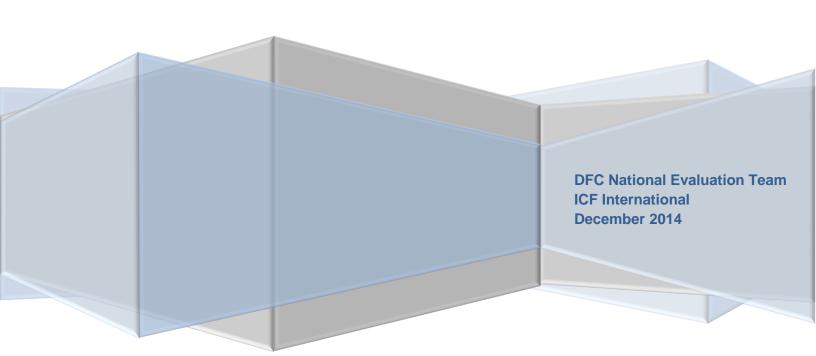
# Drug-Free Communities Support Program National Evaluation

**Understanding Core Measures and the Core Measures Survey Review Process** 



# Drug-Free Communities Support Program National Evaluation: Understanding Core Measures and Core Measures Survey Review

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Office of National Drug Control Policy

#### ABOUT THIS DOCUMENT

The Drug-Free Communities (DFC) National Evaluation team at ICF International has compiled this information to assist DFC grantees in understanding the DFC core measures and the process to follow for submitting core measures data. Information includes guidance on submitting surveys, understanding the survey review guide provided by the DFC National Evaluation team, and submitting core measures data. Understanding the survey review process is the first step to successfully submitting required core measures data to be used in the DFC National Evaluation. All DFC grantees are required to collect core measures data and to participate in the survey review process. A sample Core Measures Submission schedule that aligns with reporting requirements is provided in <a href="Appendix A">Appendix A</a>. A sample DFC core measures survey is provided in <a href="Appendix B">Appendix B</a>. Throughout the section on the survey review process, helpful "do and don't" hints for survey review are provided. These hints are also summarized in <a href="Appendix C">Appendix C</a>.

#### COMMUNICATING WITH YOUR DFC NATIONAL EVALUATION TEAM

If you have any questions about survey review, survey review guides, or the core measures, the DFC National Evaluation Team is here to help. Please contact at:

• Email: <u>DFC\_Evaluators@icfi.com</u> (please note there is an underscore: DFC\_Evaluators)

• Phone: 1-877-854-0731

The DFC National Evaluation team often needs to look up your coalition in different documents in order to provide you with appropriate guidance. The easiest way to do this is through your grant ID number (your SP0#). Please <u>always</u> provide your grant ID number in the subject/body of emails or be prepared to provide it when calling to help facilitate the process.

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#### INTRODUCTION AND OVERVIEW

#### What is the DFC Support Program?

In 1997, Congress enacted the Drug-Free Communities Act, which created the Drug-Free Communities (DFC) Support Program. This Act funds anti-drug coalitions to reduce youth substance abuse in their target communities. The White House Office of National Drug Control Policy (ONDCP) directs the DFC Support Program in partnership with the Substance Abuse and Mental Health Services Administration (SAMHSA). The DFC program provides grants (up to \$125,000/year for five years) to organizations that serve as vehicles for mobilizing the community to comprehensively engage in local prevention efforts. The DFC grant requires coalitions to address two major goals:

- 1. **Establish and strengthen collaboration** among communities, private nonprofit agencies, and federal, state and local and tribal governments to support the efforts of community coalitions to prevent and reduce substance abuse among youth; and
- Reduce substance abuse among youth and, over time, among adults by addressing
  the factors in a community that increase the risk of substance abuse and promoting the
  factors that minimize the risk of substance abuse.

#### What is the DFC National Cross-site Evaluation?

The DFC National Evaluation seeks to determine how effective the DFC program has been at achieving its goals of increasing collaboration and reducing/preventing substance abuse among youth. Specifically, the National Evaluation seeks to answer the following questions:

- 1. What impact has the DFC program made on reducing substance abuse outcomes at the community, state and national levels?
- 2. To what degree have DFC coalitions increased their capacity to collaborate within their community?
- 3. What are characteristics/strategies of successful DFC grantees?

Grantees are required to report updated progress data every 6 months, Coalition Classification Tool (CCT) data once each year (in August), and DFC core measures data every two years. This document provides guidance on core measures data submission. Preparing for collection of core measures data and for submission of required data are perfect times to draw upon the expertise of the coalition's local evaluator.

#### How do Core Measures Fit into an Overall Coalition Evaluation Plan?

At a minimum, grantees must collect the data required to be submitted in the semi-annual progress reports, including core measures data. Grantees are encouraged to collect any additional data beyond what is required for the National Evaluation if it assists with local evaluation efforts. An effective coalition will utilize a variety of data to understand their community, build a data-driven plan, and monitor progress toward outcomes. These data might include information from law enforcement on alcohol or drug-related arrests, highway safety data on alcohol-related fatal crashes, youth referrals to treatment, etc. Also, items such as where youth use and how youth obtain substances can provide valuable information for a

coalition. Alternate methods of data collection, such as focus groups and interviews, can provide "stories" to complement and reinforce student survey results.

Grantees are not required to collect or report on these data as part of the DFC grant, but utilizing a wide variety of local data will likely improve coalition success, including success on the DFC core measures. The coalition's evaluation plan should include not only the four core measures, but also other measures of short-term, intermediate, and long-term outcomes. For more information on coalition evaluation and incorporating the four core measures into an evaluation plan, please contact <a href="mailto:DFC\_Evaluators@icfi.com">DFC\_Evaluators@icfi.com</a>, read CADCA's National Coalition Institute Evaluation Primer (<a href="mailto:www.cadca.org">www.cadca.org</a>), and/or contact <a href="mailto:training@cadca.org">training@cadca.org</a>.

#### What are the DFC Core Measures That My Coalition Needs to Track?

The current DFC core measures were approved in December 2012. The four core measures were selected jointly by ICF International, SAMHSA, and ONDCP based on feedback from DFC grantees. A common set of DFC core measures is needed to ensure consistency in the data being tracked across diverse communities. As all grantees collect these same four core measures, evaluators can use them to assess the OVERALL effectiveness of the DFC program on youth substance use.

Many of the DFC core measures remained the same as prior to 2012 or were modified only slightly. One decision that was made in selecting the current DFC core measures was to eliminate age of onset as a DFC core measure and to add perception of peer disapproval of substance use as a core measure. In addition, youth use of prescription drugs not prescribed for them was added to alcohol, tobacco, and marijuana as a core substance of interest. DFC coalitions may target and collect data on other substances, but for DFC reporting requirements, data must be collected and reported on these four substances.

The four DFC core measures required to be reported on by grantees are:

- Past 30-Day Use—The percentage of youth who report using alcohol, tobacco, marijuana, or prescription drugs not prescribed to them at least ONCE in the past 30days.
- 2. **Perception of Risk**—The percentage of youth who report that use of alcohol, tobacco, marijuana or prescription drugs not prescribed to them has moderate risk or great risk.
  - Alcohol. Use is defined for alcohol as five or more drinks of an alcoholic beverage once or twice a week. That is, for alcohol, perceived risk of use is associated with binge drinking.
  - Tobacco. Use is defined for tobacco as smoking one or more packs of cigarettes a day.
  - Marijuana. Use for marijuana is defined as once or twice a week.
  - Prescription Drugs. Use of prescription drugs not prescribed to you is defined as any use.

- Perception of Peer Disapproval—The percentage of youth who report that their peers
  would feel it would be wrong or very wrong to use alcohol, tobacco, marijuana, or
  prescription drugs not prescribed to you.
  - Alcohol. Use of alcohol is defined as one drink of an alcoholic beverage nearly every day.
  - **Tobacco**. Use of tobacco is defined as any use.
  - Marijuana. Use of marijuana is defined as any use.
  - Prescription Drugs. Use of prescription drugs not prescribed to you is defined as any use
- Perception of Parental Disapproval— The percentage of youth who report that their parents feel it would be wrong or very wrong to use alcohol, tobacco, marijuana and prescription drugs not prescribed to you.
  - Same definitions of use as for peer disapproval

In addition, some DFC grantees are also currently Sober Truth on Preventing Underage Drinking Act (STOP Act) grantees or hope to receive a STOP Act grant in the future. To support these grantees, alternative core measure items have been approved for Perception of Risk for alcohol use and Perception of Peer Disapproval of alcohol use. Specifically, DFC grantees may collect either the DFC core measures for these items or the STOP Act core measures for these items. DFC grantees may also collect both pieces of information but are not required to do so. The wording for the STOP Act core measures is as follows:

- STOP Act Perception of Risk of Alcohol Use— The percentage of youth who report
  that that use of alcohol has moderate risk or great risk. Alcohol use is defined as one or
  two drinks of an alcoholic beverage nearly every day. That is, for the STOP Act core
  measure, perceived risk of alcohol use is associated with regular use.
- STOP Act Attitude Toward Peer Use of Alcohol— The percentage of youth who
  report that they would somewhat disapprove or strongly disapprove if someone their age
  had one or two drinks of an alcoholic beverage nearly every day.

#### COLLECTING CORE MEASURES DATA

This section provides guidance about core measures data collection. It is important to determine from whom, how often, and how you will collect data.

### From Whom and How Should Grantees Collect the Four Core Measures?

Grade Levels. In order to submit core measures data, grantees will need to know the total number of youth at each grade level who responded to the survey and the percentage of youth who responded in the manner indicated by the core measure. Grantees must collect data from youth in at least three grades between grades 6 and 12, including collecting from at least one grade at the middle school level and one grade at the high school level. Most grantees collect from at least grades 6, 8 and 10 or grades 7, 9, and 11. Many grantees collect data from youth in all seven middle school and high school grades to meet local evaluation needs.

#### **Core measures must:**

- Be asked regarding past 30day use, perception of risk, perception of parental disapproval, and perception of peer disapproval.
- Be asked for alcohol, tobacco, marijuana, and prescription drugs not prescribed to you.
- Be collected for at least 3
   grades between grades 6 and
   12, including at least one
   grade at the middle school
   level and at least one grade at
   the high school level.
- Be collected at least every two years.

**Gender.** In addition to submitting data by grade levels, grantees are asked to submit data for each core measure separated by gender. That is, the percentage of boys and the percentage of girls who responded in the manner indicated by the core measure will be reported.

Representative Sampling. A sample of youth must be surveyed that is appropriately large enough and similar enough to the target population served by the coalition, so that the data can be assumed to be an accurate representation of how all target youth would have responded if all could have been surveyed. As much as possible, the DFC coalition needs to ensure that the core measures data collected represent the coalition's target area and population. The expertise of a local evaluator can help ensure that the coalition representatively samples targeted youth.

One of the questions grantees are asked prior to entering core measures data into the semi-annual DFC progress report is, "Do you think that the data are representative of your target population? If not, please explain." Grantees must be honest in answering this question. For example, if the coalition did its best, but one important data source did not complete the survey (for example, a high school in your target community opts out of the survey), the coalition would indicate "no, data are not representative" and explain the issue. This allows the National Evaluation team to determine what data should be included in the analyses. The coalition will still submit any data collected in order to be in compliance with the grant requirement, but it may be excluded from analyses.

Some issues to watch out for include:

 Be sure the sample represents the diversity of the target population. If the coalition is targeting Asian American/Pacific Islander (AA/PI) youth living in five neighborhoods, core measures must be collected in places that accurately reflect this population. Including only Caucasian youth in the survey sample or only surveying AA/PI youth in two out of five neighborhoods would not accurately reflect the coalition's target population.

- If data are collected through a school, be sure it is not being collected with only a subgroup of students who may not represent all of your target population. For example, be sure you are not just surveying in an after-school program, just students who participate in athletics, or just students in advanced placement courses.
- Make sure that core measures are collected at the same level as the work of your coalition. If the coalition is city-focused, but core measures data are reported at the county level, this will not be representative.
- Sample size is not as important as a representative sample. However, larger samples (closer to the size of the total group) may help in being more representative. Grantees collecting data from large schools (greater than 500 students) are encouraged to sample and report on no less than 30 percent of the total number of students in each grade. Grantees collecting data in smaller, rural communities will want to survey as many youth as possible. To sample appropriately, grantees must first learn about the breakdown of students by grade, gender, race/ethnicity, neighborhood, etc. in the communities targeted by their coalition.

*Time of Year the Survey Is Administered.* Time of year of survey administration is critical as you collect data over several years. Survey results may fluctuate based on the time of year it is administered. For example, results based on data collected in the fall may differ from results based on data collected in the spring, so comparing survey results from spring 2005 with fall 2007 may lead to inappropriate and inaccurate interpretations. As much as possible, be consistent with survey administration. If the time of year does need to change, be sure to comment on that in your progress report.

Consent Issues. Coalitions need to understand what their state or school district requires in order to conduct a student survey. The Protection of Pupil Rights Amendment of 2002 requires written parental/guardian permission for students to take part in U.S. Department of Education-funded school-based surveys that ask questions of a sensitive nature. Some states and school districts have implemented policies and laws that also require written consent for all school-based surveys. There are two ways to get parent/guardian consent for school-based surveys. In both, parents/guardians are notified of the survey and permission for their child to participate is requested.

- Passive Consent: In passive consent, parents/guardians send back a form only if they do not want their child to take part in the survey. If no form is turned in, schools can assume that consent for participation is given. If the state or school has determined that the survey requires written parental consent, passive consent will not be acceptable.
- Active Consent: In active consent, parents/guardians must send back a form agreeing
  to allow their child to take the survey. Without this written permission, the student cannot
  participate in the survey. If a form is not turned in, you must assume that permission is
  not granted.

Using active consent may mean increased cost to grantees because it usually requires more follow-up with parents/guardians to return the permission slip. Additionally, some researchers feel that active consent may result in a smaller sample that is biased (not representative). However, if active consent is required by the school or state, then coalitions must work with this. If the grantee feels that their sample may not be representative for any reason, please comment when submitting the data through progress reports.

# How Often Should DFC Grantees Collect the Four Core Measures? When Should DFC Grantees Submit Survey Results for the Four Core Measures into Progress Reports?

For the National Evaluation, coalitions are asked to submit baseline core measures data the first time they go submit a progress report. These core measures data reflect the coalition's core measures results PRIOR to receiving the DFC grant. Grantees will have collected these baseline data in order to apply for the DFC grant. Ideally, the baseline data will have been collected within two years of having received the DFC grant. For example, DFC grants awarded in 2014 will have baseline data from either 2012, 2013, or 2014 (collected prior to receiving any DFC funds).

Upon award, DFC coalitions are required to collect *new* core measures data every two years and submit the data in a progress report once collection of data is completed and data have been summarized. Grantees should submit data within one year of data collection. For example, data collected during 2014 would be submitted in the progress report by no later than August 2015. The data submitted every two years <u>must represent new data collection</u>. Once data have been reported in a progress report, grantees should not resubmit the data in a later reporting period.

For most grantees in their first five years of DFC funding, data will be submitted in years 1 (baseline), 3, and 5 of the grant. If you continue your grant without a break into years 6 through 10, core measures data will also be submitted in years 7 and 9. If there is a gap between the coalition's year 5 and year 6 grant awards, data will be submitted in years 6, 8 and 10. However, the schedule may differ somewhat based on when the baseline data was collected. Grantees are encouraged to reach out to the National Evaluation team to discuss their core measures submission schedule. In addition, grantees must contact their Project Officer at SAMHSA to address any issues regarding compliance with the core measures reporting requirement.

A sample core measures submission schedule is provided in Appendix A.

#### How do I Collect the Four Core Measures?

Typically, a survey is used to collect the four core measures. Grantees are permitted to use the DFC sample survey provided by the DFC National Evaluation team (see <u>Appendix B</u>). This sample includes the recommended wording for collecting the core measures data. In order to reduce burden on schools and youth, coalitions should attempt to connect with existing survey efforts in their community, such as alcohol and other drug student surveys being conducted in schools or community groups either through the school district, the city, or the state whenever

possible. Ask your schools if they implement a student survey regarding substance use. Also, many states coordinate and administer statewide student surveys.

If a state-level or national survey is being conducted, coalitions should determine if sufficient sampling has or can occur so data can be disaggregated to the local level to model the coalition's target areas as closely as possible. If your coalition targets efforts at the city level, then county, state, or national level data will not allow you to track changes in your community. In addition, some already established surveys may not collect DFC core measures data aligned with the National Evaluation requirements. Please be sure that the state survey will provide you with data in a timely manner in order for you to both guide your coalition activities and to meet reporting requirements. In order to ensure that only appropriate data are included in the National Evaluation data, all grantees are required to submit the survey used to collect core measures data for review by the DFC National Evaluation team. Additional information about the survey review process is provided in a separate section.

Why Use a Student Survey? While the DFC program does not require that core measures data be collected via a survey implemented in the school system, a student survey administered within the school is likely the easiest and most comprehensive way to collect this information. A student survey often represents the most convenient way to collect core measures data since a large majority of children and youth attend school. Additionally, since you must provide core measures data broken down by grade, the school system provides a natural setting to obtain the results in the desired format. Surveying youth in settings other than school may decrease the likelihood that the data are representational. If the coalition is in part targeting youth who are out of school (that is, who have dropped out of school), finding ways to survey these youth as well is critical.

#### CORE MEASURES SURVEY REVIEW

#### Why Must Core Measures Survey(s) Be Submitted for Review?

The DFC National Evaluation team reviews all surveys to identify what core measures data are being collected and how. This review is critical to ensure that the National Evaluation correctly reflects any changes in core measures data over time in a consistent manner across all grantees. Some DFC grantees ask core measures questions in exactly the manner provided in the sample DFC core measures survey (see <a href="Appendix B">Appendix B</a>). However, grantees may vary the wording to some extent or may collect information for one core measure through asking a series of questions (e.g., the survey may have a few questions that together address the past 30-days prescription drug use core measure). The National Evaluators review surveys for variance and determine if survey wording collects core measures data that can be submitted.

Each survey submitted for review is examined closely to identify an item or items that collect data for each core measure to determine if the survey has an approved item and how data for that item should be submitted. Survey items will fall into one of the following categories:

- Exact wording, core measure data can be submitted for the item
- Similar wording, core measure data can be submitted for the item
- Multiple items, core measure data can be submitted if data can be summarized across items
- No compatible item or a wording change collects data not compatible with core measure, core measure data cannot be submitted for that item

Prior to submitting your survey for review, compare your current survey with the recommended wording for the National Evaluation. If you notice differences and can make changes, please do so, as this will likely increase the likelihood that your survey will be fully approved for core measures data submission. Keep the following in mind:

- Is the question stem worded correctly? Are the response options worded correctly?
- If not, is there a conversion I can use?
- Does my survey ask the question for all four substances— alcohol, tobacco, marijuana and prescription drugs?
- Are the core measures worded correctly or are appropriate conversions available for all the grades I survey (a minimum of three grades)?

#### When Should Survey(s) Be Submitted for Review?

Ideally, DFC grantees would submit a survey for review prior to collecting data using the survey. However if the coalition has already collected data using a given survey, the survey will need to be submitted prior to core measures data submission. If your coalition needs to submit core measures data during the next reporting period and does not currently have the survey used to collect that data submitted in the reporting system, the survey(s) should be submitted in the system as soon as possible.

If your coalition is making changes to its survey, submit the survey for review once all revisions are completed and before you administer the survey. This will let your coalition know ahead of

time if there are any issues in the revised survey related to collecting core measures data and your coalition may be able to address survey issues prior to collecting the data. These surveys can be marked as urgent for review if you require a quick turnaround to accommodate the coalition's data collection schedule.

Finally, if you have an approved survey but are changing wording or switching surveys, you will need to submit your revised/new survey to be reviewed prior to entering any core measures data collected using that survey. When it is time for you to enter core measures data into your progress report, the first decision you will make is which survey was used to collect that data. The DFC National Evaluation team relies on your accuracy in selecting the correct survey and submitting only approved core measures data in the manner described in your survey review guide.

Unless a survey has been revised, please submit any given survey only once. If you have not received feedback on a submitted survey, please send an email to <a href="mailto:DFC\_Evaluators@icfi.com">DFC\_Evaluators@icfi.com</a> before resubmitting your survey into the reporting system. If you are submitting a revised survey, be sure the file name is different from earlier surveys submitted into the system.

#### **Helpful Hints**

Do Don't

- Submit a survey for review prior to your next planned submission of core measures data.
- Submit revised/new surveys to be reviewed. Submit prior to data collection when possible.
- Submit a given survey only once.
- Contact <u>DFC\_Evaluators@icfi.com</u> if you are waiting on your survey review.
- Submit surveys used in the past that your coalition is no longer using.
  - Submit the same survey multiple times without renaming.

#### What Survey(s) Should Be Submitted for Review?

Surveys that need to be reviewed for a given reporting period are those surveys that your coalition used to collect the core measures data that you are planning to submit in your progress report. For example, if you need to submit core measures data in August 2015 based on a survey you used in 2014 to collect data, submit the 2014 survey in the reporting system. If you use more than one survey, each survey must be submitted individually into the system (see the section labeled "What if Our Coalitions Needs to Submit More than One Survey?").

Locate an electronic, blank copy of the survey or surveys used to collect the data that your coalition will be submitting in the next reporting period. If your coalition does not have an electronic copy of the survey, please contact <a href="mailto:DFC Evaluators@icfi.com">DFC Evaluators@icfi.com</a> about how to address that issue.

Your coalition may be in communication with other coalitions regarding using the same survey, including state surveys, and may find out that the survey has already been approved by the DFC National Evaluation team when submitted by one of the other coalitions. Your coalition is still responsible for submitting the survey to be reviewed. This will confirm that you are using the same version of the survey that was reviewed. In addition, your coalition will not be able to enter core measures data until the survey review process is completed. When the DFC National Evaluation team completes your survey review, they will upload a survey review guide into the system and will activate data fields for all core measures that were approved for data submission. This allows you to enter data for each approved core measure.

#### **Helpful Hints**

Do Don't

- Submit a <u>blank</u> copy of your survey through the reporting system.
- Submit the survey used to collect core measures data that you plan to submit in your progress report.
- Submit surveys even if your coalition is using a standard survey, such as Communities That Care (CTC) or the Youth Risk Behavior Surveillance Survey (YRBS) or a state survey (e.g., California Healthy Kids Survey Modules A & G), that has already been approved for other coalitions to use.
- Submit a copy of your survey through email.
- Submit a report about the data you collected, unless it contains a blank copy of your survey.
- Submit a data file.
- Submit a parent survey or community survey that does not include student selfreport on the core measures.

#### Where/How Should a Survey Be Submitted?

All surveys that need to be reviewed must be submitted through the reporting system. For information on the COMET system or on COMET training please contact DCAR Support:

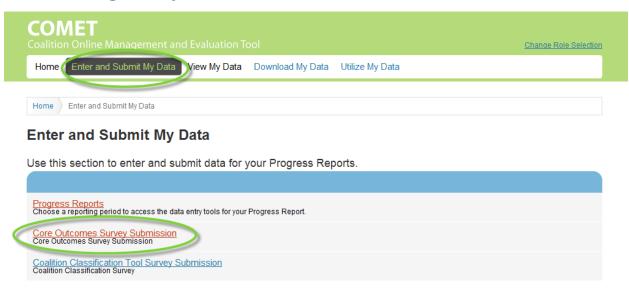
Email: DCARSupport@kitsolutions.net

Phone: 1-888-348-4248

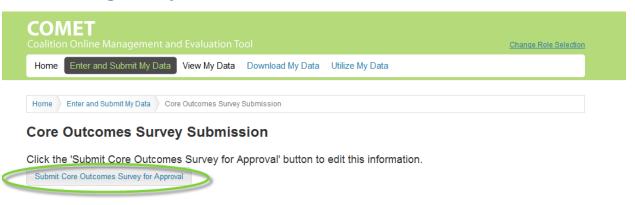
The following screenshots from COMET show what you will see in COMET when going to the appropriate place to submit a survey for review:

Enter and Submit My Data > Core Outcomes Survey Submission > Submit Core Outcomes Survey for Approval.

#### **Submitting Surveys: COMET Screenshot 1**



#### **Submitting Surveys: COMET Screenshot 2**



#### **Helpful Hints**

Do	Don't
Submit your survey in COMET Enter and Submit My Data > Core Outcomes Survey Submission > Submit Core Outcomes Survey for Approval.	Submit surveys in an email.

#### What If Our Coalition Needs to Submit More than One Survey?

The DFC National Evaluation Team has identified two reasons coalitions may want to submit more than one survey:

- Your coalition uses totally different surveys, either in different situations or in different grade levels (e.g., middle school version and high school Version)
- Your coalition uses the same survey, but receives data summarized by each school and would like to enter core measures data for each school separately

If your coalition uses the same survey across multiple schools and can summarize the data across those schools, only one survey will need to be submitted. Similarly, many coalitions use the same survey with middle school and high school students and this survey can be uploaded just once as a "Both Middle School and High School."

If your coalition determines that more than one survey does need to be submitted or you need to submit the same survey multiple times because data has not been summarized across schools, please upload each survey into the system as its own individual submission. When submitting a survey for review, the submitter will be prompted to:

- 1. Fill out submitter name, submitter role, name of survey, and grade level receiving the survey.
- 2. Upload the survey document, giving it a unique name.
- 3. Click on Submit.

Please repeat this process for each survey that you are submitting for review. To check that surveys were correctly submitted, go back to the core outcomes survey submission page and see how many times the submitter's name is displayed. For example, if two surveys were submitted, the submitter's name should be listed twice. Be sure each survey file that is uploaded has a different name from other uploaded surveys. If names are not unique, the system will treat the survey as if it had been accidentally submitted multiple times and only provides one survey to the DFC National Evaluation team for review.

If your coalition has not yet collected the data you plan to submit in 2015 and has revised a survey to reflect the new core measure, you can let us know that you need an urgent review of

#### Should I select "Middle School Only Survey", "High School Only Survey," or "Both Middle School and High School Survey"?

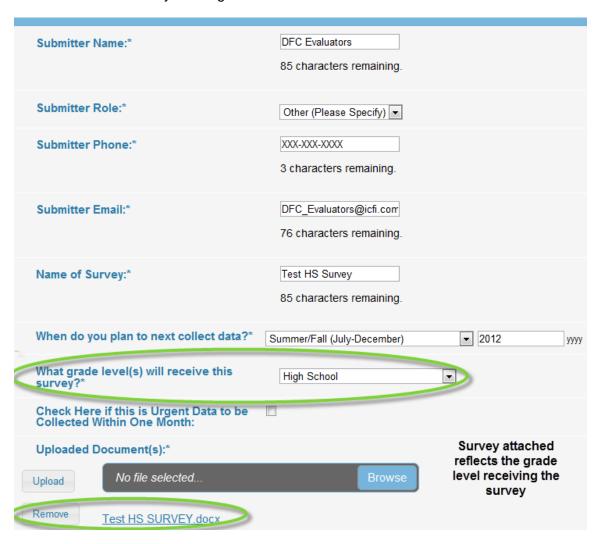
- Middle School: Select if you have core measure items worded in different ways for middle school and high school youth. The survey uploaded here will be the one you use only with Middle School youth.
- High School: Select if you have core measure items worded in different ways for middle school and high school youth. The survey uploaded here will be the one you use only with high school youth.
- Both Middle School and High School:
   If you coalition uses the same survey with exact same wording across a range of schools and grade levels and can summarize data collected across schools select this option.
- If data are provided to your coalition by school and cannot be summarized across schools, you will select the appropriate grade level, include the school name in the survey title, and submit the same survey multiple times, changing the file name to reflect the school name each time. This is ONLY necessary if you cannot summarize data across schools.

that survey. Click on the button next to: Check Here if this is Urgent Data to be Collected Within One Month.

The following COMET screenshots provide a look at various steps in the process of submitting one or more surveys. Notice that each survey that has been uploaded is provided with a unique file name.

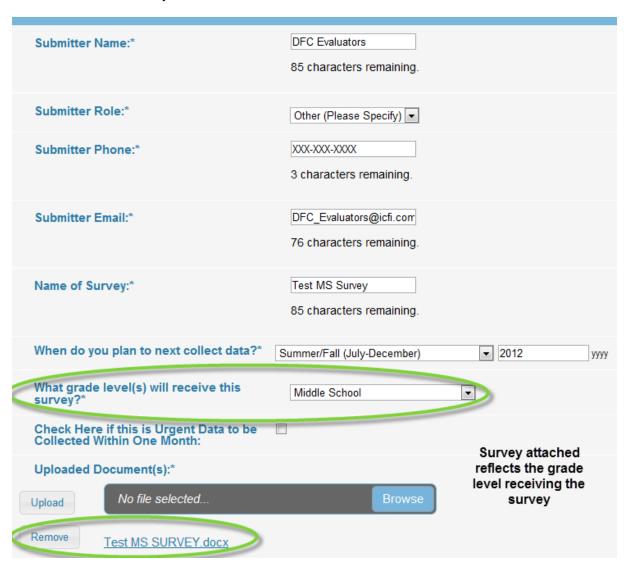
#### Correctly Submitting a High School Only Survey: COMET Screenshot

This COMET screenshot provides an example of a grantee correctly submitting a single survey used to collect data only with high school students for review.



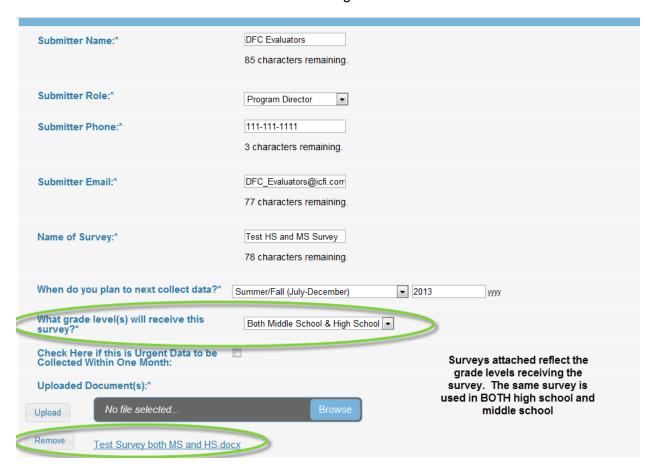
#### Submitting a Middle School Only Survey: COMET Screenshot

This COMET screenshot provides an example of a grantee correctly submitting a single survey used to collect data only with middle school students for review.



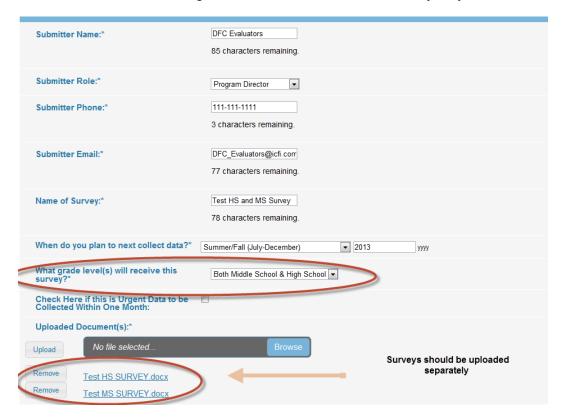
# Submitting a Survey That Is Used in BOTH High School and Middle School: COMET Screenshot

This COMET screenshot provides an example of a grantee submitting a single survey that was used to collect data with both middle school and high school students for review.



#### Submitting Multiple Surveys Incorrectly: COMET Screenshot

This COMET screenshot provides an example of a grantee *incorrectly* uploading two surveys under a single survey submission. This grantee should have selected "High School Only" and submitted just the high school survey for review and then initiated the process again selecting "Middle School Only" and submitting just the middle school survey for review. If the surveys are exactly the same and the grantee can summarize data across schools, they could have selected "Both Middle School and High School" and submitted the survey only once.



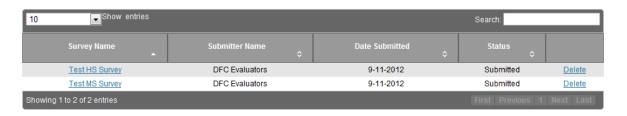
#### Screen After Submitting Multiple Surveys Correctly: COMET Screenshot

This screenshot is an example of a grantee who **correctly** submitted two surveys to be reviewed. On the core outcomes survey submission page, the two surveys each have their own line. Based on the unique names, it appears that one is a high school only survey and one is a middle school only survey. Each will be reviewed individually to determine approval status.

#### **Core Outcomes Survey Submission**

Click the 'Submit Core Outcomes Survey for Approval' button to edit this information.

Submit Core Outcomes Survey for Approval



#### **Helpful Hints**

Do	Don't
<ul> <li>Submit only one survey during each survey upload.</li> <li>Let the DFC National Evaluation team know in the comments section if the same survey is being submitted for review multiple times, each with a unique name, because core measures data will be entered for one school at a time rather than summarizing across schools.</li> </ul>	Upload multiple surveys under a single submission.

#### SURVEY REVIEW GUIDES

# What is a Survey Review Guide and Why It is Necessary to Review the Survey Review Guide Prior to Reporting Core Measures Data?

Once a survey has been submitted into the system, the DFC National Evaluation team initiates the review process. For each survey that is submitted, a companion Survey Review Guide (SRG) document will be created. The SRG will outline the approved core measures on the submitted survey and described how to summarize and submit the core measure data (e.g., summarizing student responses across several questions, submitting by grade level and by gender).

An SRG provides you with all the information that you need to know about your survey. The DFC National Evaluation team provides a guide associated with the survey that will help you understand what questions are compliant and how to calculate data, so that you are reporting accurate data for the purpose of the National Evaluation. DFC grantees must review this document prior to submitting any core measures data

The guide will show, core measure by core measure, which items the National Evaluation team has identified as meeting DFC core measure requirements and will explain how to calculate survey responses for entering core measures outcomes into a progress report. Occasionally, the DFC National Evaluation team learns from grantees that data cannot be calculated in the way suggested in the SRG (e.g., data could not be summarized across items). Please communicate any data calculation issues to the team at <a href="mailto:DFC\_Evaluators@icfi.com">DFC\_Evaluators@icfi.com</a>, so the evaluators can work with you to develop a plan on how to submit the core measure data.

If you are were a DFC grantee prior to December 2014, you may notice that the format of the survey review guide has been modified. This new format does NOT reflect any changes in the DFC core measures. The changes are intended to provide a clearer, easier to understand SRG feedback to grantees. After receiving feedback in the revised SRG, if you would like more detailed information on the approval status of your survey, please reach out to the National Evaluation team. The following are examples of what you will find in your SRG.

#### Overall Core Measures Chart: Survey Review Guide

Here is an example of what a grantee will see on the first page of the SRG. The table provides a quick reference to what core measures and substances are approved by the DFC National Evaluators. All grantees who first received funding in 2013 will only be approved to submit data for the current DFC core measures.

DFC Core Measures								
	Alcohol	Tobacco	Marijuana	Prescription Drugs				
Past 30-Day Use	<b>√</b> +	<b>√</b> +	<b>√</b> +	Х				
Perception of Risk	<b>√</b> +	✓	✓	<b>√</b> +				
Perception of Peer Disapproval	X X X							
Perception of Parental Disapproval	<b>√</b> +	√+	√+	√+				
9	STOP ACT Co	re Measures						
	Alcohol							
Perception of Risk	S							
Attitude Toward Peer Use	S							

<sup>✓ +</sup> A ✓ + indicates that this item is approved and data can be entered into COMET.

- A ✓ indicates that this item is approved and data can be entered into COMET, <u>but will not be eligible</u> <u>for entry after February 2015.</u> Grantee will need to submit a revised survey before NEXT data collection to continue entering data for this measure.
- An S indicates that this item is approved for STOP Act Grantees and data can be entered into COMET.

  If the DFC grantee is not a STOP Act grantee, they are not required to submit these data.
- **X** An **X** indicates that this item is NOT approved and data can NOT be entered into COMET. See the sample survey at the end of this guide for recommended phrasing of approved core measure items.

#### Example Calculation Page for Past 30-Day Use: Survey Review Guide

Provided here is an example of the past 30-day use calculation page. The chart provides instructions on how to calculate your data based on the response options of each approved core measure question(s). In this example, the grantee will need to summarize a range of potential responses (labeled B through F) in order to identify all youth who indicated any past 30-day use of a substance. No item on the survey met the National Evaluation requirements for past 30-day use of prescription drugs and the grantee will not be able to submit progress report data for this measure.

#### **PAST 30-DAY USE**

Follow these *Data Instructions* on how to report the total sample size (Step 2) and percentage of youth who report **30-day use** (Step 3) for each substance. Then, enter results into COMET. Percentages are entered into COMET as whole numbers, rather than decimals (e.g. enter 13.1, instead of 0.131, to represent 13.1%).

For each substance, calculate total sample size and percentage of youth SEPARATELY by:

- Grade (e.g., for all 6<sup>th</sup> graders, all 7<sup>th</sup> graders, all 8<sup>th</sup> graders, etc.)
- · Gender (e.g., for all male youth, all female youth)

	Item	Approval	Data Instructions						
Substance	Substance Number Status		Step 1: Number of 30-Day Users	Step 2: Total Sample Size	Step 3: Percentage of 30- Day Users				
Alcohol	42a	<b>√</b> +	Sum up the number of 30-day users of alcohol, options B through F (30-day users = # who chose options B + C + D + E + F)	Sum up the number of youth who responded to the item (total sample size = # who chose any response option)	Calculate the percentage of respondents who are users by dividing the sum from Step 1 by the sum from Step 2, then multiplying by 100 ((Step 1 ÷ Step 2) × 100)				
Tobacco	42b	<b>√</b> +	30-day users = # who chose options B+C+D+E+F	Total sample size = # who chose any response option	Percentage of 30-Day Users = (Step 1 ÷ Step 2) × 100				
Marijuana	42c	<b>√</b> +	30-day users = # who chose options B+C+D+E+F	Total sample size = # who chose any response option	Percentage of 30-Day Users = (Step 1 ÷ Step 2) × 100				
Prescription Drugs	42e	X	С	o NOT enter data in	to COMET.				

#### **Helpful Hints**

Do	Don't
<ul> <li>Thoroughly read the SRG to make sure that the content is consistent with the survey submitted.</li> <li>Follow the instructions provided in the calculation page provided at the end of each core measure section.</li> </ul>	<ul><li>page of the SRG.</li><li>Enter data without reading the SRG.</li></ul>

### Where Does My Coalition Access Evaluator Feedback and the Survey Review Guide?

When there is an issue with your survey submission or when the SRG is complete and has been uploaded, the person who submitted the survey will automatically receive an email from the system. Your survey status will be one of the following:

- Rejected: Rejection typically occurs when multiple surveys were submitted incorrectly or
  when a blank copy of the survey was not submitted. In a small number of cases, rejected
  indicates that the survey that was submitted did not contain any measures that met
  standards for core measures reporting.
- Partially Approved: Partially Approved status indicates that the SRG is complete. While
  some items on the survey did meet core measures reporting requirements, not all core
  measures were included in the survey. The SRG will clearly indicate which core
  measures were not included in the survey or were worded in a way that did not meet
  standards for core measures reporting.
- Approved: Approved status indicates that the SRG is complete and that acceptable
  items for ALL core measures were identified in the survey. The grantee will be able to
  enter data for all core measures into the progress report.

When your coalition receives the email, the submitter will go back into the same section of the system where the survey was submitted (see the section on "Submitting Surveys"). Click the survey name to open the summary page of your submission. At the bottom of the screen for the survey submission, will be a section identified as "Evaluator Feedback." In cases where the survey was "Partially Approved" or "Approved," the Evaluator Feedback will indicate that you need to download and review your SRG. If a survey submission was "Rejected", the Evaluator Feedback will explain the rejection.

To locate and download the survey review guide, look for the **Review Guide Document** under the Evaluator Feedback section. Click on the document and download it onto your computer. Check to make sure that the SRG content matches the survey that you uploaded. The following screenshots demonstrate how to access the evaluator feedback and/or the uploaded SRG. The DFC National Evaluation team includes the marker of "\_SG" in the Word document name to indicate that the document is a SRG.

#### Locating Survey Approval Status: COMET Screenshot

#### **Core Outcomes Survey Submission**

Click the 'Submit Core Outcomes Survey for Approval' button to edit this information.

Submit Core Outcomes Survey for Approval



#### Locating the Survey Review Guide: COMET Screenshot



#### **Helpful Hints**

Log into the reporting system to receive feedback and a survey review guide upon receipt of an email that the survey has been Rejected, Partially Approved, or Approved.
 Download and carefully read the survey review guide in order to understand what core measures data should be submitted and how.
 Enter core measures data into a progress report without first reviewing the survey review guide.

## What If the Survey Submitted Does Not Have Appropriate Items for One or More Core Measures?

The review does not determine grantees' compliance with grant requirements; rather it provides information related to how the survey questions can be used in the National Evaluation. Only Project Officers can determine a grantee's compliance with grant requirements. Grantees are encouraged to discuss with their DFC Project Officer whether or not they will be in compliance with DFC reporting requirements based on their survey review. Grantees must resubmit surveys for approval any time changes are made to their survey.

# What If the Approved Substances and Core Measures that Are in My Guide Are Not Activated in the System?

Please do not hesitate to let us know if your review guide indicates that you can/cannot submit data for a core measure, but the reporting system is allowing/not allowing you to enter data for that core measure. That is, let the DFC National Evaluation Team know if what your SRG indicates is not aligned with the reporting system in any way so that this can be corrected. As always, please do not hesitate to contact our team with any questions or concerns that you have! We can be reached by email at <a href="mailto:DFC\_Evaluators@icfi.com">DFC\_Evaluators@icfi.com</a> or by phone at 877-854-0731.

#### Appendix A: Sample Core Measures Submission Schedule

Establishing a core measures data submission schedule can be simple or complex. Grantees are encouraged to reach out to the DFC National Evaluation team to discuss their core measures submission schedule. The core measures data reporting requirement is that grantees must collect and then report on data every two years during the grant. The samples provided here assume a one-year gap between data collection and data submission, because this type of delay is common among grantees. Grantees able to collect and submit data within the same year are encouraged to do so. If your coalition expects that it will take more than one year between the time data are collected and when they are reported, please contact your Project Officer as this is a compliance issue.

The following table provides sample Core Measures Submission Schedules based on expectations for New (FY2014) DFC Grantees.

If baseline data were collected in	Report this baseline core measures in	Report your next core measures data as soon as possible after they are collected in	Before your 5-year grant period is over, report data collected in
2014	February 11, 2015	2016	2018
2013 (most common)	February 11, 2015	2015	2017 & 2019 (if possible)
2012	February 11, 2015	2014	2016 & 2018
2011	Baseline data should not be 3+ years old; do not submit core measures data until new data are collected	2014/2015	2017 & 2019 (if possible)

There are several potential patterns that grantees may follow in collecting and reporting data, as long as they meet the requirement to collect and report data every two years. The following table provides several sample patterns based on Core Measures Submission Schedule for New (FY2014) DFC Grantees.

#### C=Collect data

R=Report data (can be either February [preferred] or August)

	2012	2013	2014	2015	2016	2017	2018	2019
Pattern 1: Currently available baseline data was collected earlier than 2012				С	R	С	R	C R (if possible)
Pattern 2: Baseline data collected in 2014 prior to start of grant			С	R	С	R	С	R
Pattern 3: Baseline data collected in 2013		С		C R 2012 data	R	С	R	C***
Pattern 4: Baseline data collected in 2012 and 2014	С		С	R(2)	С	R	С	R

#### Additional Notes on Core Measures Submission:

- Submit survey(s) used to collect core measures data prior to data submission. New grantees should submit the survey used for baseline data collection (i.e., for the data reported in their grant application) as soon as possible after their grant is awarded.
- If available baseline data do not include at a minimum approved core measures for past 30day use, then the DFC grantee must collect and report this data as soon as possible after their grant is awarded.
- Once a reporting cycle is established, grantees are committed to continue with that cycle.
   Grantees should get their project officer's approval if they wish to change their reporting schedule.
- If a year 6 grantee continues without a lapse of more than two years in funding, they should stay on their original data collection schedule; however, if a grantee experiences a lapse in DFC funding, they should establish a baseline from the years that funding was not in place.
   If data collection is not possible during the time when the DFC grantee experienced a lapse in funding, provisions must be made to collect data as soon as possible after their Year 6 grant begins.

#### Appendix B: Sample Core Measures Survey

The National Evaluators sample survey, including recommended phrasing for core measure questions, is as follows:



#### Drug Free Communities (DFC) Support Program Evaluation of Core Measures Survey (January 2012)

I. GENERAL INFORMA	TION		II. 30-DAY USE		
1. Sex:	2. Grade:	3. Age		Yes	No
Male	<b>□</b> 6	10 years old or less	During the past 30 days did you drink one or more		
☐ Female	<b>1</b> 7	☐ 11 years old	drinks of an alcoholic beverage?		
	□ 8	☐ 12 years old	During the past 30 days did you smoke part or all of		
	<b>□</b> 9	☐ 13 years old	a cigarette?		
	<b>1</b> 0	☐ 14 years old	During the past 30 days have you used marijuana or hashish?		
	<b>1</b> 1	☐ 15 years old		_	_
	<b>□</b> 12	☐ 16 years old	During the past 30 days have you used prescription	П	П
	☐ 17 years old	drugs not prescribed to you?	•	_	
		☐ 18 years old	drugs not prescribed to you?		
		☐ 19 years old or more			

III. PERCEPTION OF RISK				
	No Risk	Slight Risk	Moderate Risk	Great Risk
How much do you think people risk harming themselves physically or in other ways when they have five or more drinks of an alcoholic beverage once or twice a week?				
How much do you think people risk harming themselves physically or in other ways if they smoke one or more packs of cigarettes per day?				
How much do you think people risk harming themselves physically or in other ways if they smoke marijuana once or twice a week?				
How much do you think people risk harming themselves physically or in other ways if they use prescription drugs that are not prescribed to them?				
Required for STOP Act Grantees only: How much do you think people risk harming themselves (physically or in other ways) if they take one or two drinks of an alcoholic beverage nearly every day?				

IV. PERCEPTION OF PARENTAL DISAPPROVAL				
	Not at all wrong	A little bit wrong	Wrong	Very wrong
How wrong do your parents feel it would be for you to have one or two drinks of an alcoholic beverage nearly every day?				0
How wrong do your parents feel it would be for you to smoke tobacco?				
How wrong do your parents feel it would be for you to smoke marijuana?				
How wrong do your parents feel it would be for you to use prescription drugs not prescribed to you?				0

V. PERCEPTION OF PEER DISAPPROVAL					
	Not at all wrong	A little bit w	rong	Wrong	Very wrong
How wrong do your friends feel it would be for you to have one or two drinks of an alcoholic beverage nearly every day?					
How wrong do your friends feel it would be for you to smoke tobacco?					
How wrong do your friends feel it would be for you to smoke marijuana?					
How wrong do your friends feel it would be for you to use prescription drugs not prescribed to you?					
VI. ATTITUDE TOWARD PEER USE					
	Neither Approve nor Disapprove	Somewhat Disapprove	Stro Disap	0,	Don't know or can't say
Required for STOP Act Grantees only: How do you feel about someone your age having one or two drinks of an alcoholic beverage nearly every day?				)	

**NOTE**: In addition, alternative items have been approved for *Perception of Risk* for alcohol use and *Perception of Peer Disapproval* of alcohol use. Specifically, DFC grantees may collect either the DFC core measures for these items or the STOP Act core measures for these items. Grantees may also collect both pieces of information but are not required to do so.

#### Appendix C: Survey Review Helpful Hints Summary

	Do	Don't
When Should Surveys be Submitted for Review?	<ul> <li>Submit a survey for review prior to your next planned submission of core measures data.</li> <li>Submit revised/new surveys to be reviewed. Submit prior to data collection when possible.</li> </ul>	Submit surveys used in the past that your coalition is no longer using.
What Survey Should be Submitted for Review?	<ul> <li>Submit a <u>blank</u> copy of your survey through the reporting system.</li> <li>Submit the survey used to collect core measures data that you plan to submit in your progress report.</li> <li>Submit surveys even if your coalition is using a standard survey, such as Communities That Care (CTC) or the Youth Risk Behavior Surveillance Survey (YRBS) or a state survey (e.g., California Healthy Kids Survey Modules A &amp; G), that has already been approved for other coalitions to use.</li> </ul>	<ul> <li>Submit a copy of your survey through email.</li> <li>Submit a report about the data you collected, unless it contains a blank copy of your survey.</li> <li>Submit a data file.</li> <li>Submit a parent survey or community survey that does not include student self-report on the core measures.</li> </ul>
Where/How Should a Survey be Submitted?	<ul> <li>Submit your survey in COMET Enter and Submit My Data &gt; Core Outcomes Survey Submission &gt; Submit Core Outcomes Survey for Approval.</li> <li>Submit your survey only once.</li> <li>Contact <a href="mailto:DFC_Evaluators@icfi.com">DFC_Evaluators@icfi.com</a> if you are waiting on your survey review.</li> </ul>	<ul> <li>Submit surveys in an email.</li> <li>Submit the same survey multiple times.</li> </ul>
What if Our Coalition Needs to Submit More than One Survey?	<ul> <li>Submit only one survey during each upload into the reporting system.</li> <li>Let the DFC National Evaluation team know in the comments section when the same survey is being submitted multiple times, each with a unique name, because core measures data will be entered for one school at a time rather than summarizing across schools.</li> </ul>	Upload multiple surveys under a single submission.
Where Does My Coalition Access Evaluator Feedback and the Survey Review Guide?	<ul> <li>Log into the system to receive feedback and a survey review guide upon receipt of an email that the survey has been Rejected, Partially Approved or Approved.</li> <li>Download and carefully read the survey review guide in order to understand what core measures data should be submitted and how.</li> </ul>	Enter core measures data into a progress report without first reviewing the survey review guide.
Why is it Necessary to Review the Survey Review Guide?	<ul> <li>Thoroughly read through the SRG to make sure that it the content is consistent with the survey submitted.</li> <li>Follow the instructions provided in the calculation page provided at the end of each core measures section.</li> </ul>	<ul> <li>Only read the summary chart on the first page of the SRG.</li> <li>Enter data without reading the SRG.</li> </ul>