <b>Title:</b>                     | Form 8913, Credit for Federal Telephone Excise Tax Paid  |
| <b>Purpose of the Collection:</b> | IRS issued Notice 2006-50 stating “taxpayers may be entitled to request a credit or refund of the federal excise tax on nontaxable telephone service.” The refund period is for nontaxable service billed after February 28, 2003 and before August 1, 2006. The credit or refund must be claimed on a 2006 income tax return.                                   |
| <b>Why Increase Occurred:</b>     | IRS authored new Form 8913 to allow taxpayers to compute the actual amount of refund for each month of the 14 refund periods. Taxpayers must also calculate the interest due on the refund. Factors have been provided for each refund period. The tax and interest is combined on Form 8913 and one amount is transferred to the appropriate income tax return. |
| <b>Change in Burden:</b>          | 67,600,000 hours   |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1545-0074  |
| <b>Title:</b>                     | U.S. Individual Income Tax Return  |
| <b>Purpose of the Collection:</b> | For individuals to file their federal income tax return.   |
| <b>Why Increase Occurred:</b>     | This increase is the result of a procedural agency changes.  |
| <b>Change in Burden:</b>          | 1,100,000 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1545-0099  |
| <b>Title:</b>                     | Form 1065, U.S. Return of Partnership Income   |
| <b>Purpose of the Collection:</b> | This form is an information reporting document used by partnerships to report the income of every domestic partnership (syndicates, groups, pools, joint ventures, etc.) and every foreign partnership doing business in the U.S. or receiving income from U.S. sources.   |
| <b>Why Increase Occurred:</b>     | IRS created the new Schedule M-3 which is filed with Form 1065 and ask certain questions about the partnership’s financial statements and reconciles financial statement net income (loss) for the consolidated financial statement group to income (loss) per the income statement for the partnership.   |
| <b>Change in Burden:</b>          | 7,154,000 hours  |
| <br>                              |  |
| <b>Agency:</b>                    | Department of the Treasury   |
| <b>OMB Control Number:</b>        | 1550-NEW   |
| <b>Title:</b>                     | Risk-Based Capital Standards: Advanced Capital Adequacy Framework  |
| <b>Purpose of the Collection:</b> | A new Treasury proposed rule would require some banks and allow other qualifying banks to use an internal ratings-based approach to calculate regulatory credit risk capital requirements and advanced measurement approaches to calculate regulatory operational risk capital requirements.   |
| <b>Why Increase Will Occur:</b>   | New collection based on a proposed rule.   |
| <b>Change in Burden:</b>          | 60,000 hours   |

**Agency:** Department of the Treasury  
**OMB Control Number:** 1559-0016  
**Title:** New Markets Tax Credit (NMTC) Program-Allocation Application  
**Purpose of the Collection:** Program application for the NMTC program, which provides an incentive to investors in the form of tax credits to stimulate private investment capital to help economic and community development in low-income communities.  
**Why Increase Occurred:** The application was enhanced to include questions regarding applicant activity in the Gulf Opportunity Zone.  
**Change in Burden:** 10,150 hours

**Agency:** Department of the Treasury  
**OMB Control Number:** 1559-0027  
**Title:** Annual Survey: Institutional Level Report; Transaction Level Report; IRS Compliance Questions  
**Purpose of the Collection:** To solicit reporting requirements from participants in the Fund's CDFI Program, Native American CDFI (NACA) Program, and NMTC Programs and related assistance and allocation agreements, respectively.  
**Why Increase Occurred:** The survey was enhanced to better obtain information from participants.  
**Change in Burden:** 28,368 hours

**Agency:** Department of the Treasury  
**OMB Control Number:** 1557-New  
**Title:** Risk-Based Capital Standards: Advanced Capital Adequacy Framework  
**Purpose of the Collection:** The Federal banking agencies will propose new policies to require some national banks to use an internal ratings-based approach to calculate regulatory credit risk capital requirements and advanced measurement approaches to calculate regulatory operational risk capital requirements. This collection will cover the associated recordkeeping and reporting requirements.  
**Why Increase Occurred:** The Federal banking agencies will issue a proposed rule to implement "New Accord" from the Basel Committee on Banking Supervision (BCBS) for banks in the United States.  
**Change in Burden:** 15,570 hours

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2040-0204  
**Title:** Short Term Regulatory Changes to the Lead and Copper Rule  
**Purpose of the Collection:** Systems demonstrate compliance through reporting the analytical results of collected samples and other information to the State. States are required to report a subset of the data to EPA which utilizes this information to protect public health by ensuring compliance with the LCR, measuring progress toward meeting the LCR's goals, and evaluating the appropriateness of State implementation activities.  
**Why Increases Occurred:** New regulatory monitoring, reporting, and public notification requirements  
**Change in burden:** 113,852 hours and \$2,935,000

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2040-0271  
**Title:** Microbial Rules; Ground Water Rule  
**Purpose of the Collection:** The final Ground Water Rule establishes a risk-based approach to target ground water systems that are vulnerable to fecal contamination instead of requiring disinfection for all ground water systems. The information collected as result of the final GWR will allow the States and EPA to make decisions and evaluate compliance with the rule.  
**Why Increases Occurred:** New regulatory monitoring, reporting, and public notification requirements  
**Change in burden:** 385,264 hours

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2040-NEW  
**Title:** Unregulated Contaminant Monitoring Regulation (UCMR) for Public Water Systems Revisions  
**Purpose of the Collection:** The Safe Drinking Water Act (SDWA), as amended in 1996, requires the EPA to establish criteria for a program to monitor unregulated contaminants and to publish a list of contaminants to be monitored every five years. This final regulation meets the SDWA requirement by publishing the next set of unregulated contaminants to be monitored for the second Unregulated Contaminant Monitoring Regulation (UCMR) cycle (i.e., UCMR 2) of 2007 - 2011. Implementation of this final rule will provide EPA and other interested parties with scientifically valid data on the occurrence of these contaminants in drinking water. These data are the primary source of occurrence and exposure data for the Agency to determine whether to regulate these contaminants.  
**Why Increases Occurred:** New regulatory monitoring and reporting requirements  
**Change in burden:** 40,386 hours and \$5,727,000

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2060-0594  
**Title:** Final 0.08ppm, 8-hour Ozone National Ambient Air Quality Standard (NAAQS) Implementation Rule  
**Purpose of the Collection:** To record the incremental burden to State and local agencies regarding state implementation plans and supporting analyses for the new 8-hour ozone NAAQS.  
**Why Increases Occurred:** New regulation  
**Change in burden:** 285,333 hours

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2060-NEW  
**Title:** ICR for National Perchloroethylene Air Emission Standards for Dry Cleaning Facilities Subpart M - Recordkeeping and Reporting Requirements  
**Purpose of the Collection:** To assure compliance with 40 CFR part 63, subpart M.  
**Why Increases Occurred:** To comply with the final revisions to the dry cleaning NESHAP, owners or operators of dry cleaning facilities will be required to keep additional records and conduct additional monitoring that will also require maintenance of records. Owners and operators will incur the capital/startup cost of purchasing the monitors, plus ongoing annual operation and maintenance costs of those instruments.  
**Change in burden:** 241,212 hours and \$5,344,740

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2060-NEW  
**Title:** ENERGY STAR Program in the Residential Sector  
**Purpose of the Collection:** To track and analyze the market for Energy Star qualified new homes as well as the market for energy efficiency improvements to existing homes. These activities allow EPA to design its Energy Star outreach programs and public education materials to fit the needs of its Partners, as well as to provide public recognition and market differentiation to participating organizations. Respondents include homebuilders, home energy verification organizations, lenders, and utilities.  
**Why Increases Occurred:** To collect information on existing program  
**Change in burden:** 175,449 hours

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2070-0133  
**Title:** Standards for Pesticide Containers and Containment (Final Rule)

|                                   |  |
|-----------------------------------|--|
| <b>Purpose of the Collection:</b> | Section 19 of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) requires EPA to promulgate regulations for the safe storage and disposal of pesticides to protect human health and the environment. EPA recently issued final regulations (RIN 2070-AB95) that impose certain reporting on some States and recordkeeping requirements for businesses.  |
| <b>Why Increase Occurred:</b>     | New regulation.  |
| <b>Change in Burden:</b>          | 252,557 hours  |
|                                   |  |
| <b>Agency:</b>                    | Environmental Protection Agency  |
| <b>OMB Control Number:</b>        | 2070-NEW   |
| <b>Title:</b>                     | EPA's Program for Nanoscale Materials  |
| <b>Purpose of the Collection:</b> | To collection information on new and existing nanoscale materials.   |
| <b>Why Increase Occurred:</b>     | New collection.  |
| <b>Change in Burden:</b>          | 25,000 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Acquisition Regulation   |
| <b>OMB Control Number:</b>        | 9000-0161  |
| <b>Title:</b>                     | Reporting Purchases from Sources Outside the United States   |
| <b>Purpose of the Collection:</b> | To understand the extent of government purchases that are manufactured outside the United States. Product offerors must report manufacture inside or outside the United States based on the predominance of the manufactured goods offered, and the contracting officer will select the predominant reason for acquiring the foreign manufactured end products, if more than one reason applies.   |
| <b>Why Increase Occurred:</b>     | FAR issued an interim final rule requiring that offerors of manufactured end products report this data.  |
| <b>Change in Burden:</b>          | 38,146 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0463  |
| <b>Title:</b>                     | Telecommunications Relay Services and Speech-to-Speech Services for Individuals with Hearing and Speech Disabilities, 2006 Cost Recovery FNPRM.  |
| <b>Purpose of the Collection:</b> | The Commission released a <i>2006 Cost Recovery Further Notice of Proposed Rulemaking</i> proposing cost recovery methodologies for telecommunications relay services (TRS). Additional rate data would be required from state telecommunications relay services (TRS) programs for their respective intrastate services.  |
| <b>Why Increase Occurred:</b>     | Additional requirements due to regulatory changes.   |
| <b>Change in Burden:</b>          | 12,200 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-0809  |
| <b>Title:</b>                     | Communications Assistance for Law Enforcement Act (CALEA) and Broadband Access and Services, FCC Form 445  |
| <b>Purpose of the Collection:</b> | Communications Assistance for Law Enforcement Act requires telecommunications carriers to protect against unlawful interception of communications of their facilities by establishing policies and procedures to ensure security and to maintain records. The Federal Communications Commission is mandated to prescribe Communications Assistance for Law Enforcement Act implementing rules, review carriers' filings, and order any deficiencies to be corrected. |
| <b>Why Increase Occurred:</b>     | Establishment of additional requirements for filing Communications Assistance Law Enforcement Act petitions and monitoring reports.  |
| <b>Change in Burden:</b>          | 39,835 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Communications Commission  |
| <b>OMB Control Number:</b>        | 3060-1084  |

|   |   |
|---|---|
| <b>Title:</b>                                       | Rules and Regulations Implementing Minimum Customer Account Record Exchange Obligations on All Local and Interexchange Carriers (CARE).   |
| <b>Purpose of the Collection:</b>                   | The Federal Communications Commission mandates certain obligations of carriers in the maintenance and exchange of customer account information. The Federal Communications Commission concluded that modifications to 47CFR Section 64.4002 are needed to clarify carriers' respective obligations under that rule section in an effort to maintain accurate customer account information and to ensure proper billing.   |
| <b>Why Increase Occurred:<br/>Change in Burden:</b> | The revised collection requires additional data to be collected and reported<br>44,600 hours  |
| <b>Agency:</b>                                      | Federal Communications Commission   |
| <b>OMB Control Number:</b>                          | 3060-1091   |
| <b>Title:</b>                                       | Telecommunications Relay Services and Speech-to-Speech Services for Individuals with Hearing and Speech Disabilities, VRS Interoperability FNPRM  |
| <b>Purpose of the Collection:</b>                   | The Notice of Proposed Rulemaking addresses the issue of access to emergency services for Internet-based forms of Telecommunications Relay Services, namely Video Relay Services (VRS) and Internet Protocol Relay  |
| <b>Why Increase Occurred:<br/>Change in Burden:</b> | New collection.<br>11,840 hours   |
| <b>Agency:</b>                                      | Federal Communications Commission   |
| <b>OMB Control Number:</b>                          | 3060-1093   |
| <b>Title:</b>                                       | Independent Katrina Panel Recommendations - NPRM, EB Docket No. 06-119, FCC 06-83   |
| <b>Purpose of the Collection:</b>                   | The Notice of Proposed Rulemaking addresses the implementation of recommendations presented by the Independent Panel Reviewing the Impact of Hurricane Katrina on Communications Networks. The Independent Panel recommended that the Commission coordinate all federal telecommunications outage and infrastructure reporting requirements in times of crisis. To accomplish this, the Federal Communications Commission seeks to establish a process to collect information from communications service providers during emergencies. |
| <b>Why Increase Occurred:<br/>Change in Burden:</b> | New collection.<br>16,113 hours   |
| <b>Agency:</b>                                      | Federal Deposit Insurance Corporation   |
| <b>OMB Control Number:</b>                          | 3064-NEW  |
| <b>Title:</b>                                       | Basel II Capital: Disclosures, Recordkeeping, and Reporting   |
| <b>Purpose of the Collection:</b>                   | Requires some institutions and allows others to use internal ratings-based approach to calculate regulatory credit risk capital, and advanced measurement approaches to calculate regulatory operational risk capital.  |
| <b>Why Increase Occurred:<br/>Change in Burden:</b> | Implements the new risk-based regulatory capital framework known as Basel II.<br>29,080 hours   |
| <b>Agency:</b>                                      | Federal Energy Regulatory Commission  |
| <b>OMB Control Number:</b>                          | 1902-0096 and 1902-0173   |
| <b>Title:</b>                                       | Electric Transmission Facilities (FERC 917/516) and (FERC-918/717), Standards for Business Practices and Communication Protocols for Public Utilities   |
| <b>Purpose of the Collection:</b>                   | Remove impediments to competition in the wholesale bulk power marketplace and to bring more efficient, lower cost power to the Nations' electricity customers.  |
| <b>Why Increase Occurred:</b>                       | FERC is amending to its regulations and the pro forma Open Access Transmission Tariff (OATT), to ensure that transmission services are not unduly discriminatory or preferential. FERC also proposes to improve transparency and consistency in several critical areas, such as the calculation of available transfer capability (ATC).   |
| <b>Change in Burden:</b>                            | 98,736 hours and \$11,740,000 (1902-0096) and 80,240 hours and \$4,526,000 (1902-0173)  |

|                                   |  |
|-----------------------------------|--|
| <b>Agency:</b>                    | Federal Energy Regulatory Commission   |
| <b>OMB Control Number:</b>        | 1902-0096  |
| <b>Title:</b>                     | Electric Rate Schedule Filings (FERC 919/516)  |
| <b>Purpose of the Collection:</b> | Codify an analysis used to determine whether prices for electric power being sold under market-based rates are just and reasonable under the Federal Power Act.  |
| <b>Why Increase Occurred:</b>     | FERC is proposing to add new subpart governing market-based rates for public utilities. FERC is proposing to retain several of the core elements of current standards for granting market-based rates while also proposing certain revisions to those standards.   |
| <b>Change in Burden:</b>          | 69,500 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Trade Commission   |
| <b>OMB Control Number:</b>        | 3084-New   |
| <b>Title:</b>                     | Authorized Generic Drug Study  |
| <b>Purpose of the Collection:</b> | The FTC plans to conduct a study to analyze the use and likely short- and long-term competitive effects of authorized generic drugs in the prescription drug marketplace. This is a new collect of information. Currently, there is no publicly available, comprehensive economic study that assesses the likely short- and long-run effects of entry by authorized generics on generic competition. Given the importance of generic drugs in lowering health care costs, Senators Grassley, Leahy, and Rockefeller, as well as Representative Waxman, have requested that the Commission study the impact of authorized generics on competition in the prescription drug marketplace. |
| <b>Why Increase Occurred:</b>     |  |
| <b>Change in Burden:</b>          | 39,000 hours   |
|                                   |  |
| <b>Agency:</b>                    | Federal Trade Commission   |
| <b>OMB Control Number:</b>        | 3084-0069  |
| <b>Title:</b>                     | The Appliance Labeling Rule  |
| <b>Purpose of the Collection:</b> | To disclose energy information about major household appliances in order to compare energy (or water use) of competing models.   |
| <b>Why Increase Occurred:</b>     | New label design requires a one-time drafting change for manufacturers of products with the EnergyGuide label.   |
| <b>Change in Burden:</b>          | 12,000 hours   |
|                                   |  |
| <b>Agency:</b>                    | National Science Foundation  |
| <b>OMB Control Number:</b>        | 3145-0058  |
| <b>Title:</b>                     | National Science Foundation Proposal and Award Information - NSF Proposal and Award Policies & Procedures Manual   |
| <b>Purpose of the Collection:</b> | The regular submission of proposals to the Foundation is part of the collection of information and is used to help NSF fulfill this responsibility by initiating and supporting merit-selected research and education projects in all the scientific and engineering disciplines. NSF receives more than 40,000 proposals annually for new projects, and makes approximately 10,500 new awards.  |
| <b>Why Increase Occurred:</b>     | This collection will combine the Grant Proposal Guide (OMB Clearance No. 3145-0058) with the Proposal Review Process (3145-0060) to streamline the proposal and award management processes for applicants and awardees.  |
| <b>Change in Burden:</b>          | 1,200,083 hours  |
|                                   |  |
| <b>OMB Control Number:</b>        | 3145-0058  |
| <b>Title:</b>                     | National Science Foundation Proposal and Award Information - NSF Proposal and Award Policies & Procedures Manual   |
| <b>Purpose of the Collection:</b> | The regular submission of proposals to the Foundation is part of the collection of information and is used to help NSF fulfill this responsibility by initiating and supporting merit-selected research and education projects in all the scientific and   |

|                                   |  |
|-----------------------------------|--|
| <b>Why Increase Occurred:</b>     | engineering disciplines. NSF receives more than 40,000 proposals annually for new projects, and makes approximately 10,500 new awards.   |
| <b>Change in Burden:</b>          | This collection will combine the Grant Proposal Guide (OMB Clearance No. 3145-0058) with the Proposal Review Process (3145-0060) to streamline the proposal and award management processes for applicants and awardees. Following OMB approval, this information will be available to the community via the Internet. Note, consistent with NSF's initiative, the Agency anticipates an initial burden reduction of approximately 10,000 hours reflected as an offset to the burden increase below.<br>590,000 hours |
| <b>Agency:</b>                    | Nuclear Regulatory Commission  |
| <b>OMB Control Number</b>         | 3150-0011  |
| <b>Title:</b>                     | 10 CFR 50, Domestic Licensing of Production and Utilization Facilities   |
| <b>Purpose of the Collection:</b> | 10 CFR part 50 specifies technical information and data to be provided to the NRC or maintained by applicants and licensees of nuclear power plants, research, and test reactors so that the NRC may make determinations necessary to promote the health and safety of the public in accordance with the Atomic Energy Act of 1954, as amended.  |
| <b>Why Increase Occurred:</b>     | Submittal of applications for new plants.  |
| <b>Change in Burden:</b>          | 51,100 hours   |
| <b>Agency:</b>                    | Nuclear Regulatory Commission  |
| <b>OMB Control Number:</b>        | 3150-0012  |
| <b>Title:</b>                     | Reports Concerning Possible Non-Routine Emergency Generic Problems   |
| <b>Purpose of the Collection:</b> | Allows the NRC to collect information concerning possible non-routine generic problems which would require prompt action from NRC to preclude potential threats to public health and safety.   |
| <b>Why Increase Occurred:</b>     | The number of responses has increased from 204 to 1,032 because of the inclusion of anticipated expedited issuances required for safeguards issues.  |
| <b>Change in Burden:</b>          | 39,120 hours   |
| <b>Agency:</b>                    | Nuclear Regulatory Commission  |
| <b>OMB Control Number</b>         | 3150-0151  |
| <b>Title:</b>                     | 10 CFR 52, Early Site Permits; Standard Design Certifications; and Combined Licenses for Nuclear Plants  |
| <b>Purpose of the Collection:</b> | To assess the adequacy to application information to ensure that early site permits, standard design approvals, and certifications, manufacturing licenses, and combined operating licenses for commercial nuclear power reactors are constructed and operated with adequate procedures and controls to protect the public health and safety.  |
| <b>Why Increase Occurred:</b>     | 10 CFR Part 52 is being revised to enhance safety through the use of standardized designs, as well as reduce the licensing burden on industry by improving current licensing procedures.   |
| <b>Change in Burden:</b>          | 195,183 hours  |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0059 (Regulation 14A)   |
| <b>Title:</b>                     | Executive Compensation and Related Party Disclosure  |
| <b>Purpose of the Collection:</b> | To improve the disclosure of executive and director compensation, related party transactions, and director independence and other corporate governance matters.  |
| <b>Why Increase Occurred:</b>     | The Commission adopted amendments to existing forms, and rules that would require new disclosure on the proxy or information statement, annual reports and registration statements.  |

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| <b>Change in Burden:</b>          | 16,424 hours   |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0063 (Form 10-K)  |
| <b>Title:</b>                     | Executive Compensation and Related Party Disclosure  |
| <b>Purpose of the Collection:</b> | To improve the disclosure of executive and director compensation, related party transactions, and director independence and other corporate governance matters.  |
| <b>Why Increase Occurred:</b>     | The Commission revised its initial estimates included in the proposed rule, Executive Compensation and Related Party Disclosure, in part because of public comments received and additional disclosure requirements.   |
| <b>Change in Burden:</b>          | 180,642 hours and \$18,064,000   |
| <b>Agency:</b>                    | Securities and Exchange Commission   |
| <b>OMB Control Number:</b>        | 3235-0420 (Form 10-KSB)  |
| <b>Title:</b>                     | Executive Compensation and Related Party Disclosure (Conforming Amendments)  |
| <b>Purpose of the Collection:</b> | To improve the disclosure of executive and director compensation, related party transactions, and director independence and other corporate governance matters.  |
| <b>Why Increase Occurred:</b>     | The Commission revised its initial estimates included in the proposed rule, Executive Compensation and Related Party Disclosure, in part because of public comments received and additional disclosure requirements.   |
| <b>Change in Burden:</b>          | 39,420 hours   |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0635  |
| <b>Title:</b>                     | Function Report - Child  |
| <b>Purpose of the Collection:</b> | The information collected by this form is used by the state Disability Determination Services (DDS) to document how child disability applicants' or claimants' alleged disabilities impact their ability to function.  |
| <b>Why Increase Occurred:</b>     | SSA is adding new questions on pain symptoms which increase the burden for this form.  |
| <b>Change in Burden:</b>          | 250,000 hours  |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-0681  |
| <b>Title:</b>                     | Function Report - Adult  |
| <b>Purpose of the Collection:</b> | The information collected by this form is used by the state Disability Determination Services (DDS) to document how adult disability applicants' or claimants' alleged disabilities impact their ability to function.  |
| <b>Why Increase Occurred:</b>     | SSA is adding new questions on pain symptoms which increase the burden for this form.  |
| <b>Change in Burden:</b>          | 1,001,342 hours  |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-NEW   |
| <b>Title:</b>                     | Prisoner Matching Agreements   |
| <b>Purpose of the Collection:</b> | SSA will match monthly reports received from the federal Bureau of Prisons, state Prisons and local correctional facilities against SSA's files to identify incarcerated individuals receiving Social Security and SSI payments. Matches on these reports are the basis for stopping payments under Titles II & XVI. |
| <b>Why Increase Occurred:</b>     | Initial Clearance--Collection in use without OMB Approval  |
| <b>Change in Burden:</b>          | 36,000 hours   |
| <b>Agency:</b>                    | Social Security Administration   |
| <b>OMB Control Number:</b>        | 0960-NEW   |



|                                   |  |
|-----------------------------------|--|
| <b>Title:</b>                     | Consent Based Social Security Number Verification  |
| <b>Purpose of the Collection:</b> | This service is intended to provide a fee based automated SSN verification service for private businesses and other requesting parties registered to use the system. |
| <b>Why Increase Occurred:</b>     | New collection.  |
| <b>Change in Burden:</b>          | 518,088 hours and \$7.4 Million  |

## Appendix C. Paperwork Reduction Act Compliance

### Violations Arising in FY 2006 Due to Lapse of OMB Approval

| OMB #  | Title  | Expiration Date | Reinstatement     |
|--|--|-----------------|-------------------|
| <b>Department of Defense</b>                       |  |                 |                   |
| 0720-0020  | Application for CHAMPUS-Provider Status: CORPORATE SERVICES PROVIDER   | 07/31/2006      | 9/29/2006         |
| <b>Department of Energy</b>                        |  |                 |                   |
| 1910-1000  | Personal Property  | 05/31/2006      | 6/22/2006         |
| <b>Department of Housing and Urban Development</b> |  |                 |                   |
| 2539-0009  | Requirements for Lead-Based Paint Hazards in Federally Owned Residential Properties and Housing Receiving Federal Assistance | 12/31/2005      | 05/24/2006        |
| <b>Department of the Interior</b>                  |  |                 |                   |
| 1076-0021  | Electrical Service Application 25 CFR 175  | 04/30/2006      | 8/14/2006         |
| 1076-0141  | Water Request 25 CFR 171   | 04/30/2006      | 8/11/2006         |
| <b>Department of Justice</b>                       |  |                 |                   |
| 1121-0188  | Budget Detail Worksheet  | 03/31/2006      | 06/09/2006        |
| <b>Department of Transportation</b>                |  |                 |                   |
| 2133-0536  | The Great Lakes/St. Lawrence Seaway Survey for the New Cargoes/ New Vessels Study  | 04/30/2006      | N/A <sup>17</sup> |
| <b>Social Security Administration</b>              |  |                 |                   |
| 0960-0544  | Public Information Campaign Collection   | 10/31/2005      | 03/07/2006        |

### Violations Arising Prior to FY 2006 Due to Lapse of OMB Approval<sup>18</sup>

| OMB #                                  | Title  | Expiration Date | Reinstatement |
|--|--|-----------------|---------------|
| <b>Department of Education</b>         |  |                 |               |
| 1830-0027                              | Adult Education Annual Performance and Financial Reports   | 1/31/2003       | 10/13/2005    |
| <b>Department of Homeland Security</b> |  |                 |               |
| 1615-0048                              | Request for Premium Processing Service   | 6/30/2005       | 4/13/2006     |
| <b>Department of Transportation</b>    |  |                 |               |
| 2125-0591                              | Environmental Streamlining Measuring the Performance of Stakeholders in the Transportation Project Development Process | 8/31/2003       | 6/23/2006     |
| <b>Department of Veterans Affairs</b>  |  |                 |               |
| 2900-0128                              | Notice of Lapse/Notice of Past Due Payment   | 4/9/2002        | 6/19/2006     |

<sup>17</sup> This information collection was a one-time collection; there is, therefore, no reinstatement date.

<sup>18</sup> OMB reported in last year's ICB report that all violations from FY 2005 and prior years had been resolved. Subsequent to publication, however, we discovered these four collections, which had expired and had not been reinstated. At this point, we are not aware of any further unresolved violations from FY 2005 and prior years.

## Existing Collections in Use Without OMB Approval

**Agency:** Department of Agriculture  
**Title:** PPQ Form 816; Contract Pilot and Aircraft Acceptance  
**OMB Control Number:** 0579-0298  
**Date of Approval:** 6/23/2006  
**How Discovered:** The information collection was originally part of another request sent to OMB. OMB did not approve the request. The agency continued to collect the information.  
**How Resolved:** USDA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Agriculture  
**Title:** National Animal Health Reporting System (NAHRS)  
**OMB Control Number:** 0579-0299  
**Date of Approval:** 7/10/2006  
**How Discovered:** Agency staff discovered that information was being collected.  
**How Resolved:** USDA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Agriculture  
**Title:** Return of Exported Product  
**OMB Control Number:** 0583-0138  
**Date of Approval:** 12/29/2006  
**How Discovered:** USDA discovered the violation when the form was to be attached to a new directive.  
**How Resolved:** USDA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Agriculture  
**Title:** Electronic Animal Disease Reporting System  
**OMB Control Number:** 0583-0139  
**Date of Approval:** 2/10/2007  
**How Discovered:** During an internal review of forms, USDA discovered that approval for use under another sub-agency had lapsed.  
**How Resolved:** USDA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Agriculture  
**Title:** Long-Term Contracting  
**OMB Control Number:** 0578-0013  
**Date of Approval:** 9/01/2006  
**How Discovered:** During review of request for approval, it was discovered that a proposed rule, tied to the collection, was published without the submission of an information collection package.  
**How Resolved:** USDA submitted a revised request and explanatory memo to OMB. OMB approved collection.

**Agency:** Department of Agriculture

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| <b>Title:</b>              | Public Attitudes, Beliefs, and Values about National Forest System Land Management: Focus Groups   |
| <b>OMB Control Number:</b> | None   |
| <b>Date of Approval:</b>   | N/A  |
| <b>How Discovered:</b>     | During the course of reviewing a request for approval of a survey, OMB discovered the agency had conducted several focus groups.   |
| <b>How Resolved:</b>       | Agency informed of violation. Because focus groups were completed, there was no further violation.   |
| <b>Agency:</b>             | Department of Defense/Department of the Navy   |
| <b>Title:</b>              | Naval Academy Application to Sponsor a Midshipman  |
| <b>OMB Control Number:</b> | None   |
| <b>Date of Approval:</b>   | N/A  |
| <b>How Discovered:</b>     | Washington Post Article of July 11, 2006, titled Naval Academy's Questions about Race, Religion Stir Discord. A follow-up Washington Post Article published August 12, 2006, titled Racial, Religious Preference Questions Removed from Plebe Sponsor Forms.   |
| <b>How Resolved:</b>       | Department of Defense is currently preparing the collection for submission to OMB.   |
| <b>Agency:</b>             | Department of Education  |
| <b>Title:</b>              | State Proposals for Recognition of Rigorous Secondary School Programs of Study   |
| <b>OMB Control Number:</b> | 1845-0078  |
| <b>Date of Approval:</b>   | 10/2/2006  |
| <b>How Discovered:</b>     | OMB discovered the collection and requested that the agency bring it in for review under the PRA.  |
| <b>How Resolved:</b>       | The Education Department submitted collection to OMB for review and approval. OMB approved collection.   |
| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | Food Additives; Food Contact Substances Notification System  |
| <b>OMB Control Number:</b> | FDA/0910-0495  |
| <b>Date of Approval:</b>   | 7/11/2006  |
| <b>How Discovered:</b>     | In December 1992, before guidance documents were evaluated for information collection provisions, CFSAN issued a guidance entitled, "Points to Consider for the Use of Recycled Plastics in Food Packaging: Chemistry Considerations." Upon revising the guidance in 2006, CFSAN staff noticed that the draft revised guidance document entitled, "Guidance for Industry: Use of Recycled Plastics in Food Packaging: Chemistry Considerations," had information collection provisions. These provisions are related to the OMB approval under OMB No. 0910-0495, "Food Contact Substances Notification System." However, CFSAN discovered that the supporting statement for the information collection did not specifically identify the burden hours associated with the guidance. |
| <b>How Resolved:</b>       | HHS submitted to OMB a Form 83-C to add 250 hours to OMB No. 0910-0495. OMB approved the request.  |

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| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | Cosmetic Labeling Regulations  |
| <b>OMB Control Number:</b> | None   |
| <b>Date of Approval:</b>   | N/A  |
| <b>How Discovered:</b>     | FDA's cosmetic labeling regulations in 21 CFR Part 701 require that cosmetic manufacturers, packers, and distributors label their products with a list of ingredients in descending order of predominance, a statement of the identity of the product, the establishment's name and place of business, and the net quantity of contents. FDA's cosmetic labeling regulations were published in the Federal Register on March 15, 1974 (39 FR 10054 at 10056) and subsequently amended, most recently on March 17, 1999 (64 FR 13254 at 13297). Sometime subsequent to the amendments of 1999, CFSAN staff noticed that the labeling regulations had information collection provisions for which there was no OMB approval. |
| <b>How Resolved:</b>       | FDA submitted collection to OMB for review and is pending approval.  |
| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | The Nursing Scholarship Program  |
| <b>OMB Control Number:</b> | HRSA/0915-0301   |
| <b>Date of Approval:</b>   | 7/26/2006  |
| <b>How Discovered:</b>     | The information collection was discovered during an internal HRSA review of HRSA's forms.  |
| <b>How Resolved:</b>       | HRSA submitted collection to OMB for review and approval. OMB approved collection.   |
| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | Morbidity Monitoring Project (MMP)   |
| <b>OMB Control Number:</b> | None   |
| <b>Date of Approval:</b>   | N/A  |
| <b>How Discovered:</b>     | Two violations (illegal pilot data collection) disclosed to CDC staff during OMB and Privacy Act reviews of ICR.   |
| <b>How Resolved:</b>       | CDC submitted collection to OMB for review and is pending approval.  |
| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | Adult and Pediatric Confidential HIV/AIDS Case Report  |
| <b>OMB Control Number:</b> | CDC/0920-0573  |
| <b>Date of Approval:</b>   | 2/2/2007   |
| <b>How Discovered:</b>     | One violation disclosed to CDC paperwork clearance staff by program staff during OMB and Privacy Act reviews of ICR.   |
| <b>How Resolved:</b>       | CDC submitted collection to OMB for review and approval. OMB approved collection.  |
| <b>Agency:</b>             | Department of Health and Human Services  |
| <b>Title:</b>              | The National Electronic Disease Surveillance System (NEDSS)  |
| <b>OMB Control Number:</b> | CDC/0920-0728  |
| <b>Date of Approval:</b>   | 8/16/2006  |

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| <b>How Discovered:</b>     | During conference call with OMB, Desk Officer asked specific questions about ongoing data collections that had been covered under several OMB approved projects but were not specifically covered under one approved data collection, as NEDSS is designed to be. CDC was advised during the call and in the Notice of Action on 8/16/2006 that clearance “must be received prior to the collection of information covered under the Paperwork Reduction Act.”  |
| <b>How Resolved:</b>       | On 8/11/2006, CDC provided OMB an explanation about how existing OMB approved surveillance systems related to NEDSS will be phased out, and any changes in the approved “Operational Definitions and Standards” will be submitted to OMB, and OMB approval will be obtained, before data collections will be started. CDC has fully complied with the OMB Terms of Clearance since receiving their clearance in August 2006. No new information or data collections have been implemented by the National Electronic Disease Surveillance System (NEDSS) project, other than the information currently approved by OMB. Furthermore, CDC stipulates that OMB Paperwork Reduction Act (PRA) clearance will be obtained as required by law, prior to any new data or information collections occur. |
| <b>Agency:</b>             | Department of Health and Human Services   |
| <b>Title:</b>              | Targeted Capacity Expansion Grants for Jail Diversion Programs  |
| <b>OMB Control Number:</b> | SAMHSA/0930-0277  |
| <b>Date of Approval:</b>   | 5/30/2006   |
| <b>How Discovered:</b>     | The clearance officer became aware of the illegal information collection when the program requested consultation about revisions to the forms.  |
| <b>How Resolved:</b>       | SAMHSA submitted collection to OMB for review and approval. OMB approved collection.  |
| <b>Agency:</b>             | Department of Health and Human Services   |
| <b>Title:</b>              | Multi-Site Evaluation of Foster Youth Programs  |
| <b>OMB Control Number:</b> | ACF/0970-0253   |
| <b>Date of Approval:</b>   | 12/18/2006  |
| <b>How Discovered:</b>     | Violation was initially discovered during the negotiation period of the first clearance in August 2003. ACF had conducted a pilot test of this evaluation but had not submitted the collection to OMB for review and approval.  |
| <b>How Resolved:</b>       | OMB initially cleared the submission in August 2003 and included in terms of clearance that this violation would be reported in the FY 2004 ICB report. However, in the course of reviewing ACF’s request for renewal, OMB discovered that this violation was never reported in the 2004 ICB report. Therefore, it is being reported in this year’s ICB report.   |
| <b>Agency:</b>             | Department of Homeland Security / Transportation Security Administration  |
| <b>Title:</b>              | Freight Railroad Toxic Inhalation Hazard (TIH) Security Action Item Implementation Survey   |

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**OMB Control Number:** None  
**Date of Approval:** N/A  
**How Discovered:** Office of Chief Counsel meeting with program office  
**How Resolved:** Program office has drafted 60-day notice; OCC assisting to bring into compliance

**Agency:** Department of Homeland Security  
**Title:** Notice of Appeal to the Office of Administrative Appeals (AAO)  
**OMB Control Number:** 1615-0095  
**Date of Approval:** 10/5/2005  
**How Discovered:** Internal Review  
**How Resolved:** DHS submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Homeland Security  
**Title:** Corporate Security Review (CSR)  
**OMB Control Number:** 1652-0036  
**Date of Approval:** 10/14/2005  
**How Discovered:** Internal Review  
**How Resolved:** DHS submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Homeland Security  
**Title:** TSA Claims Management System  
**OMB Control Number:** 1652-0039  
**Date of Approval:** 8/14/2006  
**How Discovered:** Internal review  
**How Resolved:** DHS submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Homeland Security  
**Title:** National Flood Insurance Claims Appeal Process  
**OMB Control Number:** 1660-0095  
**Date of Approval:** 6/30/2006  
**How Discovered:** Internal review  
**How Resolved:** DHS submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Homeland Security  
**Title:** Disaster Recovery Survey for Businesses  
**OMB Control Number:** None  
**Date of Approval:** N/A  
**How Discovered:** DHS disclosed the use of this survey at workshops DHS held after Hurricane Katrina.  
**How Resolved:** OMB informed DHS that such use constituted a violation of the PRA. Because this was a one-time use survey, DHS did not reinstate the collection.

**Agency:** Department of the Interior

**Title:** Import/Export of Wildlife and Wildlife Parts and Products, 50 CFR 12, 13, and 23  
**OMB Control Number:** 1018-0130  
**Date of Approval:** 2/21/2006  
**How Discovered:** OMB discovered during review of submission with proposed rule.  
**How Resolved:** Interior Department submitted collection to OMB for review and approval as part of another collection. OMB approved revised collection.

**Agency:** Department of the Interior  
**Title:** Survey of Creel Anglers in the Dry Tortugas  
**OMB Control Number:** None  
**Date of Approval:** 10/16/2006  
**How Discovered:** OMB discovered during review of final rule.  
**How Resolved:** Interior Department discontinued collection.

**Agency:** Department of the Interior  
**Title:** Bird Banding  
**OMB Control Number:** 1028-0082  
**Date of Approval:** 11/23/2005  
**How Discovered:** USGS discovered during a program review. This is a reinstatement of several collections formerly done by FWS.  
**How Resolved:** Interior Department submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Justice  
**Title:** FBI Fingerprint Cards  
**OMB Control Number:** 1110-0046  
**Date of Approval:** 3/2/07  
**How Discovered:** The FBI submitted a new collection to the Department. After discussing certain facts with the program office, it was determined the FBI had been utilizing the Fingerprint Cards without OMB approval.  
**How Resolved:** Department of Justice submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Justice  
**Title:** Attorney Student Loan Repayment Program  
**OMB Control Number:** None  
**Date of Approval:** N/A  
**How Discovered:** The Office of Attorney Recruitment and Management (OARM) contacted the Department CIO office after the FY07 data call was sent out.  
**How Resolved:** Department of Justice is preparing collection for submission to OMB.

**Agency:** Department of Justice  
**Title:** Attorney General's Honors Program and Summer Law Intern Program Electronic Applications  
**OMB Control Number:** 1105-0030  
**Date of Approval:** 3/21/07



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| <b>How Discovered:</b>     | The Office of Attorney Recruitment and Management (OARM) contacted the Department CIO office after the FY07 ICB data call was sent out.                      |
| <b>How Resolved:</b>       | Department of Justice submitted collection to OMB for review and approval. OMB approved collection.  |
| <b>Agency:</b>             | Department of Labor  |
| <b>Title:</b>              | Work Application / Job Order Recordkeeping   |
| <b>OMB Control Number:</b> | 1205-0001  |
| <b>Date of Approval:</b>   | None   |
| <b>How Discovered:</b>     | Department of Labor discovered this collection in use without OMB approval during ICB preparation.   |
| <b>How Resolved:</b>       | Department of Labor submitted collection to OMB for review and is pending approval.  |
| <b>Agency:</b>             | Department of Labor  |
| <b>Title:</b>              | Quarterly Determinations, Allowances Activities, and Employability Services under the Trade Act; Training Waivers Issued and Revoked (Form ETA-563)          |
| <b>OMB Control Number:</b> | 1205-0016  |
| <b>Date of Approval:</b>   | 12/28/2006   |
| <b>How Discovered:</b>     | During review of an Extension Request, OMB determined the agency had been using outdated, unapproved version of form.  |
| <b>How Resolved:</b>       | Department of Labor submitted collection to OMB for review and approval. OMB approved collection contingent on DOL commitment to distribute correct version. |
| <b>Agency:</b>             | Department of Treasury   |
| <b>Title:</b>              | Distilled Spirits Bond   |
| <b>OMB Control Number:</b> | None   |
| <b>Date of Approval:</b>   | N/A  |
| <b>How Discovered:</b>     | Discovered during field audit of forms.  |
| <b>How Resolved:</b>       | Treasury is currently preparing collection for submission to OMB.  |
| <b>Agency:</b>             | Department of Veterans Affairs   |
| <b>Title:</b>              | Clarification of a Notice of Disagreement (NOD)  |
| <b>OMB Control Number:</b> | 2900-0674  |
| <b>Date of Approval:</b>   | 3/17/2006  |
| <b>How Discovered:</b>     | The information collection was discovered during OMB review and revision of the NOD regulations.   |
| <b>How Resolved:</b>       | VA submitted collection to OMB for review and approval. OMB approved collection.   |
| <b>Agency:</b>             | Department of Veterans Affairs   |
| <b>Title:</b>              | Contract for Training and Employment (Chapter 31, Title 38 U.S. Code) VA Form 28-1903.   |
| <b>OMB Control Number:</b> | 2900-0677  |
| <b>Date of Approval:</b>   | 5/5/2006   |
| <b>How Discovered:</b>     | The information collection was discovered during an internal VA review of VA's forms.  |

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs  
**Title:** Agreement to Train On The Job Disabled Veterans  
**OMB Control Number:** 2900-0678  
**Date of Approval:** 5/5/2006  
**How Discovered:** The information collection was discovered during an internal VA review of VA's forms.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs  
**Title:** Certification of Change or Correction of Name  
**OMB Control Number:** 2900-0679  
**Date of Approval:** 5/5/2006  
**How Discovered:** The information collection was discovered during an internal VA review of VA's forms.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs  
**Title:** Certification of Change or Correction of Name  
**OMB Control Number:** 2900-0682  
**Date of Approval:** 8/8/2006  
**How Discovered:** The information collection was discovered during OMB review of regulations.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs  
**Title:** Certification of Change or Correction of Name  
**OMB Control Number:** 2900-0690  
**Date of Approval:** 7/31/2006  
**How Discovered:** The information collection was discovered during an internal VA review of FSC website.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs  
**Title:** Availability of Educational, Licensing, and Certification Records; 38 CFR 21.4209  
**OMB Control Number:** 2900-0696  
**Date of Approval:** 3/22/2007  
**How Discovered:** The information collection was discovered during an internal VA review of VA's forms associated with rules.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs

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**Title:** Request for Reimbursement of Licensing or Certification Test Fee; 38 CFR 21.1030(b), 21.7140(c)(4)

**OMB Control Number:** 2900-0695

**Date of Approval:** 3/22/2007

**How Discovered:** The information collection was discovered during an internal VA review of VA's forms associated with rules.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs

**Title:** Application for Education Assistance to Supplement Tuition Assistance; 38 CFR 21.1030(c), 21.7140(c)(5)

**OMB Control Number:** 2900-0698

**Date of Approval:** 3/22/2007

**How Discovered:** The information collection was discovered during an internal VA review of VA's forms associated with rules.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Department of Veterans Affairs

**Title:** Application for Approval of a Licensing or Certification Test and Organization or Entity; 38 CFR 21.4268

**OMB Control Number:** 2900-0697

**Date of Approval:** 3/22/2007

**How Discovered:** The information collection was discovered during an internal VA review of VA's forms associated with rules.

**How Resolved:** VA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Environmental Protection Agency

**Title:** Tips and Complaints Regarding Environmental Violations

**OMB Control Number:** 2020-0032

**Date of Approval:** 05/19/2006

**How Discovered:** Website was mistakenly brought on line in 2006 prior to OMB approval.

**How Resolved:** EPA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Environmental Protection Agency

**Title:** Procedures for Implementing NEPA

**OMB Control Number:** 2020-0033

**OMB Approval:** 11/15/2006

**How Discovered:** During OMB review of rule amendments to EPA's procedures for implementing NEPA requirements

**How Resolved:** EPA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Federal Communications Commission

**Title:** Surrenders of Authorizations for International Carrier, Space Station and Earth Station Licenses.

**OMB Control Number:** 3060-1095  
**Date of Approval:** 12/21/2006  
**How Discovered:** During preparation of the material to submit this information collection to OMB, it was discovered that the FCC's International Bureau issued a public notice (DA 06-659) on March 15, 2006 announcing a new electronic filing module for the surrender of authorizations granted under Part 25 of the Commission's Rules. The public notice announced that use of the new module would be mandatory for licensees under Part 25 beginning April 14, 2006. The notice also announced that the module was available on a voluntary basis for non-Part 25 licensees who wished to surrender their authorizations.  
**How Resolved:** FCC submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Social Security Administration  
**Title:** Non-Attorney Representative Demo Project--Continuing Education Reporting  
**OMB Control Number:** 0960-0737  
**Date of Approval:** 12/27/2006  
**How Discovered:** This collection was discovered during discussions with the SSA component on a related information collection.  
**How Resolved:** SSA submitted collection to OMB for review and approval. OMB approved collection.

**Agency:** Social Security Administration  
**Title:** Request for Reinstatement (Title II) -- 20 CFR 404.1592b – 404.1592f / SSA-371  
**OMB Control Number:** None  
**Date of Approval:** N/A  
**How Discovered:** This collection was discovered during routine internal SSA forms review process.  
**How Resolved:** SSA submitted collection to OMB for review and is pending approval.

**Agency:** Social Security Administration  
**Title:** Request for Reinstatement (Title XVI) -- 20 CFR 416.999 – 416.999d / SSA-372  
**OMB Control Number:** None  
**Date of Approval:** N/A  
**How Discovered:** This collection was discovered during routine internal SSA forms review process.  
**How Resolved:** SSA submitted collection to OMB for review and is pending approval.

**Agency:** Social Security Administration  
**Title:** Statement of Reclamation Action / SSA-1713  
**OMB Control Number:** 0960-0734  
**Date of Approval:** 11/01/06

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| <b>How Discovered:</b>     | This collection was discovered during routine internal SSA discussions with the SSA component.                      |
| <b>How Resolved:</b>       | SSA submitted collection to OMB for review and approval. OMB approved collection.                                   |
| <b>Agency:</b>             | Social Security Administration  |
| <b>Title:</b>              | Protection and Advocacy for Beneficiaries of Social Security (PABSS)  |
| <b>OMB Control Number:</b> | None  |
| <b>Date of Approval:</b>   | N/A   |
| <b>How Discovered:</b>     | This collection was discovered during routine internal SSA discussions with the SSA component.                      |
| <b>How Resolved:</b>       | SSA is currently preparing collection for submission to OMB.  |
| <b>Agency:</b>             | Social Security Administration  |
| <b>Title:</b>              | Fugitive Felon Computer Matching Agreements   |
| <b>OMB Control Number:</b> | None  |
| <b>Date of Approval:</b>   | N/A   |
| <b>How Discovered:</b>     | This collection was discovered during routine internal SSA discussions with the SSA component.                      |
| <b>How Resolved:</b>       | SSA is currently preparing collection for submission to OMB.  |
| <b>Agency:</b>             | Social Security Administration  |
| <b>Title:</b>              | Prisoner Computer Matching Agreements   |
| <b>OMB Control Number:</b> | None  |
| <b>Date of Approval:</b>   | N/A   |
| <b>How Discovered:</b>     | This collection was discovered during routine internal SSA discussions with the SSA component.                      |
| <b>How Resolved:</b>       | SSA is currently preparing collection for submission to OMB.  |
| <b>Agency:</b>             | Social Security Administration  |
| <b>Title:</b>              | Request for School Records 20 CFR 416.906, 416.913, 416.946, 404 Subpart P, Appendix 1                              |
| <b>OMB Control Number:</b> | 0960-0721   |
| <b>Date of Approval:</b>   | 06/01/2006  |
| <b>How Discovered:</b>     | This collection was discovered during a routine SSA internal review of electronic forms Document Generation System  |
| <b>How Resolved:</b>       | SSA submitted collection to OMB for review and approval. OMB approved collection.                                   |
| <b>Agency:</b>             | Social Security Administration  |
| <b>Title:</b>              | Request for Evidence from Doctor or Hospital 20 CFR 404 Subpart I and 20 CFR 416 Subpart P                          |
| <b>OMB Control Number:</b> | 0960-0722   |
| <b>Date of Approval:</b>   | 05/19/2006  |
| <b>How Discovered:</b>     | This collection was discovered during a routine SSA internal review of electronic forms Document Generation System. |
| <b>How Resolved:</b>       | SSA submitted collection to OMB for review and approval. OMB approved collection.                                   |



## **About OIRA**

The Paperwork Reduction Act of 1980 (Pub. L. No. 96-511) and its successor, the Paperwork Reduction Act of 1995 (Pub. L. No. 104-13), established the Office of Information and Regulatory Affairs (OIRA) in the Office of Management and Budget to oversee agency information resources management, information collection, and use of information technology. Under this authority, OIRA develops policies and guidelines to promote the management, dissemination, privacy, and security of government information and coordinates Federal statistical policies and resources. OIRA is also responsible for the review of agency rulemaking activity under Executive Order 12866. The Administrator of OIRA is appointed by the President and confirmed by the Senate.

Principal contributors to this report were Rachel Potter, Karen Matsuoka, Paula Braun, Seema Singh, and Alex Hunt. If you have questions about any of the information collections discussed in this report, please visit our website at [www.reginfo.gov](http://www.reginfo.gov). Additionally, OIRA's Docket Library is open to the public by appointment. Call, write, or fax to arrange an appointment:

OIRA Docket Library  
Office of Management and Budget  
New Executive Office Building, Room 10102  
Washington, DC 20503  
Phone: (202) 395-6880  
Fax: (202) 395-5806

Please include the OMB numbers of the collections about which you inquire.