

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration
January 2016

Regulatory Initiatives

Medical Listings Description

- The Listing of Impairments describes impairments for each of the major body systems we consider severe enough to prevent an individual from performing any gainful activity, regardless of age, education, or work experience.
- We currently maintain listings for 15 body systems.
- We update the listings to keep pace with medicine, science, technology, and the world of work. We are on a multi-year cycle to update each of our listings on an ongoing basis. During each cycle, we seek input from medical experts and other stakeholders to gather the most current information.
- Since 2004, we have updated approximately 70 percent of the listings and continue to move forward with proposed or final revisions in the Federal Register for all listings.
- During this Administration, we have completed revisions for 10 body systems.
- In the next year, we intend to take action to update the remaining listings.
- As per prior discussions with OMB, this report no longer reflects those listings that we recently updated via Final Rule and will not update again in the near future (Genitourinary Disorders; Growth Disorders and Weight Loss in Children; Hematological Disorders; Malignant Neoplastic Disorders).

Other Regulatory Initiatives

- Adequacy of Incentives for Beneficiaries Participating in the Ticket to Work Program to Seek and Achieve Self-Sufficiency Through Earnings – This initiative is new to this update.
- Social Security Number Card Applications (electronic) – This initiative recently became effective.

Please note: Information in italic type is new to this update.

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Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/ OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Adequacy of Incentives for Beneficiaries Participating in the Ticket to Work Program to Seek and Achieve Self-Sufficiency Through Earnings	AH50	<p><i>The Ticket to Work and Work Incentives Improvement Act of 1999 established the Ticket to Work and Self-Sufficiency Program to “allow individuals with disabilities to seek the services necessary to obtain and retain employment and reduce their dependency on cash benefit programs” (Public Law 106-170 § 2(b)(4)). We last updated the program rules on May 20, 2008.</i></p> <p><i>We are soliciting ideas from the public on how we could improve the Ticket to Work program to better help beneficiaries and service providers achieve long-term successes.</i></p> <p><i>We envision updating the Ticket to Work program rules to provide increased choices for our beneficiaries and service providers. Improving flexibility in the program rules should also increase the number of employment service providers participating in the Ticket to Work program, as well as encourage additional beneficiaries to attempt work and reach their employment goals. When beneficiaries with disabilities return work at a significant level of earnings, they are able to take advantage of all of the work supports in our program rules and start on the road to financial independence and a better future. Our ultimate goal is to increase participation in the program.</i></p>	New to this update	ANPRM 03/2016 Possible NPRM (TBD)	NA	We will invite public comment through an ANPRM and, if applicable, an NPRM.	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Neurological Impairments	AF35	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	09/2016	NA	<p style="text-align: center;">ANPRM 04/13/05 NPRM 02/25/14</p> <ul style="list-style-type: none"> • Policy conference July 28, 2005 	<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • Budget Implication TBD
SSA	NA	Revised Medical Criteria for Evaluating Respiratory System Disorders	AF58	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	05/2016	NA	<p style="text-align: center;">ANPRM 04/13/05 NPRM 02/04/13</p> <ul style="list-style-type: none"> • Policy conference August 25-26, 2005 	To be determined

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SSA	NA	Revised Medical Criteria for Evaluating Mental Disorders	AF69	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	07/2016	NA	<p style="text-align: center;">ANPRM 03/17/03 NPRM 11/24/10</p> <ul style="list-style-type: none"> • Commissioned National Research Council report, "Mental Retardation: Determining Eligibility for Social Security Benefits" • Policy conference September 23 and 24, 2003 • Commissioned Institutes of Medicine (IOM) Report on children with mental disorders • Commissioned IOM Report on psychological testing 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Digestive Disorders	AG65	<i>Analyze existing medical listings to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.</i>	Ongoing	NPRM 08/2016	NA	ANPRM 12/12/07	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Musculoskeletal Disorders	AG38	<i>Analyze existing medical listings to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.</i>	Ongoing	NPRM 08/2016	NA	<i>We will invite public comment through an NPRM.</i>	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Human Immunodeficiency Virus (HIV) Infection and for Evaluating Functional Limitations in Immune System Disorders	AG67	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2016	NA	<p style="text-align: center;">ANPRM 03/18/08 NPRM 02/26/14</p> <ul style="list-style-type: none"> • Commissioned IOM report, "HIV and Disability: Updating the Social Security Listings" • Policy conference September 10, 2008 	<p><i>Current figures on record; may change with final rule</i></p> <ul style="list-style-type: none"> • A net reduction in Federal SSI payments of +/- \$28 million over the first 5 years • A net reduction in OASDI payments of +/- \$71 million over the first 5 years • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)

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SSA	NA	New Medical Criteria for Evaluating Language and Speech Disorders	AG21	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 01/2017</i>	NA	<p style="text-align: center;">ANPRM 04/13/05 ANPRM 02/06/12</p> <ul style="list-style-type: none"> • Commissioned IOM Report on children with speech and language disorders • IOM Committee on the Evaluation of the Supplemental Security Income (SSI) Program for Children with Speech Disorders and Language Disorders Workshop March 9, 2015 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Cardiovascular Disorders	AG74	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 12/2017</i>	NA	<p style="text-align: center;">ANPRM 04/16/08</p> <ul style="list-style-type: none"> • Commissioned IOM Report, "Cardiovascular Disability: Updating the Social Security Listings" • <i>Policy conference September 24-25, 2008</i> 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Hearing Loss and Disturbances of Labyrinthine: Vestibular Function	AH54	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 01/2017</i>	NA	<p style="text-align: center;">ANPRM 04/13/05 ANPRM 08/30/13</p> <ul style="list-style-type: none"> • Policy conference November 7-8, 2005 	To be determined

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SSA	NA	Social Security Number Card Applications	AH68	<p>Currently, a person can apply for a replacement Social Security Number (SSN) card by submitting a completed form and supporting documentary evidence to a field office or Social Security Card Center. Applicants can also apply with a representative during an in-office interview.</p> <p>In fiscal year 2013, we processed over 10 million replacement SSN card applications. Moving this service online and removing the form and documentary submission requirements would allow us to develop convenient and efficient means to electronically process replacement SSN card applications while retaining the security necessary to protect the integrity of the card issuance process.</p> <p>We are developing an online application to allow certain members of the public to apply for replacement SSN cards electronically without having to visit an office or mail in an application and supporting evidence. In the proposed rule, an adult U.S. citizen who has a U.S. mailing address, a valid U.S. state-issued driver's license or identity card, and is not reporting any changes to his or her record would have the option to file for an SSN replacement card online, after registering through the my Social Security portal. During the application process, we would securely collect and verify required information electronically and analyze each request for potential fraud.</p>	<i>Completed</i>	<i>Final Rule Published 08/10/15 80 FR 47831</i>	NA	<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • We anticipate a significant savings in both time and cost. Replacement Social Security number cards is one of our most requested field office services. We issued over 10 million cards in 2013 with each request taking an average of 14 minutes to process. Our current estimated savings to the agency over a 5-year period is \$78,000,000.
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Paperwork and Reporting Burden Reduction Initiatives

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Social Security Benefits Application (iClaim Enhancements)	0618	We continue to enhance iClaim to increase ease and efficiency. Future changes include: 1) streamlining the Internet Disability Application for all disability applicants; 2) enhancing fraud reduction activities; 3) updating International Direct Deposit; and 4) implementing a global transfer table to transfer iClaim from the workload support unit to local field offices.	Ongoing	2016	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	23 burden hours eliminated to date
SSA	NA	Representative Payee Report (Adult, Child, and Organizational Representative Payee) (eAuthorization)	0623	We continue to expand eAuthorization to transactions involving third parties and childhood claims. We intend to use our Public Credentialing and Authentication Process (OMB No. 0960-0789) for eAuthorization, which will allow for the authentication and verification of third parties. This would allow us to verify the identity of the parents and guardians of claimants when they electronically sign the application, greatly improving ease and efficiency.	Ongoing	Ongoing	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	39,265 burden hours eliminated to date
SSA	NA	Internet SSN Replacement Card (iSSNRC) Application	0066	In November 2015, SSA began rollout of iSSNRC (approved by OMB on August 14, 2015), a new online tool. This new electronic modality allows certain applicants to apply for a replacement SSN card by completing a prescribed Internet application and submitting the required evidence completely online, rather than completing the paper Form SS-5. This new modality will increase efficiency and ease of completing the application for a replacement card, and will reduce the public reporting burden for applicants eligible to use iSSNRC. In addition, it will decrease traffic in our field offices.	Ongoing	2020	Yes	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	437,500 burden hours eliminated to date

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Totals									476,788 burden hours eliminated